

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**TraCS Review Inspection November 2019**

Inspection Report# BI2019-0164

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast Number 16-56.

**Compliance Objectives:**

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

**Criteria:**

MCSO Policy EA-11, *Arrest Procedures (Section 14, Parts B & E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

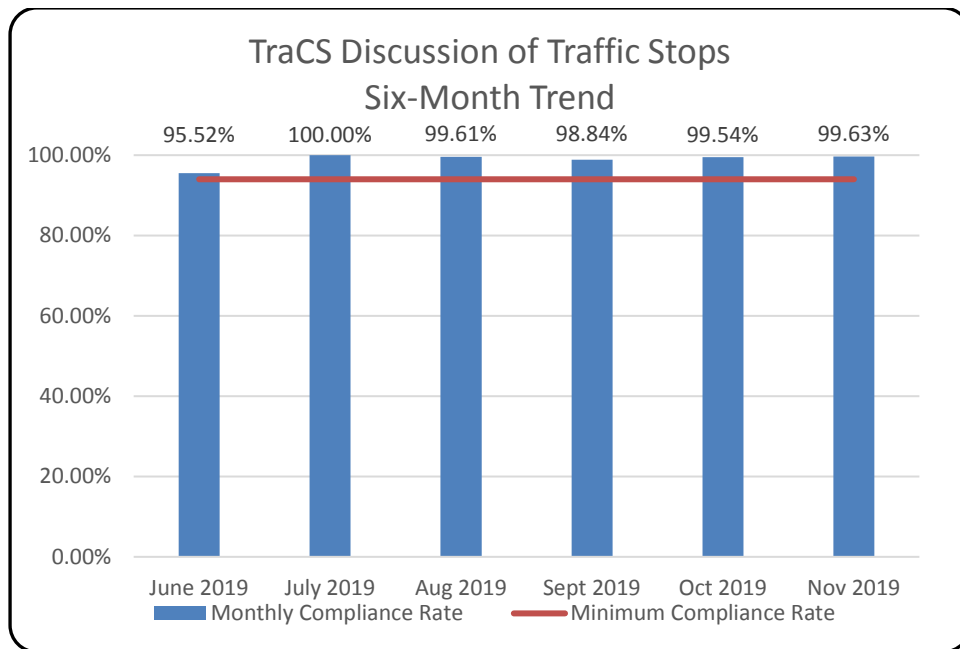
MCSO Policy GB-2, *Command Responsibility (Section 13, Part B.2.a)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisory Review Process*

**Conditions:**

MCSO’s assigned Court Monitors provided a sample of 30 Deputies from all Patrol Districts/Divisions for the November Traffic Stop Review Inspection. The sample of 30 Deputies provided a total of 289 traffic stops available for inspection; 289 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 99.63% in the Review of Traffic Stops for the month of November 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of November 2019 (i.e., out of a total of 289 stops, 288 were without deficiencies, or were 99.63% in compliance).

The compliance rate of each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	71	0	0	52	19	94	35
Total Stops	71	0	1	52	19	94	35
Compliance %	100.00%	100.00%	0.00%	100.00%	100.00%	100.00%	100.00%

It should be noted that the completion of a Review Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

### District 3 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
(Redacted) was reviewed (5) days after the incident. Policies EA-11, EB-1, GB-2 & Admin. Broadcast 16-56.				
Inspector Note: Per comments in TraCS, the assigned supervisor was off. The above listed supervisor is assigned to the same squad.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

#### Action Required:

With the resulting **99.63%** compliance rate for Inspection #BI2019-0164, **1 BIO Action Form** is required. The form shall be completed utilizing Blue Team.

#### Recommendations:

It is recommended the inspection of the review of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **Within 72 hours of completion**, supervisors are responsible for reviewing any form in TraCS that is in pending review status, which shall now include the "Vehicle Stop Contact Form."

It is also recommended that each division utilize the TraCS system to monitor the review status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Reviewed" under the Status column for those with completed reviews. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 12/23/2019  
Date Completed: 1/02/2020  
Timeframe Inspected: November 1st – 30th, 2019  
Assigned Inspector: Sgt. Rob Levy S1881

I have reviewed this inspection report.

*Lt. D. Reaulo S1678*

Lieutenant Dominick Reaulo S1678  
Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

1/25/2020

Date