

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection 2nd Quarter 2021

Inspection Report# BI2021-0075

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

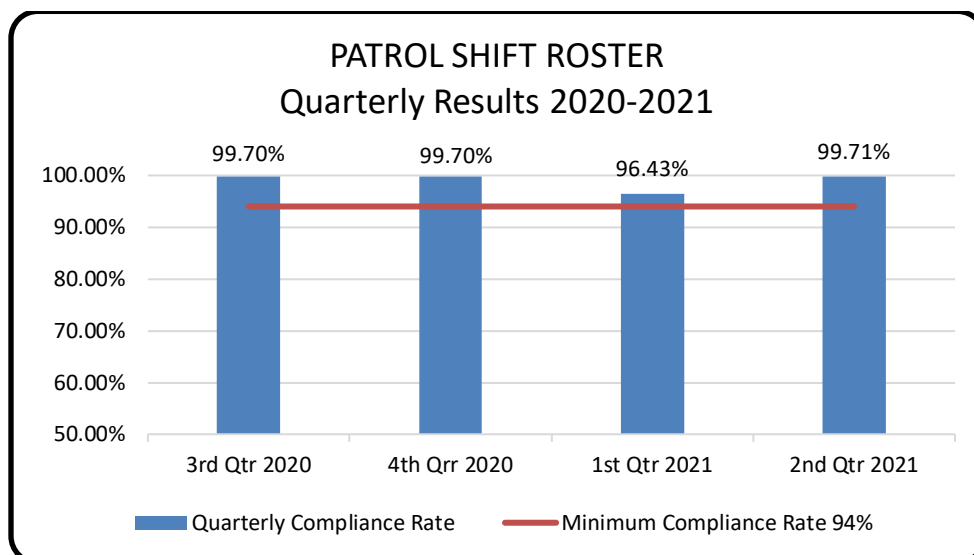
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A random selection of one day (24-hour period) per week for the months of April, May, and June was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the second quarter of 2021, there was a total of 120 rosters randomly selected for inspection; 100% of the randomly selected rosters was inspected.

MCSO achieved a compliance rate of **99.71% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the second quarter of 2021, as illustrated in the graph below:



Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	12	12	12	12	47	12	12
Non-Comp. Def.	0	7	17	0	10	8	1
Comp. Def.	0	0	0	0	1	0	0
Total Rosters	12	12	12	12	48	12	12
Compliance %	100%	100%	100%	100%	98%	100%	100%

The following deficiencies were noted during the inspection period:

District II (3 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District II	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
4/9/2021 shift roster documents serial no. S1823 for A231; Praxis shows serial no. S2170 for A231 (Policy GB-2.13.A.2.d)				
4/29/2021 shift roster documents vehicle 321819 for A235; Praxis shows vehicle 312055 for A235 (Policy GB-2.13.A.2.e)				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District II	Sergeant	4/9/2021	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 321922 for L220; Praxis shows vehicle 321914 for L220 (Policy GB-2.13.A.2.e)				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District II	Sergeant	4/16/2021	Lieutenant	Captain
Deficiencies				
1. Shift roster documents serial number S1643 for A220; Praxis shows serial number S1569 for A220 (Policy GB-2.13.A.2.d)				
2. Shift roster documents vehicle 321853 for A221; Praxis shows vehicle 321835 for A221 (Policy GB-2.13.A.2.e)				
3. Shift roster documents serial number S2182 for A223; Praxis shows serial number S2181 for A223 (Policy GB-2.13.A.2.d)				
4. Shift roster documents vehicle 321823 for A225; Praxis shows vehicle 312025 for A225 (Policy GB-2.13.A.2.e)				
5. Shift roster documents vehicle 321523 for A271; Praxis shows vehicle 321533 for A271 (Policy GB-2.13.A.2.e)				

