MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight Audits and Inspections Unit



TraCS Review Inspection: August 2021 Inspection Report# BI2021-0106 The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2, and MCSO Administrative Broadcast Number 16-56.

Compliance Objectives:

- Determine what district the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

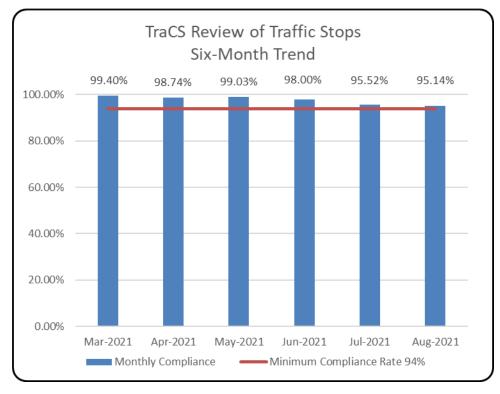
Criteria:

MCSO Policy EA-11, Arrest Procedures MCSO Policy EB-1, Traffic Enforcement, Violator Contacts, and Citation Issuance MCSO Policy GB-2, Command Responsibility MCSO Administrative Broadcast # 16-56, Discussed with Deputy Indicator and Supervisory Review Process

Conditions:

MCSO's assigned Court Monitors provided a sample of 27 Deputies from all Patrol Districts for the August Traffic Stop Review Inspection. The sample of 27 Deputies provided a total of 144 traffic stops available for inspection; all 144 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 95.14% in the Review of Traffic Stops for the month of August 2021, as illustrated in the table below:



The compliance rate of each division is illustrated in the table below:

District	Dist. 1	Dist. 2	Dist. 3	Dist. 4	Lakes	Dist. 6	Dist. 7	Total
In Compliance	34	2	4	39	30	12	16	137
Total Stops	34	2	4	39	36	13	16	144
Compliance %	100%	100%	100%	100%	83.33%	92.31%	100%	95.14%

It should be noted that the completion of a Review Inspection is dependent on when AIU receives the sample from the Court Monitors.

Lakes (0 BIO Action Forms)

District	Incident #	VSCF Generated	Employee	Responsible Supervisor	Commander
Lakes	MC21140638	08/03/21	Deputy	Sergeant	Captain
			Deficienc	y	
•	ors are responsi 3-1.14.A.9.b	ble for review	ing the VSCF to ensure ac	curacy and proper documen	tation within 72 hours.
Inspecto	r Note: District f	ound the erro		reviewed on 08/07/21 at 070 on VSCF "This stop was miss	
District	Incident #	VSCF Generated	Employee	Responsible Supervisor	Commander
Lakes	MC21145351	08/10/21	Deputy	Sergeant	Captain
			Deficienc	y	
•	ors are responsi -1.14.A.9.b	ble for review	ing the VSCF to ensure ac	curacy and proper documen	tation within 72 hours.
Policy EB Inspecto Inspecto review w	-1.14.A.9.b r Note: VSCF wa r Note: District f vas pending whe	s completed o ound the erro n l attempted	on 08/10/21 at 2150 and r r before inspection; note to log in to review it my	reviewed on 08/14/21 at 070 on VSCF "I received notificat computer was dead and I did)3. tion on my RDOs that this I not have capability to
Policy EB Inspecto Inspecto review w	-1.14.A.9.b r Note: VSCF wa r Note: District f vas pending whe	s completed o ound the erro n l attempted	on 08/10/21 at 2150 and r r before inspection; note to log in to review it my	reviewed on 08/14/21 at 070 on VSCF "I received notificat)3. tion on my RDOs that this I not have capability to
Policy EB Inspecto Inspecto review w charge it	-1.14.A.9.b r Note: VSCF wa r Note: District f vas pending whe I was aware of s	s completed o ound the erro n l attempted stop and there VSCF	on 08/10/21 at 2150 and r r before inspection; note to log in to review it my e were not issues stop rev	reviewed on 08/14/21 at 070 on VSCF "I received notificat computer was dead and I did viewed immediately upon ret	03. tion on my RDOs that this I not have capability to turn to work"
Policy EB Inspecto Inspecto review w charge it District Lakes	-1.14.A.9.b r Note: VSCF wa r Note: District f vas pending whe I was aware of s Incident # MC21145473	s completed o ound the erro n I attempted stop and there VSCF Generated 08/11/21	on 08/10/21 at 2150 and r r before inspection; note to log in to review it my o e were not issues stop rev Employee Deputy Deficienc	reviewed on 08/14/21 at 070 on VSCF "I received notificat computer was dead and I did riewed immediately upon ret Responsible Supervisor Sergeant	03. tion on my RDOs that this I not have capability to curn to work" Commander Captain

mark"

Superviso	Incident #	VSCF			
Superviso		Generated	Employee	Responsible Supervisor	Commander
•	MC21145486	08/11/21	Deputy	Sergeant	Captain
•			Deficienc	y	
Policy EB-	ors are responsil -1.14.A.9.b	ole for review	ing the VSCF to ensure ac	curacy and proper document	tation within 72 hours.
Inspector stops han	Note: District for not been revi	ound the erro ewed and sho	r before inspection; note ould have been by 8/14 he	eviewed on 08/16/21 at 152 on VSCF "I was notified by L owever when I searched form soon as I found out which wa	t. on 8/16 that these ns prior to leaving on
District	Incident #	VSCF Generated	Employee	Responsible Supervisor	Commander
Lakes	MC21145556	08/11/21	Deputy	Sergeant	Captain
			Deficienc	y	
				reviewed on 08/16/21 at 152	
Inspector stops han	Note: District for not been revi	ound the erro ewed and sho	r before inspection; note ould have been by 8/14 he	reviewed on 08/16/21 at 152 on VSCF "I was notified by L owever when I searched form soon as I found out which wa	t. on 8/16 that these ns prior to leaving on
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Policy EB-1.14.A.9.b

Inspector Note: VSCF was completed on 08/02/2021 at 0749 and reviewed on 08/05/21 at 1711.

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Action Required:

With the resulting <u>95.14%</u> compliance for *Inspection BI2021-00106*, a total of <u>1</u> BIO Action Form is requested from the affected District addressing the deficiency. The form shall be completed utilizing Blue Team.

Date Inspection Started:09/14/2021Date Completed:09/20/2021Timeframe Inspected:August 1st thru August 31st, 2021Assigned Inspector(s):Ronda Jamieson B3178

I have reviewed this inspection report.

Sqt. Roger T. Bierwalter S1263 p.p.

Lt Jonathan Halverson S1674 Commander, Audits & Inspections Unit Bureau of Internal Oversight

10/4/2021

Date