

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection May 2019

Inspection Report# BI2019-0071

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a monthly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.

Criteria:

MCSO Policy GB-2 (Sections 4 & 13.A1), *Command Responsibility*:

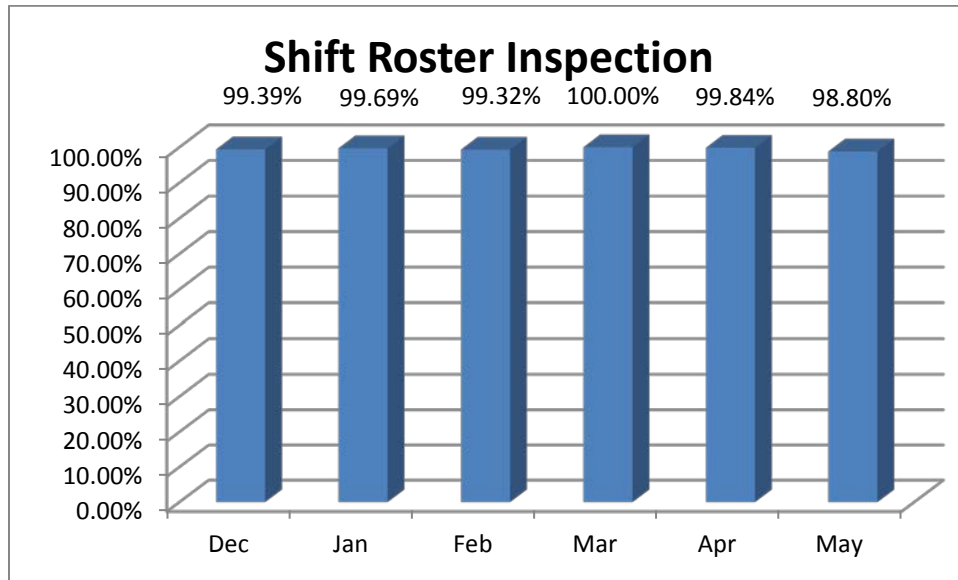
4. Direct Control: First-line patrol supervisors shall be assigned as primary supervisor to no more persons than it is possible to effectively supervise. First-line patrol supervisors shall be assigned to supervise no more than eight deputies, but in no event, should a patrol supervisor be responsible for more than 10 deputies. If circumstances warrant an increase or decrease in the level of supervision for any unit, squad, or shift, the reason shall be documented in a memorandum. The memorandum documenting the request for an increase or decrease shall be forwarded through the chain of command. Upon review completion, a copy of the memorandum with command responses shall be forwarded to CID for distribution, and to the BIO for notification for any potential inspections that may be related. Supervisors establishing a span of control shall consider the following factors:
 - A. The complexity and nature of the supervisor's duties, and the complexity of the subordinate's duties;
 - B. The capabilities and experience of the supervisor and their subordinates;
 - C. The geographic size of the district; and
 - D. The volume of calls for service.
13. **Sworn Supervisor Responsibilities:** In addition to other matters addressed in this Office Policy, sworn supervisors shall provide the effective supervision necessary to ensure that deputies are following Office policies or procedures, federal, state, or local criminal or applicable civil laws, administrative rules and regulations.

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- A. Clearly Identified Supervisor: All deputies shall be assigned to a single, consistent, and clearly identified supervisor. Patrol supervisors shall be assigned to supervise no more than eight deputies, but in no event should a supervisor be responsible for more than ten deputies.
1. First-line patrol supervisors shall ensure that a Daily Shift Roster is completed for each shift in order to reflect the subordinates that are working under that supervisor for each day worked. The Daily Shift Roster shall be completed by the end of shift and include, but need not be limited to, the following:
- a. The date, listed as DD/MM/YY;
 - b. The shift times;
 - c. The supervisor's name and serial number;
 - d. All employees supervised to include their serial numbers and letter designator:
 - (1) "S" signifies deputy sheriff;
 - (2) "A" and "B" signifies either a detention officer or civilian employee;
 - (3) "R" signifies reserve deputy;
 - (4) "V" signifies a former compensated deputy who retires and is approved for reserve deputy status;
 - (5) "P" signifies posse member;
 - e. The assigned vehicle number;
 - f. The employee's call sign; and
 - g. The names of any public observers, deputy in training, posse member, reserve deputy, or any other pertinent information.

