

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



September 2020 Traffic Stop Data Inspection

Inspection Report# BI2020-0114

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

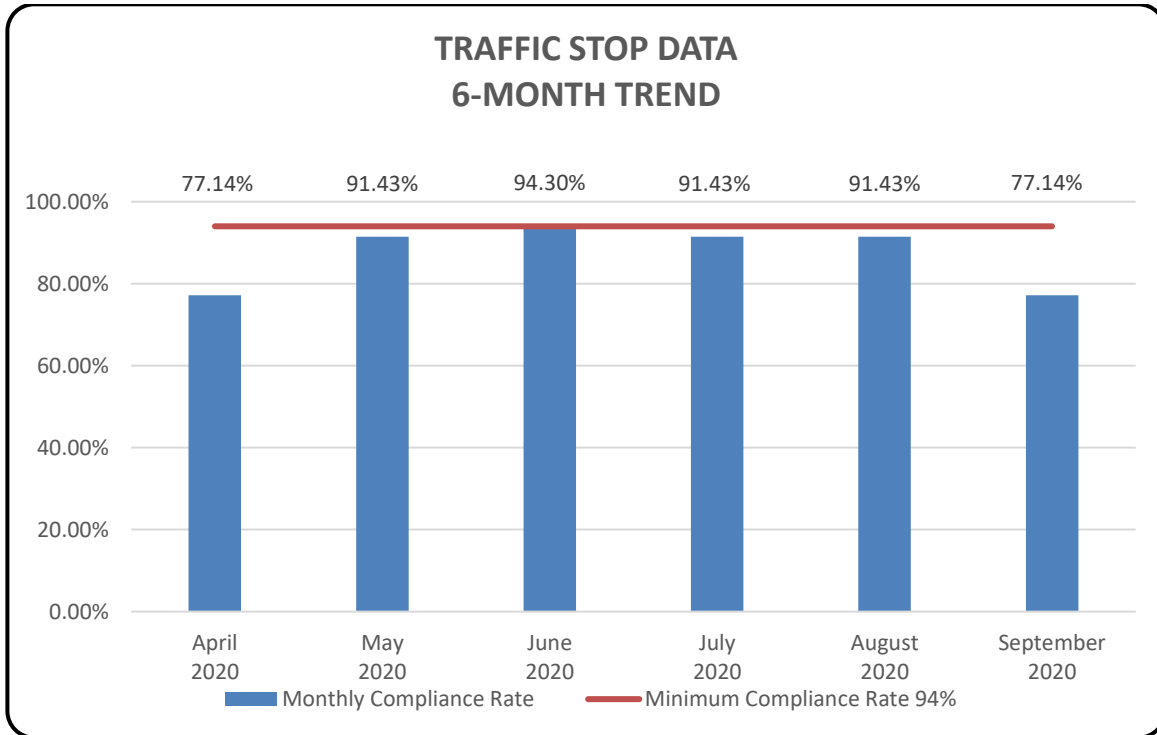
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of September 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

Results:

- 77.14% or 27 out of 35 traffic stops had no compliance deficiencies (27/35=77.14%)
- 28 out of 35 traffic stops had no deficiencies.
- The overall result is an 14.29% decrease in compliance percentage from the August 2020 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%
Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%

Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 nd person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 nd person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	4	31	35	89%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	0	35	35	100%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	2	33	35	94%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%

Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	1	9	10	90%
Review incident video footage to ensure deputies adhere to all MCSO Policies	1	9	10	90%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (3 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20184122	Deputy	Sergeant	Captain
Deficiency				
Deputy marked "0" for "Number of Additional Deputies on Scene" on VSCF. (Policy EB-2.A) Note: CAD shows an addition deputy on scene and there is a Deputy Assist log associated with this incident.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20184237	Deputy	Sergeant	Captain
Deficiency				
Deputy failed to tow the violator's vehicle as required by policy. (When the driver has been arrested and is not the owner, and no responsible party can be located, the vehicle shall be towed using a list tow truck) (Policy EB-5.12)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20184237	Deputy	Sergeant	Captain
Deficiency				
Deputy conducted an improper search of violators vehicle. (Policy EB-5.5)				

District 4 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC20185290	Deputy	Sergeant	Captain
Deficiency				
Deputy documented "W/M" on both Written Warning and VSCF for violator but BWC shows the person appears to be an Asian Male. (Policy EB-2.2.H)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC20185290	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.D)				

District 6 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 6	MC20172556	Deputy	Sergeant	Captain
Deficiency				
<ul style="list-style-type: none"> Deputy documented "No" for "Vehicle Search Conducted". Deputy conducted an "Inventory search" of the vehicle before having it towed. (Policy EB-2.N.2) Deputy cleared the incident "7P-Civil Citation/Property Impounded". Incident clearance "12-Tow Truck Request or Civil Citation with Vehicle Tow/Impound" should have been used. (Policy GI-1.3.B) 				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 6	MC20175800	Deputy	Sergeant	Captain
Deficiency				
Deputy marked "0" for "Number of Additional Deputies on Scene" on VSCF. (Policy EB-2.A)				
Note: CAD shows an addition deputy on scene and there is a Deputy Assist log associated with this incident.				

Lakes (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20174436 MC20174416	Deputy	Sergeant	Captain
Deficiency				
MC20174436 Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.D)				
MC20174416 Deputy did not provide Incidental Contact Forms to 2 individuals she identified. (Policy EB-1.12.C)				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 8 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: October 13th, 2020
Date Completed: October 16th, 2020
Timeframe Inspected: September 1st, 2020 thru September 30th, 2020
Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

Lt. Todd Brice

Lieutenant Todd Brice S1767
Deputy Commander, Audits and Inspections Unit
Bureau of Internal Oversight

11-5-2020

Date