

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**August 2020 Traffic Stop Data Inspection**

Inspection Report# BI2020-0102

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

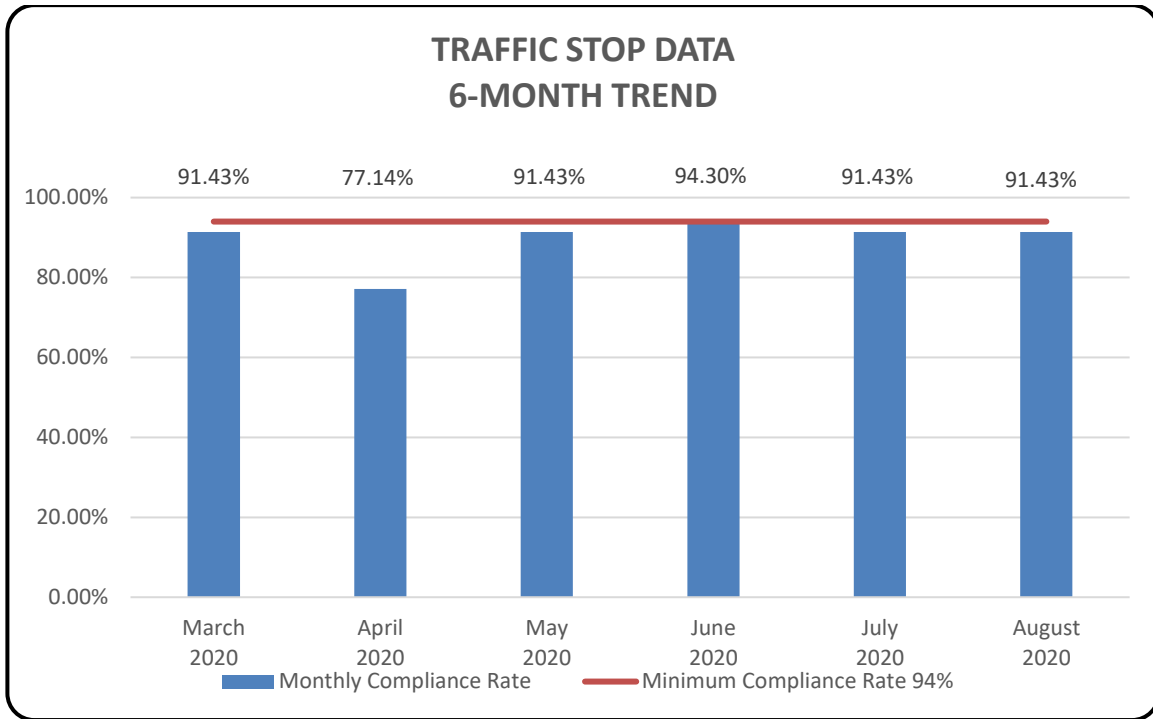
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of July 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

**Results:**

- 91.43% or 32 out of 35 traffic stops had no compliance deficiencies (32/35=91.43%)
- 31 out of 35 traffic stops had no deficiencies.
- The overall results were no change in compliance percentage from the July 2020 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	1	34	35	97%
Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%

Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	1	34	35	97%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	1	34	35	97%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%

Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 2 (4 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC20156374	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. <b>(Policy EB-2.2.D)</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC20154630	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy coded incident "910S-Suspended Driver's License". Incident code "910SP-Speeding" should have been used for the deputy's actions because he issued a citation for speeding. The violator did not have a suspended license <b>(Policy GI-1.3.B)</b>				
<b>Note:</b> Audio communication between dispatch and deputy was reviewed and deputy did verbally clear his traffic stop as "910S".				
<b>**Employee has 2 prior BIO Action Forms for Traffic Stop Data Inspection (BAF2019-0021 &amp; BAF2020-0155)**</b>				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC20151958	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy documented the wrong license plate of the violator’s vehicle on VSCF and Citation. <b>(Policy EB-1.14.A.5)</b> <b>Note:</b> BWC footage was viewed and deputy voiced the proper license plate to dispatch as documented in CAD.				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC20153350	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not provide a “self-introduction” to the violator upon initial contact. <b>(Policy EB-1.10.A)</b>				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

**A total of 4 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).**

Date Inspection Started: September 10<sup>th</sup>, 2020  
 Date Completed: September 22<sup>nd</sup>, 2020  
 Timeframe Inspected: August 1<sup>st</sup>, 2020 thru August 31<sup>st</sup>, 2020  
 Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

Lt. Todd Brice 10-5-2020  
 Lieutenant Todd Brice S1767 Date  
 Deputy Commander, Audits and Inspections Unit  
 Bureau of Internal Oversight