

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**July 2022 Traffic Stop Data Inspection**

Inspection Report# BI2022-0101

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

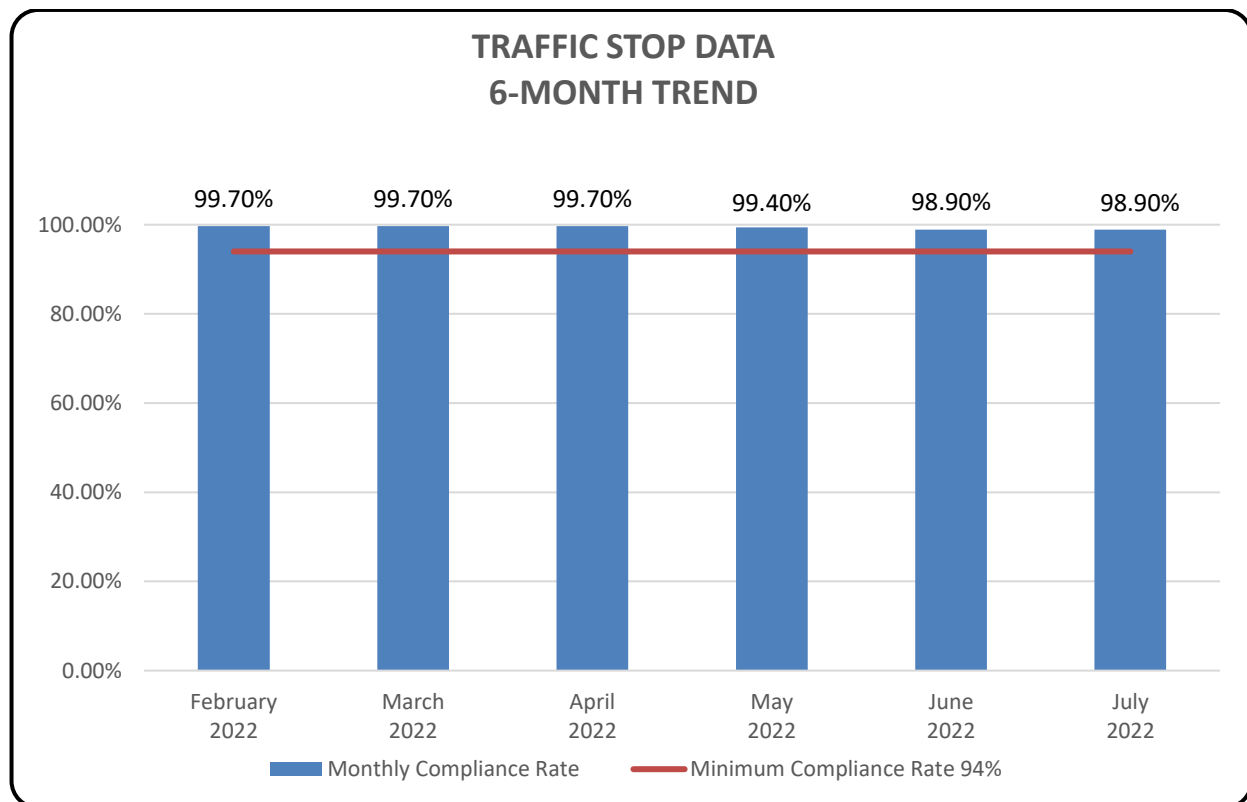
**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of July 2022. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

**Results:**

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **98.90%** for July of 2022, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%
Primary unit vehicle number and call sign match CAD	1	34	35	97%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	3	32	35	91%
Location of traffic stop match CAD and all applicable forms	1	34	35	97%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	0	35	35	100%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	2	33	35	94%

Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	1	34	35	97%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	2	33	35	94%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	2	8	10	80%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

### District 1 (3 BIO Action Forms):

#### Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22113329	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not provide Incidental Contact Form to passenger after asking investigatory questions. <b>(Policy EB-1.12.C.2)</b> <b>Note:</b> Deputy obtains passengers D.L. info due to the driver having a suspended D.L.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22119570	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not provide Incidental Contact Form to passenger after asking investigatory questions. <b>(Policy EB-1.12.C.2)</b> <b>Note:</b> Assisting deputy removes firearm from passenger for officer safety reasons and asks "Where's it at" reference a firearm.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22127418	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Assisting deputy not listed on VSCF. <b>(Policy EB-2.2.A)</b>				

### District 2 (1 BIO Action Form):

#### Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC221116206	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. <b>(Policy EB-2.2.E)</b>				

## District 7 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	MC22118020	Deputy	Sergeant	Captain
<b>Deficiency</b>				
BWC was not placed in event mode as soon as the decision to stop a vehicle was made. <b>(Policy EB-1.9.A)</b> <b>Note:</b> BWC is activated as deputy is walking up to the violator's vehicle.				

## Lakes (6 BIO Action Forms):

Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22113967	Sergeant	Lieutenant	Captain
<b>Deficiency</b>				
Sergeant did not complete an Assisting Deputy & Body Worn Camera Log. <b>(Policy EB-2.2.E)</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22113967	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy documented "No" on VSCF for "Items seized" but seized the violators driver's license and provides a property receipt for seizure of driver's license. <b>(Policy EB-2.2.P)</b> <b>Note:</b> There is no D.L. listed in Q-Tel as being impounded as evidence but BWC shows the violator providing a driver's license to the deputy. <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2021-0281)**</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22114700	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy documents "Yes" for "Was the voiced reason for the stop included on an ISSUED Citation or Warning?" but the violator was cited for no driver's license and not for speeding. <b>(Policy EB-1.14)</b> <b>**Employee has two previous BAF for Traffic Stop Data Inspection (BAF2021-0034 &amp; BAF2022-0005)**</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22114854	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy documents "Yes" for "Was the voiced reason for the stop included on an ISSUED Citation or Warning?" but the violator was cited for Liq-Minor Drive After Drinking and not for stop sign violation. <b>(Policy EB-1.14)</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22123091	Sergeant	Lieutenant	Captain
<b>Deficiency</b>				
<ul style="list-style-type: none"> <li>Sergeant documented wrong MCSO patrol vehicle number on VSCF. <b>(Policy EB-1.14)</b></li> <li>BWC was not placed in event mode as soon as the decision to stop a vehicle was made. <b>(Policy EB-1.9.A)</b></li> </ul> <b>Note:</b> BWC is activated as Sergeant is walking up to the violator's vehicle.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22123621	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy documented "4 Way" for location of stop on VSCF. <b>(Policy EB-1.14.A.2)</b>				



Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

**A total of 11 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).**

Date Inspection Started: August 15<sup>th</sup>, 2022  
Date Completed: August 18<sup>th</sup>, 2022  
Timeframe Inspected: July 1<sup>st</sup>, 2022 to July 31<sup>st</sup>, 2022  
Assigned Inspector(s): Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

*Lt. Brian Arthur*  
Lieutenant Timothy Arthur S1806  
Commander, Audits and Inspections Unit  
Bureau of Internal Oversight

9/22/2022  
Date