

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Discussion Inspection: May 2021

Inspection Report# BI2021-0077

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2, MCSO Administrative Broadcast 16-56, and Melendres Court Order Paragraph 85.

Compliance Objectives:

Utilizing the TraCS Traffic Stop Discussion Matrix, review each selected deputy TraCS data to ensure the following for May 2021:

- Determine what district the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame by applying the "Discussed with Deputy" indicator
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance*

MCSO Policy GB-2, *Command Responsibility*

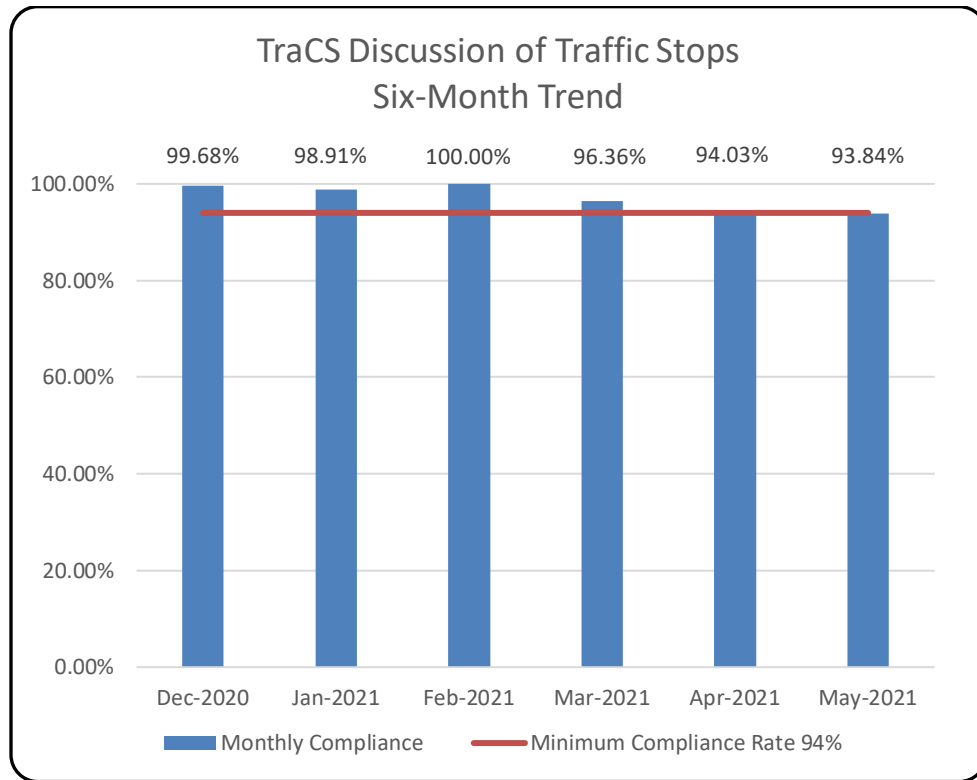
MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Melendres Court Order Paragraph 85

Conditions:

MCSO's assigned Court Monitors provided a sample of 27 Deputies from all Patrol Districts for the Discussion Inspection covering data from May 2021. The sample of 27 Deputies provided a total of 211 traffic stops available for inspection; all 211 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of **93.84%** in the Discussion of Traffic Stops for the month of May 2021, as illustrated in the table below:



The compliance rate for each division is illustrated in the table below:

District	Dist. 1	Dist. 2	Dist. 3	Dist. 4	Lakes	Dist. 6	District 7	Total
In Compliance	4	3	11	28	94	23	35	198
Total Stops	4	3	14	28	94	23	45	211
Compliance %	100%	100%	78.57%	100%	100%	100%	77.78%	93.84%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

District 3 (1 BIO Action Form)

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 3	MC21099486	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “Discussed with Deputy” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/31/2021 VSCF discussed with deputy indicator not applied 				

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 3	MC21099575	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/31/2021 VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 3	MC21099601	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/31/2021 VSCF discussed with deputy indicator not applied 				

District 7 (1 BIO Action Form)

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21084869	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/10/2021 VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21084893	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/10/2021 VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21084930	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/10/2021 VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21087679	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> VSCF completed on 5/14/2021 VSCF discussed with deputy indicator not applied 				

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21087701	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/14/2021 • VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21088309	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/15/2021 • VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21088324	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/15/2021 • VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21089059	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/16/2021 • VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21089084	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/16/2021 • VSCF discussed with deputy indicator not applied 				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21089275	Deputy	Sergeant	Captain
Deficiency				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ Discussed with Deputy ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> • VSCF completed on 5/16/2021 • VSCF discussed with deputy indicator not applied 				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Action Required:

With the resulting **93.84%** compliance for *Inspection BI2021-0077*, a total of **2** BIO Action Forms are requested from the affected Districts addressing the deficiency. **The forms shall be completed utilizing Blue Team.**

Date Inspection Started: July 07, 2021
Date Completed: July 12, 2021
Timeframe Inspected: May 01-31, 2021
Assigned Inspector(s): Ronda Jamieson B3178

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674
Lt Jonathan Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

7/27/2021
Date