District III (5 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District III	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
<ol style="list-style-type: none"> 4/9/2021 shift roster documents vehicle 312039 for A340; Praxis shows vehicle 312038 for A340 (Policy GB-2.13.A.2.e) 4/9/2021, 4/16/2021, and 4/24/2021 shift rosters document vehicle 321043 for A350; Praxis shows vehicle 312043 for A350 (Policy GB-2.13.A.2.e) 4/16/2021 shift roster documents vehicle 321037 for A371; Praxis shows vehicle 312037 for A371 (Policy GB-2.13.A.2.e) 				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District III	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
<ol style="list-style-type: none"> 5/14/2021 shift roster documents vehicle 312042 for A350; Praxis shows vehicle 312043 for A350 (Policy GB-2.13.A.2.e) 5/14/2021 shift roster documents serial no. S2121 for A341; Praxis shows serial no. S2127 for A341 (Policy GB-2.13.A.2.d) 6/24/2021 shift roster documents serial no. S2044 for A344; Praxis shows serial no. S2099 for A344 (Policy GB-2.13.A.2.d) 6/24/2021 shift roster documents call sign B382 for B1989; Praxis shows call sign E382 for B1989 (Policy GB-2.13.A.2.f) 				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District III	Sergeant	5/22/2021	Lieutenant	Captain
Deficiencies				
<ol style="list-style-type: none"> 5/22/2021 shift roster documents call sign L346 for S2117; Praxis shows call sign L345 for S2117 (Policy GB-2.13.A.2.f) 5/22/2021 shift roster documents call sign L351 for S2070; Praxis shows call sign L346 for S2070 (Policy GB-2.13.A.2.f) 6/24/2021 shift roster documents call sign H393 for S2237; Praxis shows call sign G344 for S2237 (Policy GB-2.13.A.2.f) 				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District III	Sergeant	5/27/2021	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 321545 for call sign L342; Praxis shows vehicle 321845 for call sign L342 (Policy GB-2.13.A.2.e)				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District III	Sergeant	4/24/2021	Lieutenant	Captain
Deficiencies				
<ol style="list-style-type: none"> Shift roster documents serial number S1643 for A230; Praxis shows serial number S1569 for A230 (Policy GB-2.13.A.2.d) Shift roster documents vehicle 312026 for A223; Praxis shows vehicle 312025 for A223 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321819 for A225; Praxis shows vehicle 321844 for A225 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321533 for A220; Praxis shows vehicle 321922 for A220 (Policy GB-2.13.A.2.e) <p>Note: These deficiencies occurred when the employee was assigned to District II. **Employee has 1 previous BAF for shift roster inspection (BAF2021-0040)**</p>				

District VI (2 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District VI	Sergeant	4/9/2021	Lieutenant	Captain
Deficiency				
Shift roster documents call sign A685 for serial number S1972; Praxis shows call sign A686 for serial number S1972 (Policy GB-2.13.A.2.f)				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District VI	Sergeant	5/14/2021	Lieutenant	Captain
Deficiencies				
<ol style="list-style-type: none"> Shift roster documents call sign G650 for serial number S2035; Praxis shows call sign L650 for serial number S2035 (Policy GB-2.13.A.2.f) Shift roster documents call sign G651 for serial number S2240; Praxis shows call sign L651 for serial number S2240 (Policy GB-2.13.A.2.f) Shift roster documents call sign G652 for serial number S2189; Praxis shows call sign L652 for serial number S2189 (Policy GB-2.13.A.2.f) Shift roster documents call sign G653 for serial number S2178; Praxis shows call sign L653 for serial number S2178 (Policy GB-2.13.A.2.f) Shift roster documents call sign G654 for serial number S1727; Praxis shows call sign L654 for serial number S1727 (Policy GB-2.13.A.2.f) Shift roster documents call sign G655 for serial number S2199; Praxis shows call sign L655 for serial number S2199 (Policy GB-2.13.A.2.f) Shift roster documents call sign G656 for serial number S2229; Praxis shows call sign L656 for serial number S2229 (Policy GB-2.13.A.2.f) 				

District VII (1 BIO Action Form):

Non-Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District VII	Sergeant	4/29/2021	Lieutenant	Captain
Deficiency				
Shift roster documents serial number B3178 for E782; Praxis shows serial number B4684 for E782 (Policy GB-2.13.A.2.d)				

Lake Patrol (4 BIO Action Form):

Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
River	Sergeant	4/24/2021	Lieutenant	Captain
Deficiency				
No shift roster was completed for the day. (Policy GB-2.13.A.2)				

Non-Compliance Deficiencies

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Bartlett	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
1. 4/16/2021 shift roster documents vehicle 711503 for T834; Praxis shows vehicle S2003 for T834 (Policy GB-2.13.A.2.e) 2. 4/24/2021 shift roster documents vehicle 311528 for T835; Praxis shows vehicle 311520 for T835 (Policy GB-2.13.A.2.e) 3. 6/19/2021 shift roster documents vehicle 351528 for T835; Praxis shows vehicle 311528 for T835 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0252)**				
District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Pleasant	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
1. 4/29/2021 shift roster documents vehicle 321861 for T806; Praxis shows no vehicle for T806 (Policy GB-2.13.A.2.e) 2. 6/4/2021 and 6/24/2021 shift rosters document vehicle 321938 for T540; Praxis shows vehicle 321861 for T540 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0319)**				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Trail	Sergeant	Multiple	Lieutenant	Captain
Deficiencies				
6/4/2021, 6/11/2021, 6/19/2021, and 6/24/2021 shift rosters document vehicle 321868 for T856; Praxis shows vehicle 311490 for T856 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0242)**				

Enforcement Support (1 BIO Action Form):

Non-Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Enforcement Support	Sergeant	4/24/2021	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 312029 for L221; Praxis shows vehicle 312026 for L221 (Policy GB-2.13.A.2.e) Note: This deficiency occurred when the employee was assigned to District II.				

Unless noted above in the deficiency tables, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Action Required:

With the resulting **99.71%** compliance rate for Inspection #BI2021-0075, **16 BIO Action Forms** are requested. The forms shall be completed utilizing BlueTeam.

Date Inspection Started: May 20, 2021
 Date Completed: July 27, 2021
 Timeframe Inspected: April 1st to June 30th, 2021
 Assigned Inspector(s): Connie Phillips B3345

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

Lieutenant Jonathan Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

8/9/2021

Date