

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**February 2023 Traffic Stop Data Inspection**

Inspection Report# BI2023-0015

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

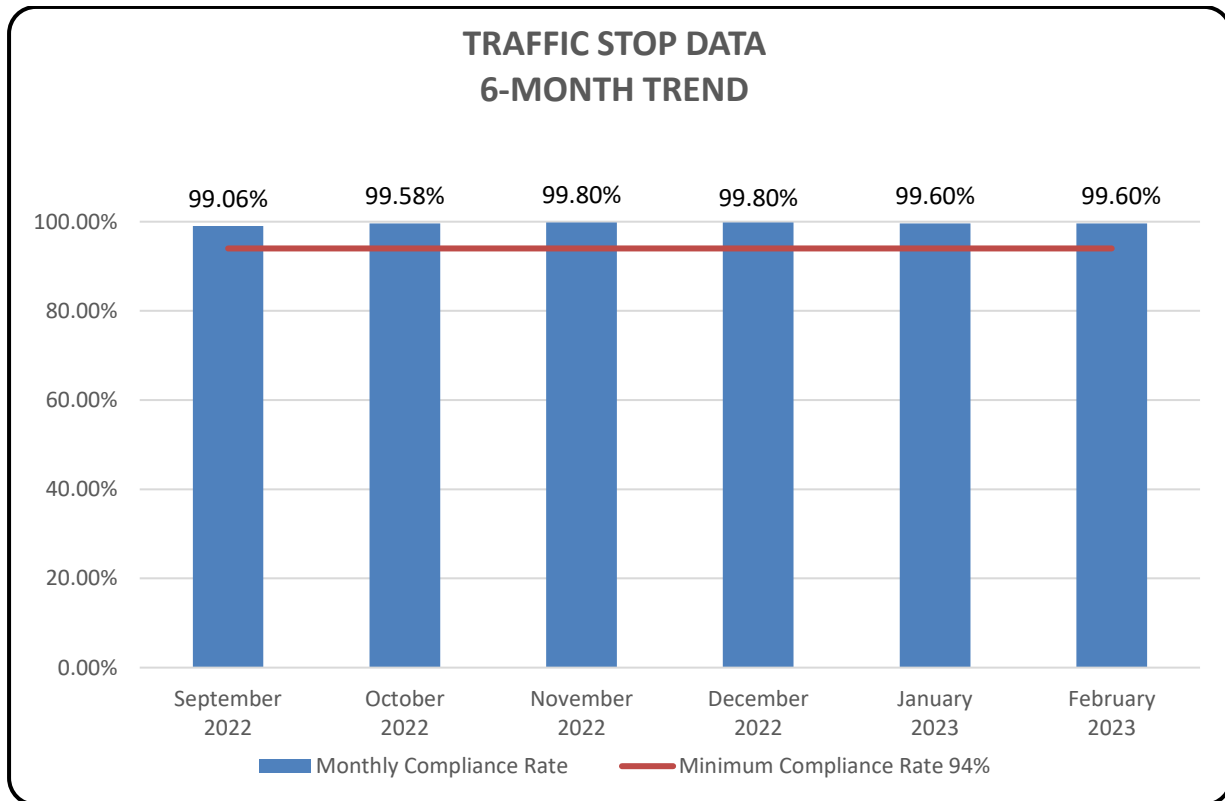
**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of February 2023. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

**Results:**

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.60%** for February of 2023, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	1	34	35	97%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	2	33	35	94%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	1	34	35	97%

Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>Incorrect state for violators license plate was documented on VSCF. <b>(Policy EB-2.14.A.5)</b>  <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0232)**</b></li> </ul>				

District 2 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>A driver’s license and warrant check was not conducted on the violator. <b>(Policy EB-1.10.D)</b></li> <li>“Yes” was documented for “Was the voiced reason for the stop included on an ISSUED Citation or Warning?” but the violator was cited for No Ins. &amp; No current Reg. and not for no license plate light. (Policy EB-1.14)  <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0234)**</b></li> </ul>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>“0” was documented on VSCF for “Total Number of Additional Employees and/or Volunteers” but there was one additional deputy on scene. <b>(Policy EB-1.14.A.1)</b></li> <li>Self-introduction was not completed upon initial contact with violator. <b>(Policy EB-1.10.A)</b>  <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0315)**</b></li> </ul>				

District 3 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>Incorrect license plate documented for violators vehicle on VSCF and citation. <b>(Policy EB-2.14.A.5)</b></li> <li>Self-introduction was not completed upon initial contact with violator. <b>(Policy EB-1.10.A)</b></li> </ul>				

### Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	Redacted	Deputy	Sergeant	Captain
Deficiency				
<ul style="list-style-type: none"> <li>• Voiced reason for stop violation on VSCF is not accurate. <b>(Policy EB-1.14)</b>  <b>Note:</b> "Voiced" reason for stop violation on VSCF indicates "No Current Vehicle Registration" but "Reason for Stop" cell on VSCF and CAD documentation indicate "Speed."</li> </ul>				

**Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies. A total of 5 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).**

Date Inspection Started:      March 13th, 2023  
 Date Completed:                March 20th, 2023  
 Timeframe Inspected:        February 1st, 2023 to February 28th, 2023  
 Assigned Inspector(s):        Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

*Lt. Brian Arthur*  
 Lieutenant Timothy Arthur S1806  
 Commander, Audits and Inspections Unit  
 Bureau of Internal Oversight

4/4/2023  
 Date