

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection 3rd Quarter 2020

Inspection Report# BI2020-0116

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

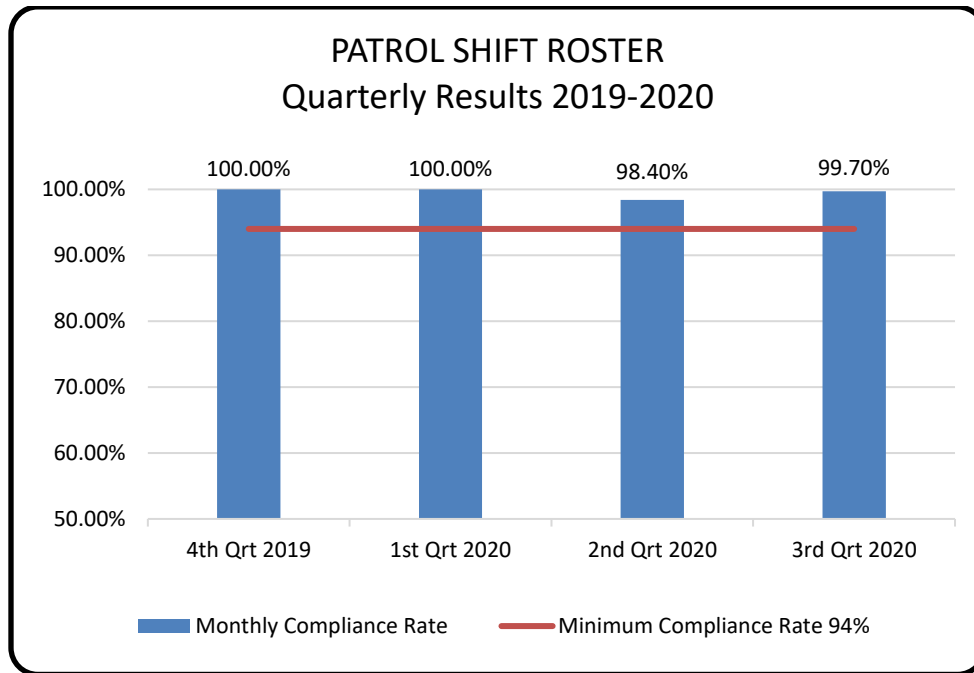
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A random selection of one day (24-hour period) per week for the months of July, August and September was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the 3rd quarter of 2020; there was a total of 120 rosters randomly selected for inspection. 100% of the randomly selected rosters were inspected.

MCSO achieved a compliance rate of **99.70% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the 3rd quarter of 2020, as illustrated in the graph below:



Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance:	12	12	11	12	48	12	12
Non-Comp. Def.	0	15	3	3	3	1	3
Comp. Def.	0	0	1	0	0	0	0
Total Rosters:	12	12	12	12	48	12	12
Compliance %:	100%	100%	92%	100%	100%	100%	100%

The following deficiencies were noted during the inspection period:

District II (3 BIO Action Forms):

Non-Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
8/10/20 Shift roster documents vehicle 321826 for L224; Praxis shows vehicle 321835 for L224 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321827 for L225; Praxis shows vehicle 321865 for L225 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 312823 for L231; Praxis shows vehicle 321844 for L231 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321871 for L232; Praxis shows vehicle 321863 for L232 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321643 for L234; Praxis shows vehicle 321907 for L234 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 321508 for L235; Praxis shows vehicle 321412 for L235 (Policy GB-2.13.A.2.e) 9/23/20 Shift roster documents vehicle 321026 for L222; Praxis shows vehicle 312026 for L222 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF's for shift roster inspection (BAF2020-0137 & BAF2020-0239)**				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
7/29/20 Shift roster documents call sign A232 for S1409; Praxis shows call sign A233 for S1409 (Policy GB-2.13.A.2.f) 8/22/20 Shift roster documents serial number S2212 for M234; Praxis shows serial number S2215 for M234 (Policy GB-2.13.A.2.d) Shift roster documents serial number S2215 for L235; Praxis shows serial number S2212 for L235 (Policy GB-2.13.A.2.d) **Employee has 2 previous BAF's for shift roster inspection (BAF2020-0138 & BAF2020-0240)**				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
7/13/20 Shift roster documents serial number S2246 for M221; Praxis shows serial number S2225 for M221 (Policy GB-2.13.A.2.d)				
7/29/20 Shift roster documents serial number S2126 for L234; Praxis shows serial number S1702 for L234 (Policy GB-2.13.A.2.d)				
Shift roster documents serial number S1702 for L235; Praxis shows serial number S2126 for L235 (Policy GB-2.13.A.2.d)				
Employee has 1 previous BAF for shift roster inspection (BAF2020-0243)				

