

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**Sworn Facility Inspection March 2023: SWAT**

Inspection Report# BI2023-0033

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct Sworn Facility and Property Inspections on an ongoing basis. The purpose of this inspection is to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors this, inspectors will select one of the MCSO sworn facilities and conduct an inspection using the *Sworn Facility Matrix* developed by the AIU.

**Matrix Procedures:**

- Utilize Sworn Facility Inspection Matrix to review/check administration, building, assigned vehicles and any property and evidence for policy compliance.
- Use the OIM Property and Evidence System to retrieve item history report and obtain a random sample (if applicable).
- Verify items from the random sample are in their designated storage location and verify the item matches the item description (if applicable).

**Criteria:**MCSO Policies and Divisional Operations Manual:

|       |   |
|-------|---|
| CP-2  | Code of Conduct                           |
| CP-6  | Bloodborne Pathogens                      |
| CP-9  | Occupational Safety Programs              |
| EA-2  | Patrol Vehicles                           |
| EA-3  | Non-Traffic Contact                       |
| EA-19 | Juvenile Operations                       |
| EB-2  | Traffic Stop Data Collection              |
| GA-1  | Development of Written Orders             |
| GA-3  | Operations Manual Format                  |
| GB-2  | Command Responsibly                       |
| GC-9  | Personnel Information, Records, and Files |
| GD-1  | General Office Procedures                 |
| GD-4  | Use of Tobacco Products                   |
| GD-14 | Access to Secured Office Buildings        |

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|-------|--|
| GD-15 | Emergency Evacuation Plans                             |
| GE-3  | Property Management and Evidence Control               |
| GE-4  | Use, Assignment and Operation of Vehicles              |
| GF-3  | Criminal History Record Information and Public Records |
| GF-5  | Incident Report Guidelines                             |
| GG-1  | Peace Officer Training Administration                  |
| GG-2  | Detention/Civilian Training Administration             |
| GH-4  | Bureau of Internal Oversight                           |
| GJ-24 | Community Relations and Youth Programs                 |

**Conditions:**

For the month of March 2023, MCSO Special Weapons and Tactics Division (SWAT) was randomly selected as the Sworn Facility for BIO Inspection.

The SWAT Division is organizationally placed in the Enforcement Patrol Bureau West and located at 3335 W. Durango, Phoenix, AZ 85009.

The Division consists; of one (1) Captain (SWAT Division Commander), two (2) Lieutenants (Tactical Commanders), and four (4) Sergeants (TOU Supervisor, EOD Supervisor, and two (2) Canine Supervisors). The SWAT Division is comprised of the Tactical Operations Unit (TOU), the Explosives Ordinance Disposal Unit (EOD), the Sniper Unit, and the Canine Unit. Each of these units is highly trained and specialized to perform certain specific tasks, both individually and together, in resolving incidents confronting the deputies and citizens of Maricopa County.

The purpose of the MCSO SWAT Division is to save lives, protect the innocent and serve the residents of Maricopa County in all missions and tasks as determined by the Sheriff of Maricopa County and the goals and objectives of the Maricopa County Sheriff's Office.

The Maricopa County Sheriff's Office SWAT Division is comprised of Deputy Sheriffs selected through a competitive process that are trained to a high level in tactics and specialized equipment. The Division responds to all barricade/hostage situations and serves all high-risk search warrants for other office components or other Law Enforcement agencies at their request. The Division serves as first responders for

any tactical situation that might occur at the Palo Verde Nuclear Generating station. The Division will also assist any other unit or division within the Office with manpower requests and adjust the Division's tactics accordingly to meet any specific need.

On March 08, 2023, a pre-inspection agenda meeting was conducted at SWAT with a lieutenant and the administrative assistant. The scope of the inspection and details of the daily operation of SWAT were discussed. The BIO inspection matrix containing the items of inspection was provided to the Command staff for review. During the pre-inspection, BIO Auditor answered questions.

**Objectives:**

To determine that SWAT maintained and documented in accordance with applicable key statutes, regulations, industry best practices, District Operations Manual, and MCSO policy. The Operations Manual was updated as of June 22,2022.

**Facility Inspection:**

On March 21, 2023, a Sworn Facility and Property Inspection was conducted at SWAT based on a random selection of Sworn divisions/units which were not yet inspected during the calendar year.

During the inspection, the Audits and Inspection Unit personnel found the facilities were secure with access limited to assigned personnel. Any other approved persons granted access to the interior working areas must present credentials or have key card access. The facilities are well maintained and orderly.

