

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection 2nd Quarter 2020

Inspection Report# BI2020-0076

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

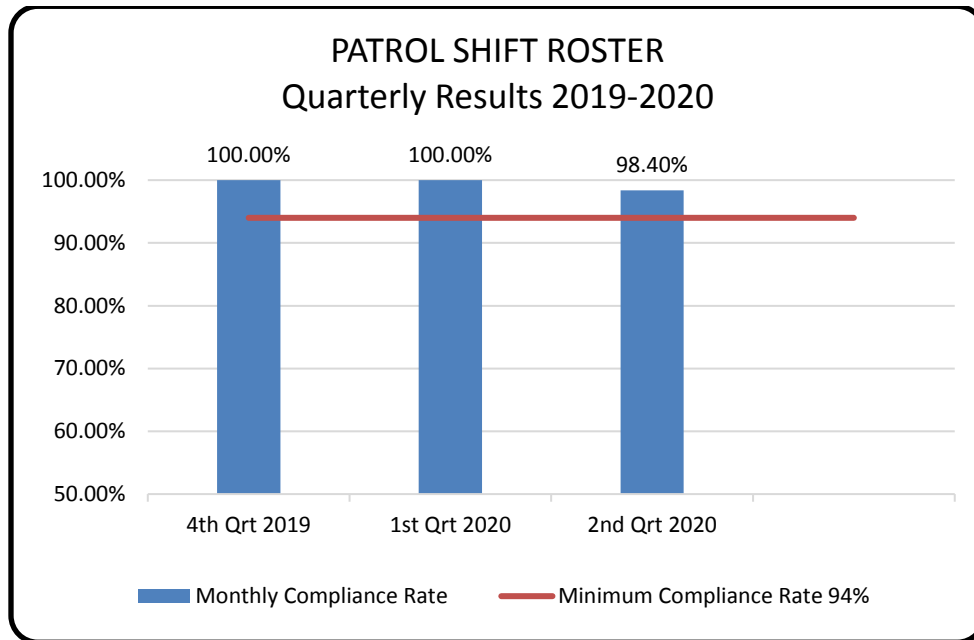
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A random selection of one day (24-hour period) per week for the months of April, May and June was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the 2nd quarter of 2020; there was a total of 120 rosters randomly selected for inspection. 100% of the randomly selected rosters were inspected.

MCSO achieved a compliance rate of **98.40% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the 2nd quarter of 2020, as illustrated in the graph below:



*The 4th Quarter of 2019 was the first quarter for the new quarterly Shift Roster Inspection, a twelve-month trend of compliance will be included as the data becomes available.

| Division | District 1 | District 2 | District 3 | District 4 | Lake Patrol | District 6 | District 7 |
|-----------------------|------------|------------|------------|------------|-------------|------------|------------|
| In Compliance: | 12 | 12 | 10 | 12 | 48 | 12 | 12 |
| Non-Comp. Def. | 2 | 12 | 4 | 0 | 10 | 2 | 4 |
| Comp. Def. | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Total Rosters: | 12 | 12 | 12 | 12 | 48 | 12 | 12 |
| Compliance %: | 100% | 100% | 83% | 100% | 100% | 100% | 100% |

The following deficiencies were noted during the inspection period:

District I (2 BIO Action Forms):

Non-Compliance Deficiencies

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 1 | Sergeant | 04/20/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 321426 for M124; Praxis shows vehicle 321836 for M124 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF for shift roster inspection BAF2020-0038 & BAF2020-0132** | | | | |

| District/Division | Employee | Shift Dates | Current Supervisor | Current Commander |
|--|----------|-------------|--------------------|-------------------|
| District 1 | Sergeant | 04/20/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents call sign "N/A" for S1753; Praxis shows call sign A129 for S1753 (Policy GB-2.13.A.2.f) **Employee has 3 previous BAF's for shift roster inspection BAF2019-0227, BAF2020-0037 & BAF2020-0133** | | | | |

District II (5 BIO Action Forms):