Conditions:

An inspection of all Daily Patrol Shift Rosters was completed for the month of May 2019. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. There was a total of 666 rosters in May available for inspection; 666 or 100% of the rosters were inspected.

MCSO achieved a compliance rate of **98.80%** in the Daily Patrol Shift Roster inspection of May 2019, as illustrated in the graph below:



Division:	<u>District One</u>	<u>District Two</u>	<u>District Three</u>	<u>District Four</u>	<u>District Five</u>	<u>District Six</u>	<u>District Seven</u>
In Compliance:	123	66	65	66	173	93	72
Total Rosters:	124	66	66	67	175	93	75
Compliance %:	99.19%	100%	98.48%	98.51%	98.86%	100%	96.00%

The following deficiencies were observed during the inspection period:

District I (1 BIO Action Form):

Dist/Div	Sworn Employee Name	Shift Date	Division Commander	Deficiency
District One	Sergeant	05/24/2019	Captain	Shift Roster for Squad 5 had a different date from the day worked.

District II (1 BIO Action Form):

Dist/Div	Sworn Employee Name	Shift Date	Division Commander	Deficiency
*District Two	Sergeant	05/25/2019	*Captain	Shift Roster for Squad 3 had a different date from the day worked.

*The employee is now assigned to District 2, however, the deficiency occurred while the employee was assigned to District 4.

District III (1 BIO Action Form):

Dist/Div	Sworn Employee Name	Shift Date	Division Commander	Deficiency
District Three	Sergeant	05/27/2019	Captain	Squad 2 had 1 Supervisor for 8 Deputies + 1 Posse for 4 hours. No memo located.

District VII (3 BIO Action Forms):

Dist/Div	Sworn Employee Name	Shift Date	Division Commander	Deficiency
District Seven	Sergeant	05/3/2019	Captain	Shift Roster for Squad 1 had a vehicle number missing from one Deputy.
District Seven	Sergeant	05/7/2019	Captain	Shift Roster for Squad 1 has an inaccurate call sign.
District Seven	Sergeant	05/9/2019	Captain	Shift Roster for Squad 1 lists second Sgt twice with different vehicle numbers for each occurrence.

Lakes (2 BIO Action Forms):

Dist/Div	Sworn Employee Name	Shift Date	Division Commander	Deficiency
Lakes	Sergeant	05/6/2019	Captain	Shift Roster for Bartlett Squad missing call sign for one Deputy.
Lakes	Sergeant	05/1/2019	Captain	Shift Roster for River Squad missing date for one Deputy.

A total of **8** BIO Action Form is requested from the affected division. **The form shall be completed utilizing Blue Team.**

Recommendations:

It is recommended that supervisors continue mentoring staff in the proper completion of the Daily Patrol Shift Rosters, keeping in mind the importance of uploading the document to the U-Drive in a timely manner. In doing so, **all** Daily Patrol Shift Rosters will be made available for inspection. In addition, it is recommended Division Command periodically review the shift rosters for accuracy and completeness.

It is also recommended that divisions conduct routine inspections of their shift rosters for missing data and/or errors.

Date Inspection Started: 06/10/2019
Date Completed: 06/13/2019
Timeframe Inspected: May 1st – 31st, 2019
Assigned Inspector(s): Sgt. R. Levy S1881
Assisting Inspector: Mgt. Analyst K. Ellis B4299

I have reviewed this inspection report.

Lt. D. Reaulo S1678
Lieutenant Dominick Reaulo S1678
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

7/2/2019
Date