

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**EIS Alerts Inspection Report March 2021**

Inspection # BI2021-0040

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of **15** EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts were provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

**Matrix Procedure:**

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

**Criteria:**

MCSO Policy GB-2, *Command Responsibility*

MCSO Policy GH-5, *Early Identification System (EIS)*

**Conditions:**

After AIU received the **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for the selected 15 EIS Alerts completed/closed during March 2021:

Inspection Element	Total Inspected	In Compliance	Not in Compliance	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	15	12	3	80%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	3	1	2	33%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	1	1	0	100%
<b>Compliance for EIS Alerts completed/Closed during February 2021</b>	15	13	2	86.67%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Intervention(s) Initiated by Command	Administrative Investigation in Progress
EA2019-000145	Alert2019-0148	No Further Action	No
EA2020-0078	Alert2020-0069	Meeting with the Supervisor	Yes
EA2020-0080	Alert2020-0086	Meeting with the Supervisor Action Plan	Yes
EA2020-0108	Alert2020-0097	Meeting with the Supervisor	No

EA2020-0120	Alert2020-0110	Meeting with the Supervisor	Yes
EA2020-0137	Alert2020-0129	Meeting with the Supervisor	No
EA2020-0139	Alert2020-0130	No Further Action	No
EA2020-0173	Alert2020-0161	Meeting with the Supervisor	Yes
EA2020-0174	Alert2020-0162	Meeting with the Supervisor	No
EA2020-0176	Alert2020-0164	No Further Action	No
EA2020-0179	Alert2020-0167	No Further Action	Yes
EA2020-0182	Alert2020-0170	Meeting with the Supervisor	No
EA2020-0188	Alert2020-0176	Meeting with the Supervisor No Further Action	Yes
EA2020-0200	Alert2020-0188	No Further Action	No
EA2020-0202	Alert2020-0190	Meeting with the Supervisor	No

The following deficiencies were identified during the inspection process and require that a BIO Action Form be completed by the affected division.

**Professional Standards Bureau (1 BIO Action Form):**

EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
EA2020-0080	Alert2020-0086	Sergeant	Lieutenant	Captain
<b>Deficiency</b>				
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5, Paragraph 5.Q) Sergeant had EIS Alert more than 30 days. <b>Note: Deficiency occurred while the employee was assigned to the 1600 Facility (Watkins Jail)</b>				

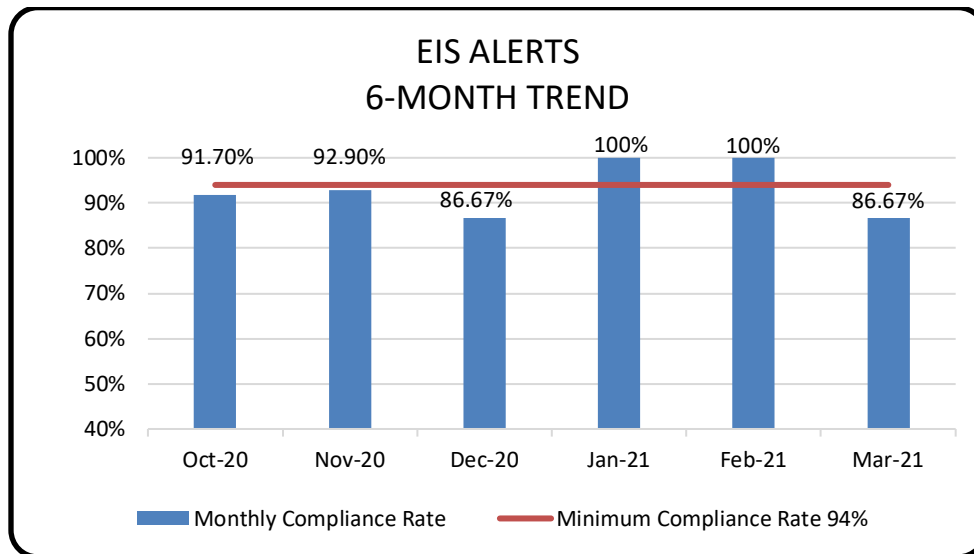
**Intelligence Information Division (1 BIO Action Form):**

EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Bureau Commander
EA2020-0200	Alert2020-0188	Lieutenant	Captain	Chief
<b>Deficiency</b>				
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5, Paragraph 5.Q) Lieutenant had Alert for 29 days. Captain had Alert for 3 days. <b>Note: Deficiency occurred while employee was assigned to District II.</b>				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

**Results:**

- 86.67% (13 out of 15) of the inspected EIS Alerts were compliant with the requirements of this inspection.

**Action Required:**

With the resulting **86.67%** compliance for *Inspection BI2021-0040*, **two BIO Action Forms** are requested from the affected divisions.

Date Inspection Started: April 14, 2021  
 Date Completed: April 15, 2021  
 Timeframe Inspected: March 1 to March 31, 2021  
 Assigned Inspector: Auditor M. Rodriguez A9047

I have reviewed this inspection report.

*Lt. Jonathan Halverson S1674*

Lt. J. Halverson S1674  
 Commander, Audits and Inspections Unit  
 Bureau of Internal Oversight

4/27/2021

Date