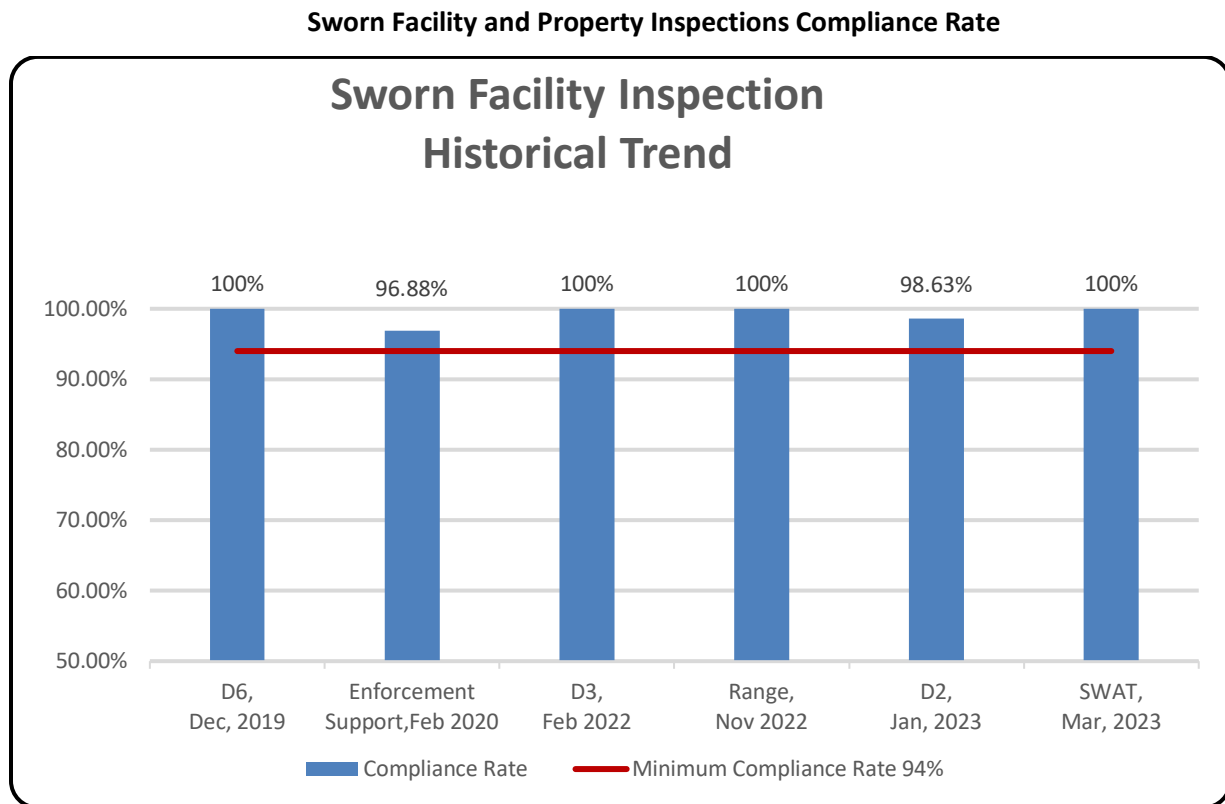
No evidence was discovered during the inspection indicating Sheriff Office facilities and/or equipment were being used in a manner that discriminates or denigrates anyone on the basis of race, color, national origin, age, religious beliefs, gender, culture, sexual orientation, veteran status, or disability.

**Sworn Facility Inspection Matrix:**

The SWAT facility was inspected using the Sworn Facility Inspection Matrix that contains a total of 52 inspection items (to include 10 assigned vehicles inspected). Of the 52 items of inspection, 14 were not applicable resulting in 38 items of inspection in the matrix. The staff was organized and had all the required documentation in accessible locations, clearly identified, organized, and secured properly.

**There were no deficiencies noted during this inspection.**

Below is a historical comparison of Sworn Facility and Property Inspection compliance rates for the past six prior sworn facility Inspections.



**Results:**

There were no deficiencies noted in the inspection on March 21, 2023, which resulted in an overall compliance rate of **100%**.

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Date Inspection Started: March 21, 2023  
Date Completed: April 10, 2023  
Timeframe Inspected: March 2023  
Assigned Inspector: Sr. Internal Auditor Specialized M. De La Cruz B4608  
Assigned Inspector: Sr. Internal Auditor Specialized D. Paul B5459  
Assisting Inspector: Sgt. R. Levy S1881  
Assisting Inspector: Sgt. R. Bierwalter S1263

I have reviewed this inspection report.

*Lt. Brian Arthur*  
Lieutenant T. Brian Arthur S1806  
Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

4/21/2023  
Date