Non-Compliance Deficiency

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 2 | Sergeant | Multiple | Lieutenant | Captain |
| Deficiency | | | | |
| 4/15/20 Shift roster documents call sign L223 for S1791; Praxis shows call sign L221 for S1791 (Policy GB-2.13.A.2.f) 4/20/20 Shift roster documents vehicle 321865 for L235; Praxis shows vehicle 321871 for L235 (Policy GB-2.13.A.2.e) 4/28/20 Shift roster documents vehicle 321823 for M223; Praxis shows vehicle 321537 for M223 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection BAF2020-0137** | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|--|----------|------------|--------------------|-------------------|
| District 2 | Sergeant | 05/26/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 312907 for L230; Praxis shows vehicle 321907 for L230 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection BAF2020-0138** | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 2 | Sergeant | Multiple | Lieutenant | Captain |
| Deficiency | | | | |
| 4/28/20 Shift roster documents vehicle 321851 for A235; Praxis shows vehicle 321865 for A235 (Policy GB-2.13.A.2.e) Shift roster documents vehicle "321" for A239; Praxis shows vehicle 321844 for A239 (Policy GB-2.13.A.2.e) 5/13/20 Shift roster documents vehicle 321533 for A239; Praxis shows vehicle "S2166" for A239 (Policy GB-2.13.A.2.e) 5/26/20 Shift roster documents vehicle 321965 for A233; Praxis shows vehicle 321865 for A233 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection BAF2019-0229** | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|--|----------|------------|--------------------|-------------------|
| District 2 | Sergeant | Multiple | Lieutenant | Captain |
| Deficiency | | | | |
| 4/15/20 Shift roster documents vehicle 321412 for A282; Praxis shows vehicle 311140 for A282 (Policy GB-2.13.A.2.e) 5/26/20 Shift roster documents vehicle "N/A" for A281; Praxis shows vehicle 321823 for A281 (Policy GB-2.13.A.2.e) 6/10/20 Shift roster documents vehicle 321510 for E281; Praxis shows vehicle 321844 for E281 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF's for shift roster inspection BAF2019-0271 & BAF2020-0140** | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 2 | Sergeant | 06/23/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents call sign L231 for S1936; Praxis shows call sign L232 for S1936 (Policy GB-2.13.A.2.f) | | | | |

District III (3 BIO Action Forms):

Compliance Deficiency

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 3 | Sergeant | 05/13/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents the on-duty Sergeant exceeded the 8:1 ratio of supervision without completing a span of control memorandum. (Policy GB-2.13.A.4) | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 3 | Sergeant | 05/13/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents the on-duty Sergeant exceeded the 8:1 ratio of supervision without completing a span of control memorandum. (Policy GB-2.13.A.4) | | | | |
| **Employee has 3 previous BAF for shift roster inspection BAF2019-0231, BAF2019-0276 & BAF2020-0044** | | | | |

Non-Compliance Deficiency

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 3 | Sergeant | 05/08/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents serial number S2073 as L341; Praxis shows serial number S2062 as L341 (Policy GB-2.13.A.2.d) | | | | |
| **Employee has 1 previous BAF for shift roster inspection BAF2020-0142** | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|--|----------|------------|--------------------|-------------------|
| District 3 | Sergeant | Multiple | Lieutenant | Captain |
| Deficiency | | | | |
| 4/15/20 Shift roster documents call sign "lease" for S1376; Praxis shows call sign A380 for S1376 (Policy GB-2.13.A.2.f) 5/18/20 Shift roster documents vehicle 321536 for A342; Praxis shows vehicle 321409 for A342 (Policy GB-2.13.A.2.e) 6/23/20 Shift roster does not document a name for A340 (Policy GB-2.13.A.2.c) **Employee has 3 previous BAF for shift roster inspection BAF2019-0231, BAF2019-0276 & BAF2020-0044** | | | | |

District VI (2 BIO Action Forms):

