

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



January 2023 Traffic Stop Data Inspection

Inspection Report# BI2023-0001

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

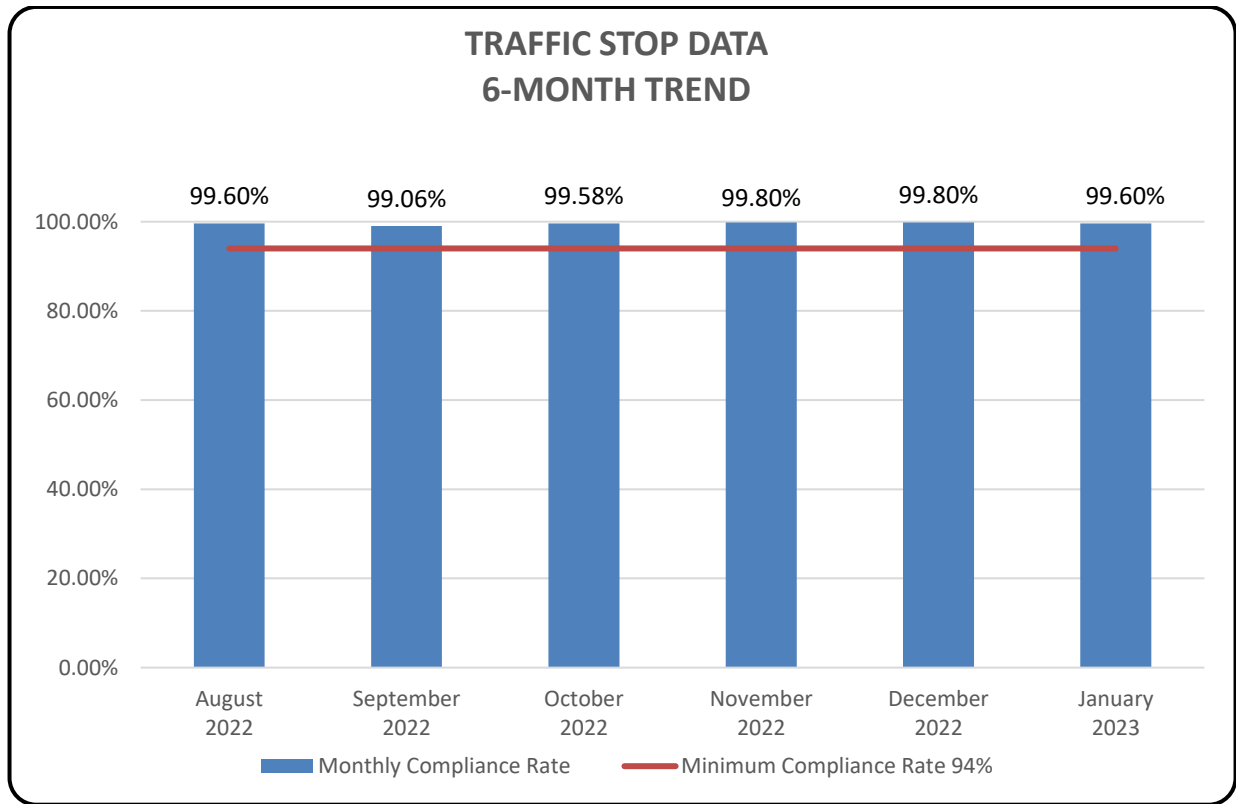
Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of January 2023. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

Results:

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.60%** for January of 2023, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 nd person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 nd person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	2	33	35	94%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	1	34	35	97%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	1	34	35	97%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%

Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.E)				

District 2 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy used the incorrect disposition code for the traffic stop. (Policy GI-1.3.B) Note: Traffic stop was cleared "7- Civil Citation - No IR" when disposition code "7W-Warning Issued" should have been used due to the violator was provided a warning and not a citation. **Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0234)**				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy did not conduct license/warrants check on violator. (Policy EB-1.10.D) **Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0282)**				

District 3 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.E)				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy did not conduct license/warrants check on violator. (Policy EB-1.10.D)				

District 7 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	Redacted	Deputy	Sergeant	Captain
Deficiency				
Deputy documented the wrong license plate of the violator’s vehicle on Warning and VSCF. (Policy EB-1.14.A.5) **Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0052)**				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 6 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: February 6th, 2023
 Date Completed: February 13th, 2023
 Timeframe Inspected: January 1st, 2023 to January 31st, 2023
 Assigned Inspector(s): Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Brian Arthur
 Lieutenant Timothy Arthur S1806
 Commander, Audits and Inspections Unit
 Bureau of Internal Oversight

3/14/2023
 Date