

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Civilian Supervisor Note Entry Inspection January 2019

Inspection Report# BI2019-0002

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct Blue Team Supervisor Note entry inspections on a monthly basis. The purpose of this inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, inspectors will select for review the Supervisor Note entries for 35 randomly selected civilian employees for the month being inspected. To ensure consistent inspections, the Supervisor Note Inspection Matrix developed by the AIU will be utilized.

Matrix Procedures:

Utilize the Supervisor Note Inspection Matrix to ensure that each randomly selected employee received one Supervisor Note entry during January 2019 and that the Supervisor Note was of sufficient quality to document the employee's work performance (reflects the employee's positive traits, accomplishments, any observed shortcomings, and can be used to facilitate the preparation of an accurate and detailed performance review) and that the selected employee's EIS information was reviewed.

Criteria:

MCSO Policy GB-2, *Command Responsibility*

MCSO Policy GH-4, *Bureau of Internal Oversight and Audits and Inspections*

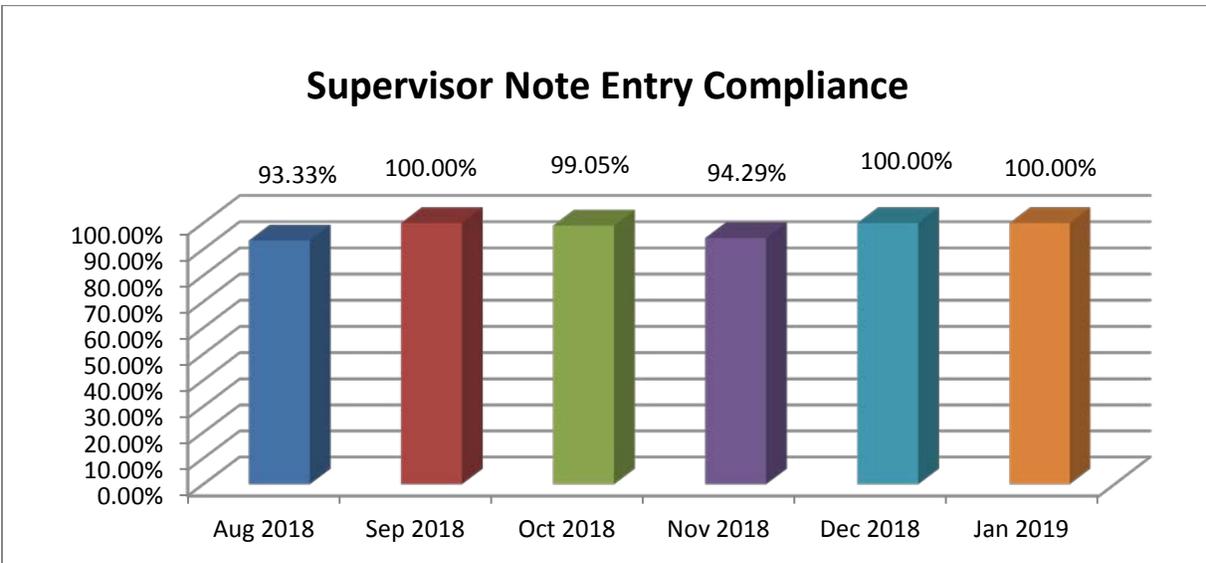
Conditions:

A review of the IAPro records revealed that, of the 35 employees' records reviewed for Supervisor Note entries submitted during the month of January, 35 employees received entries which contained the required elements of this inspection and were in compliance with MCSO Policy GB-2, *Command Responsibility*.

Results of the Review of Employee Supervisor Note entries:

Inspection Element	Not In Compliance	In Compliance	Compliance Rate
Employee Received at least one Supervisor Note during the month	0	35	100%
Supervisor Note entry is of sufficient quality to facilitate the preparation of an accurate and detailed performance review and reflects the employee's positive traits and accomplishments and any observed shortcomings	0	35	100%
Once per month, supervisors review non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns	0	35	100%
Overall Compliance with inspection requirements	0	105	100%

Below is the six-month historical comparison of compliance rates for civilian personnel Supervisory Note entries.



Recommendations:

It is recommended that Management continue to provide on-site mentorship to supervisors regarding the importance of ensuring Supervisory Note entries are used to document a specific employee's performance and should be of sufficient quality as to give a true assessment of the employee's performance during a particular period, as required by MCSO Policy GB-2, *Command Responsibility*, Paragraph 7.B and its subparagraphs. To accomplish this goal, the Supervisory Note entries need to specifically document the following requirements:

- The Employee's work performance during the last 30-day period.
- Once per month, supervisors review non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns.
- Maintain a written record of the performance of each employee in the EIS Blue Team Supervisor Notes application. The record shall reflect the employee's positive traits and accomplishments and any observed shortcomings.
- Supervisor Note entries shall be of sufficient quality and frequency to facilitate the preparation of an accurate and detailed performance review, but ***at a minimum, one entry shall be completed every month.***

Action Required:

With the resulting **100%** compliance for *Inspection BI2019-0002*, no BIO Action Forms are required.

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2019-0002* and contained within IA Pro.

Date Inspection Started: February 04, 2019
Date Completed: February 19, 2019
Timeframe Inspected: January 1-31, 2019
Assigned Inspector: Ronda Jamieson B3178

I have reviewed this inspection report.

Connie J. Phillips
Connie J. Phillips B3345
Acting Commander, Audits & Inspections Unit
Bureau of Internal Oversight

2/20/2019
Date