Non-Compliance Deficiency

| District/Division | Employee | Shift Dates | Current Supervisor | Current Commander |
|--|----------|-------------|--------------------|-------------------|
| District 6 | Sergeant | 04/15/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 3214110 for A682; Praxis shows vehicle 321459 for A682 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF's for shift roster inspection BAF2019-0002 & BAF2019-0281** | | | | |

| District/Division | Employee | Shift Dates | Current Supervisor | Current Commander |
|---|----------|-------------|--------------------|-------------------|
| District 6 | Sergeant | 06/15/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 311646 for L652; Praxis shows vehicle 321470 for L652 (Policy GB-2.13.A.2.e) | | | | |

District VII (3 BIO Action Forms):

Non-Compliance Deficiencies

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 7 | Sergeant | 05/26/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 321838 for L743; Praxis shows vehicle 321848 for L743 (Policy GB-2.13.A.2.e) | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|---|----------|------------|--------------------|-------------------|
| District 7 | Sergeant | 05/13/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents all units working with a call sign designation of "G". CAD shows all units working with a call sign designation of "L". (Policy GB-2.13.A.2.e) | | | | |

| District/Division | Employee | Shift Date | Current Supervisor | Current Commander |
|--|----------|------------|--------------------|-------------------|
| District 7 | Sergeant | 04/20/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 321936 for A778; Praxis shows vehicle 321946 for A778 (Policy GB-2.13.A.2.e) Shift roster documents serial number S3178 as E781; Praxis shows serial number A3178 as E781 (Policy GB-2.13.A.2.d) | | | | |

Lake Patrol (2 BIO Action Forms):

Non-Compliance Deficiency

| District/Division | Employee | Shift Dates | Current Supervisor | Current Commander |
|---|----------|-------------|--------------------|-------------------|
| Lake Patrol | Sergeant | 06/15/2020 | Lieutenant | Captain |
| Deficiency | | | | |
| Shift roster documents vehicle 311528 for T835; Praxis shows vehicle 311651 for T835. (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection BAF2020-0055** | | | | |

| District/Division | Employee | Shift Dates | Current Supervisor | Current Commander |
|--|----------|-------------|--------------------|-------------------|
| Lake Patrol | Sergeant | Multiple | Lieutenant | Captain |
| Deficiency | | | | |
| 4/20/20 Shift roster documents vehicle 311642 for T524; Praxis shows vehicle 311490 for T524. (Policy GB-2.13.A.2.e) 5/18/20 Shift roster documents the same call sign (T522) for two different units (T522 was not a 2-man unit). (Policy GB-2.13.A.2.f) Shift roster documents wrong serial numbers for two different units (both T522 units have incorrect serial numbers). (Policy GB-2.13.A.2.d) 5/26/20 Shift roster documents the same call sign (T522) for two different units. (T522 was not a 2-man unit). (Policy GB-2.13.A.2.f) Shift roster documents wrong serial numbers for two different units (both T522 units have incorrect serial numbers). (Policy GB-2.13.A.2.d) 6/15/20 Shift roster documents the same call sign (T522) for two different units. (T522 was not a 2-man unit). (Policy GB-2.13.A.2.f) Shift roster documents wrong serial numbers for two different units (both T522 units have incorrect serial numbers). (Policy GB-2.13.A.2.d) 6/23/20 Shift roster documents serial number S2011 for T522; Praxis shows serial number S2066. (Policy GB-2.13.A.2.d) Shift roster documents serial number S2066 for T523; Praxis shows serial number S2011. (Policy GB-2.13.A.2.d) | | | | |

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **98.40%** compliance rate for Inspection #BI2020-0076, **17 BIO Action Forms**, are requested. The forms shall be completed utilizing Blue Team.

Date Inspection Started: 04/12/2020
Date Completed: 07/22/2020
Timeframe Inspected: April 1st, 2020 thru June 30th, 2020
Assigned Inspector(s): Sgt. Roger T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Todd Brice

8-10-20

Lieutenant Todd Brice S1767
Deputy Commander, Audits & Inspections Unit
Bureau of Internal Oversight

Date