District III (3 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	08/06/2020	Lieutenant	Captain
Deficiency				
Shift roster documents call sign L347 for S2117; Praxis shows call sign L346 for S2117 (Policy GB-2.13.A.2.f)				
Employee has 3 previous BAF's for shift roster inspection (BAF2020-0046, BAF2020-0142 & BAF2020-0246)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Lieutenant	Multiple	Captain	Captain
Deficiency				
7/9/20 Shift roster documents no vehicle for A230; Praxis shows vehicle 311643 for A230 (Policy GB-2.13.A.2.e)				
8/26/20 Shift roster documents vehicle 321826 for A224; Praxis shows vehicle 321537 for A224 (Policy GB-2.13.A.2.e)				
Note: This deficiency occurred when the employee was the rank of Sergeant in District 2.				
Employee has 2 previous BAF's for shift roster inspection (BAF2019-0229 & BAF2020-0241)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	09/19/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 321037 for A351; Praxis shows vehicle 312037 for A351 (Policy GB-2.13.A.2.e) **Employee has 2 previous BAF's for shift roster inspection (BAF2019-0192 & BAF2020-0144)**				

District IV (4 BIO Action Forms):

Non-Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 4	Sergeant	08/22/2020	Lieutenant	Captain
Deficiency				
Shift roster documents call sign L431 for S2242; Praxis shows call sign M431 for S2242 (Policy GB-2.13.A.2.f) **Employee has 2 previous BAF's for shift roster inspection (BAF2019-0110 & BAF2019-0232)**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 4	Sergeant	09/03/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 3214104 for L436; Praxis shows vehicle 312042 for L436 (Policy GB-2.13.A.2.e) Shift roster documents serial number S1193 for L430; Praxis shows serial number S1929 for L430 (Policy GB-2.13.A.2.c)				

Assigned District when Deficiency Occurred	Current Assigned District	Employee	Shift Date	Current Supervisor	Current Commander
District 7	District 4	Sergeant	09/07/2020	Lieutenant	Captain
Deficiency					
Shift roster documents vehicle 311516 for L744; Praxis shows vehicle 321935 for L744 (Policy GB-2.13.A.2.e) Note: This deficiency occurred while the employee was assigned to District 7.					

District VI (1 BIO Action Form):

Non-Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 6	Sergeant	08/10/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 311425 for A680; Praxis shows vehicle S1820 for A680 (Policy GB-2.13.A.2.e) **Employee has 3 previous BAF's for shift roster inspection (BAF2019-0002, BAF2019-0281 & BAF2020-0247)**				

District VII (1 BIO Action Form):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 7	Sergeant	08/26/2020	Lieutenant	Captain
Deficiency				
Shift roster documents vehicle 311972 for G740/L740; Praxis shows vehicle 311792 for G740/L740 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2019-0146)**				

Lake Patrol (2 BIO Action Forms):

Non-Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	07/13/2020	Lieutenant	Captain
Deficiency				
Shift roster documents all units working with a call sign designation of "L"; all units should have call sign designation "G". (Policy GB-2.13.A.2.f) Note: The deficiency occurred while the employee was covering a patrol shift in District 7. **Employee has 1 previous BAF for shift roster inspection BAF2020-0055**				

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
Lake Patrol	Sergeant	Multiple	Lieutenant	Captain
Deficiency				
8/22/20 Shift roster documents vehicle 311528 for T848; Praxis shows vehicle 311488 for T848 (Policy GB-2.13.A.2.e) Shift roster documents vehicle 311653 for T544; Praxis shows vehicle 321947 for T544 (Policy GB-2.13.A.2.e) 8/26/20 Shift roster documents vehicle 311653 for T544; Praxis shows vehicle 321947 for T544 (Policy GB-2.13.A.2.e) **Employee has 1 previous BAF for shift roster inspection (BAF2020-0052)**				

Courts (1 BIO Action Form):

Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Courts	Sergeant	07/25/2020	Captain	Captain
Deficiency				
Shift roster documents the on-duty Sergeant exceeded the 8:1 ratio of supervision without completing a span of control memorandum. (Policy GB-2.13.A.4) Note: The deficiency occurred while the employee was assigned to District 3. **Employee has 2 previous BAF's for shift roster inspection (BAF2020-0043 & BAF2020-0244)**				

Non-Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Courts	Sergeant	09/19/2020	Captain	Captain
Deficiency				
Shift roster documents vehicle 321821 for L341; Praxis shows vehicle 321514 for L341 (Policy GB-2.13.A.2.e)				
Note: The deficiency occurred while the employee was assigned to District 3.				
Employee has 2 previous BAF's for shift roster inspection (BAF2020-0043 & BAF2020-0244)				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **99.70%** compliance rate for Inspection #BI2020-0116, **14 BIO Action Forms**, are requested. The forms shall be completed utilizing Blue Team.

Date Inspection Started:	07/11/2020
Date Completed:	10/15/2020
Timeframe Inspected:	July 1 st , 2020 thru September 30 th , 2020
Assigned Inspector(s):	Sgt. Roger T. Bierwalter S1263

I have reviewed this inspection report.

<p style="text-align: center;"><i>Lt. Todd Brice</i></p> <hr style="width: 100%;"/> <p>Lieutenant Todd Brice S1767 Deputy Commander, Audits & Inspections Unit Bureau of Internal Oversight</p>	<p style="text-align: center;">11-5-2020</p> <hr style="width: 100%;"/> <p>Date</p>
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