

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report December 2021

Inspection # BI2021-0167

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received 9 closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 9 EIS Alerts completed/closed during December 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	1	8	9	88.88%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	2	2	100.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	2	2	100.00%
Compliance for EIS Alerts completed/Closed during December 2021	1	8	9	88.88%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0038	Alert2021-0032	Meeting with Supervisor	Yes
EA2021-0080	Alert2021-0081	No Further Action	Yes
EA2021-0106	Alert2021-0106	Meeting with Supervisor	No
EA2021-0107	Alert2021-0107	Meeting with Supervisor	No
EA2021-0127	Alert2021-0127	No Further Action	No
EA2021-0151	Alert-2021-0152	Meeting with Supervisor	Yes
EA2021-0153	Alert2021-0153	Meeting with Supervisor	Yes
EA2021-0156	Alert2021-0156	Meeting with Supervisor	Yes
EA2021-0162	Alert2021-0162	No Further Action	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District VII (1 BIO Action Form):

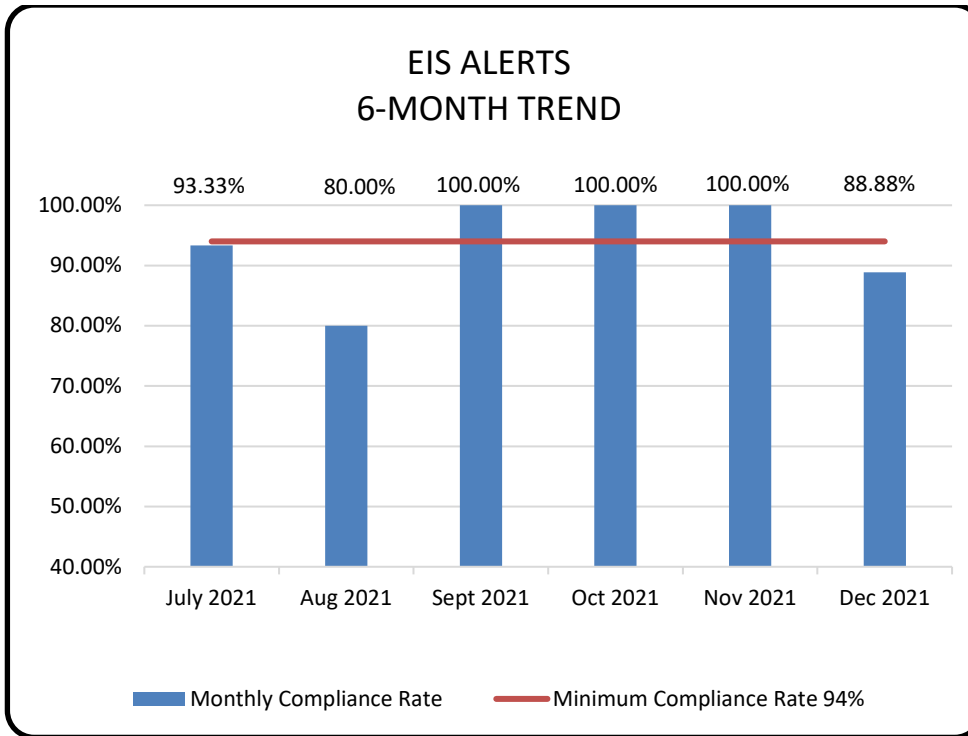
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
District VII	EA2021-0080	Alert2021-0081	Sergeant	Lieutenant	Captain
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5. Q)</p> <p>Sergeant had the alert for 31 days</p> <p>Lieutenant had the alert for 0 day</p> <p>**Employee has one pervious BAF for EIS Alert Inspection **(BAF2021-0274) **</p>					

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 88.88% or 8 out of 9 EIS Alerts had no deficiencies ($8 \div 9 = 88.88\%$).
- The overall result is 11.12% decrease in compliance from the November 2021 inspection.

**Action Required:**

With the resulting 88.88% compliance for Inspection BI2021-0167 a total of **1 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0167* and contained within IA Pro.

Date Inspection Started: January 4, 2022
Date Completed: January 5, 2022
Timeframe Inspected: December 2021
Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Brian Arthur

Lt. Timothy Arthur S1806
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

2/3/2022

Date