

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Detention Supervisor Note Inspection July 2019

Inspection Report# BI2019-0099

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct Blue Team Supervisor Note entry inspections on a monthly basis. The purpose of the inspection is to ensure compliance with

Office policies and to promote proper supervision. To achieve this, inspectors will select for review the Supervisor Note entries for 35 randomly selected Detention personnel for the month being inspected. To ensure consistent inspections, the Supervisor Note Inspection Matrix developed by the AIU will be utilized.

Compliance Objectives:

Utilizing the Supervisor Note Inspection Matrix, review each selected employee's EIS information to ensure they received the following during July 2019:

- Ensure the supervisor completed a minimum of one Supervisor Note entry
- Ensure the supervisor completed one performance-based entry
- Ensure the supervisor conducted a review of EIS data

Criteria:

MCSO Policy GB-2, *Command Responsibility*

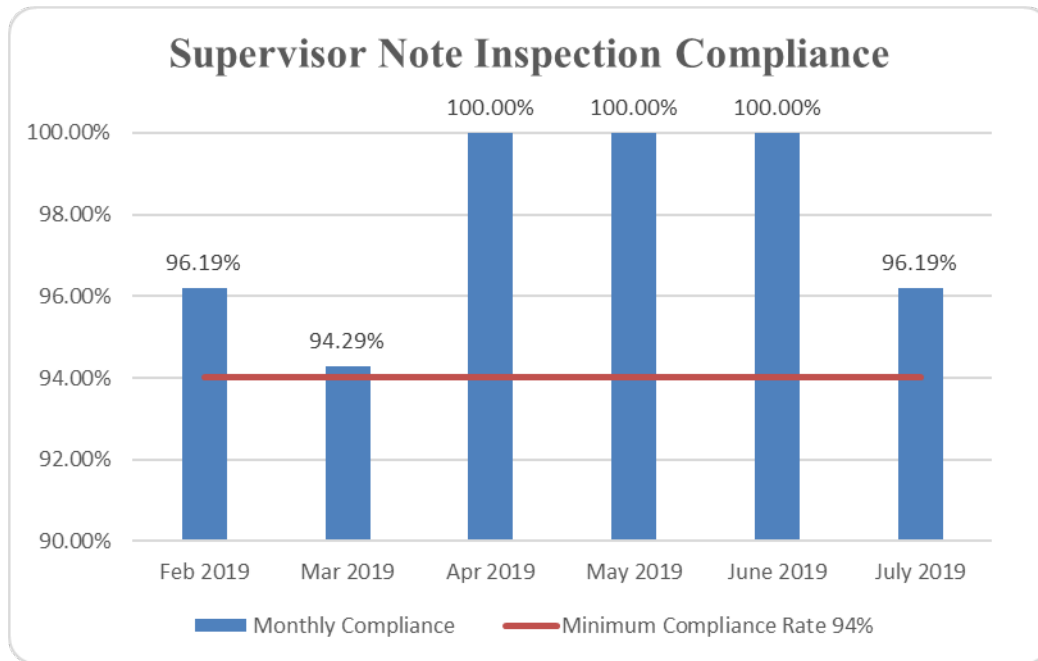
Conditions:

A review of the IAPro records revealed that, of the 35 employees' records reviewed for Supervisor Note entries submitted during the month of July, 33 employees received all entries in compliance with MCSO Policy GB-2, *Command Responsibility*.

Results of the Review of Employee Supervisor Note entries:

Inspection Element	Not In Compliance	In Compliance	Compliance Rate
Employee Received at least one Supervisor Note during the month	1	34	97.14%
Supervisor Note entry is of sufficient quality to facilitate the preparation of an accurate and detailed performance review and reflects the employee's positive traits and accomplishments and any observed shortcomings	1	34	97.14%
Once per month, supervisors review non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns	2	34	94.29%
Overall Compliance with inspection requirements	4	101	96.19%

Below is the six-month historical comparison of compliance rates for detention employee Supervisory Notes entries.



The following perceived deficiencies were identified during the inspection process.

4th Avenue Jail (1 BAF)

Division	Employee	Current Supervisor	Current Commander
5114 – 4 th Avenue Jail	Officer	Sergeant	Captain
Perceived Deficiency			
-Review of Employee’s EIS information not documented. GB-2.7.B.4 -Employee Performance not documented. GB-2.7.B.1 -Minimum requirement for one Supervisor Note entry not met. GB-2.7.B.1 -1 prior BIO Action Form: BAF2018-0037 for the Supervisor Note inspection in December 2017			

Inmate Medical Services (1 BAF)

Division	Employee	Current Supervisor	Current Commander
5125 – Inmate Medical Services	Officer	Sergeant	Captain
Perceived Deficiency			
-Review of Employee’s EIS information not documented. GB-2.7.B.4			

Unless noted above in the deficiency table, there were not prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **96.19%** compliance for *Inspection BI2019-0099*, a total of **2** BIO Action Forms are requested from the affected divisions. **The forms shall be completed utilizing Blue Team.**

Recommendations:

It is recommended that Management continue to provide on-site mentorship to supervisors regarding the importance of ensuring Supervisory Note entries are used to document a specific employee's performance and should be of sufficient quality as to give a true assessment of the employee's performance during a particular period, as required by MCSO Policy GB-2, *Command Responsibility*, Paragraph 7.B and its subparagraphs. To accomplish this goal, the Supervisory Note entries need to specifically document the following requirements:

- The Employee's work performance during the last 30-day period.
- Once per month, supervisor's review of non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns.
- Maintain a written record of the performance of each employee in the EIS Blue Team Supervisor Notes application. The record shall reflect the employee's positive traits and accomplishments and any observed shortcomings.
- Supervisor Note entries shall be of sufficient quality and frequency to facilitate the preparation of an accurate and detailed performance review, but ***at a minimum, one entry shall be completed every month.***

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2019-0099* and contained within IA Pro.

Date Inspection Started: August 07, 2019
Date Completed: September 03, 2019
Timeframe Inspected: July 01-31, 2019
Assigned Inspector(s): Ronda Jamieson B3178

I have reviewed this inspection report.

Lt. D. Reaulo S1678
Lt. Dominick Reaulo S1678
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

9/19/2019
Date