MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit

EIS Alerts Inspection Report October 2021

Inspection # BI2021-0139

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System* (EIS)

Conditions:

After AIU received **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for **15** EIS Alerts completed/closed during October 2021:

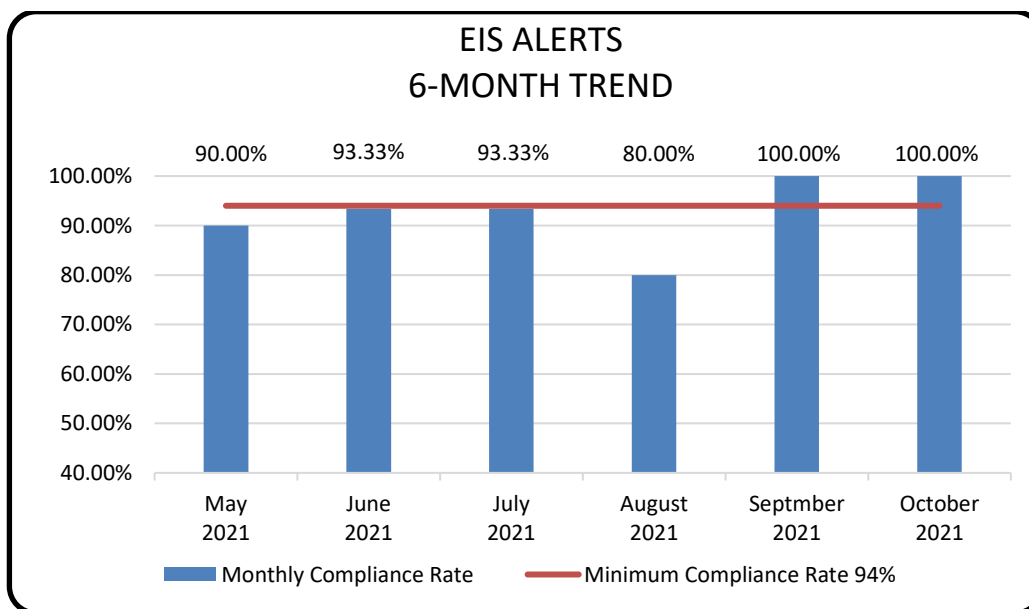| Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	0	15	15	100.00%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	000.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	000.00%
Compliance for EIS Alerts completed/Closed during October 2021	0	0	0	100.00%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0121	Alert2021-0121	Meeting with Supervisor	Yes
EA2021-0135	Alert2021-0135	No Further Action	No
EA2021-0139	Alert2021-0139	Meeting with Supervisor	Yes
EA2021-0117	Alert2021-0117	No Further Action	Yes
EA2021-0090	Alert2021-0090	Meeting with Supervisor	Yes
EA2021-0014	Alert2021-0014	Meeting with Supervisor	Yes
EA2021-0086	Alert2021-0080	No Further Action	No
EA2021-0126	Alert2021-0126	Meeting with Supervisor	Yes
EA2021-0131	Alert2021-0131	No Further Action	Yes
EA2021-0037	Alert2021-0038	Supervisory Evaluation Period (30, 60 or 90 day period)	No
EA2020-0210	Alert2020-0199	Meeting with Supervisor	Yes
EA2021-0026	Alert2021-0026	No Further Action	Yes
EA2021-0074	Alert2021-0074	Meeting with Supervisor	Yes
EA2021-0096	Alert2021-0096	No Further Action	No
EA2021-0116	Alert2021-0116	No Further Action	No

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

➢ 100.00% or 15 out of 15 EIS Alerts had no deficiencies (15 ÷ 15 = 100.00%).
➢ The overall result is no change in compliance from the September 2021 inspection.

Action Required:

With the resulting 100.00% compliance for Inspection BI2021-0139 a total of **0 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0139* and contained within IA Pro.

Date Inspection Started: 11/8/2021

Date Completed: 11/10/2021

Timeframe Inspected: October 2021

Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674   11/15/2021
_____   _____
Lt. Jonathan Halverson S1674   Date
Commander, Audits & Inspections Unit
Bureau of Internal Oversight