

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Review Inspection: August 2021

Inspection Report# BI2021-0106

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2, and MCSO Administrative Broadcast Number 16-56.

Compliance Objectives:

- Determine what district the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance*

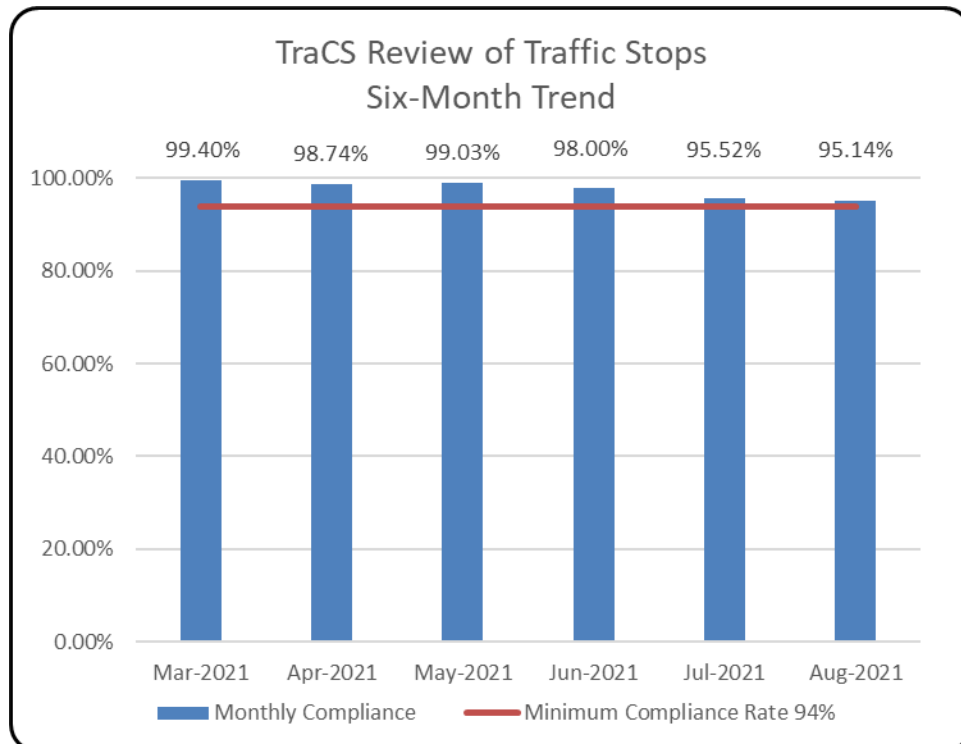
MCSO Policy GB-2, *Command Responsibility*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisory Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 27 Deputies from all Patrol Districts for the August Traffic Stop Review Inspection. The sample of 27 Deputies provided a total of 144 traffic stops available for inspection; all 144 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 95.14% in the Review of Traffic Stops for the month of August 2021, as illustrated in the table below:



The compliance rate of each division is illustrated in the table below:

| District | Dist. 1 | Dist. 2 | Dist. 3 | Dist. 4 | Lakes | Dist. 6 | Dist. 7 | Total |
|---------------|---------|---------|---------|---------|--------|---------|---------|--------|
| In Compliance | 34 | 2 | 4 | 39 | 30 | 12 | 16 | 137 |
| Total Stops | 34 | 2 | 4 | 39 | 36 | 13 | 16 | 144 |
| Compliance % | 100% | 100% | 100% | 100% | 83.33% | 92.31% | 100% | 95.14% |

It should be noted that the completion of a Review Inspection is dependent on when AIU receives the sample from the Court Monitors.

Lakes (0 BIO Action Forms)

| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
|--|------------|----------------|----------|------------------------|-----------|
| Lakes | MC21140638 | 08/03/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the VSCF to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/03/21 at 1049 and reviewed on 08/07/21 at 0703. | | | | | |
| Inspector Note: District found the error before inspection; note on VSCF "This stop was missed during normal reviews caught on weekly audit Lt. notified of the oversight" | | | | | |
| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
| Lakes | MC21145351 | 08/10/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the VSCF to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/10/21 at 2150 and reviewed on 08/14/21 at 0703. | | | | | |
| Inspector Note: District found the error before inspection; note on VSCF "I received notification on my RDOs that this review was pending when I attempted to log in to review it my computer was dead and I did not have capability to charge it I was aware of stop and there were not issues stop reviewed immediately upon return to work" | | | | | |
| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
| Lakes | MC21145473 | 08/11/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the VSCF to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/11/21 at 0712 and reviewed on 08/16/21 at 1528. | | | | | |
| Inspector Note: District found the error before inspection; note on VSCF "I was notified by Lt. on 8/16 that these stops had not been reviewed and should have been by 8/14 however when I searched forms prior to leaving on my weekend 8/11 they did not appear. They were signed off as soon as I found out which was after the 72-hour mark" | | | | | |

| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
|---|------------|----------------|----------|------------------------|-----------|
| Lakes | MC21145486 | 08/11/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the <i>VSCF</i> to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/11/21 at 0736 and reviewed on 08/16/21 at 1529. Inspector Note: District found the error before inspection; note on VSCF "I was notified by Lt. on 8/16 that these stops hand not been reviewed and should have been by 8/14 however when I searched forms prior to leaving on my weekend 8/11 they did not appear. They were signed off as soon as I found out which was after the 72-hour mark" | | | | | |
| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
| Lakes | MC21145556 | 08/11/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the <i>VSCF</i> to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/11/21 at 0909 and reviewed on 08/16/21 at 1529. Inspector Note: District found the error before inspection; note on VSCF "I was notified by Lt. on 8/16 that these stops hand not been reviewed and should have been by 8/14 however when I searched forms prior to leaving on my weekend 8/11 they did not appear. They were signed off as soon as I found out which was after the 72-hour mark" | | | | | |
| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
| Lakes | MC21145511 | 08/11/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the <i>VSCF</i> to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/11/21 at 0915 and reviewed on 08/16/21 at 1530. Inspector Note: District found the error before inspection; note on VSCF "I was notified by Lt. on 8/16 that these stops hand not been reviewed and should have been by 8/14 however when I searched forms prior to leaving on my weekend 8/11 they did not appear. They were signed off as soon as I found out which was after the 72-hour mark" | | | | | |

Dist. 6 (1 BIO Action Form)

| District | Incident # | VSCF Generated | Employee | Responsible Supervisor | Commander |
|---|------------|----------------|----------|------------------------|-----------|
| Dist. 6 | MC21139887 | 08/02/21 | Deputy | Sergeant | Captain |
| Deficiency | | | | | |
| Supervisors are responsible for reviewing the <i>VSCF</i> to ensure accuracy and proper documentation within 72 hours. Policy EB-1.14.A.9.b | | | | | |
| Inspector Note: VSCF was completed on 08/02/2021 at 0749 and reviewed on 08/05/21 at 1711. | | | | | |

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Action Required:

With the resulting **95.14%** compliance for *Inspection BI2021-00106*, a total of **1** BIO Action Form is requested from the affected District addressing the deficiency. **The form shall be completed utilizing Blue Team.**

Date Inspection Started: 09/14/2021
Date Completed: 09/20/2021
Timeframe Inspected: August 1st thru August 31st, 2021
Assigned Inspector(s): Ronda Jamieson B3178

I have reviewed this inspection report.

Sgt. Roger T. Bierwalter S1263 p.p.

Lt Jonathan Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

10/4/2021

Date