

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report May 2021

Inspection # BI2021-0067

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct monthly inspections of the Early Identification System (EIS) Alerts. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, will select a sample of **15** EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts are then provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received the **10** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the selected EIS Alerts.

The inspection results for the selected 10 EIS Alerts completed/closed during May 2021:

Inspection Element	Total Inspected	In Compliance	Not in Compliance	Compliance Rate
The Alert was addressed and returned to the EIU within 30 calendar days as required by policy	10	9	1	90%
For Alerts NOT returned to the EIU within 30 days, determine if the assigned division timely requested, and the EIU granted, a time extension	1	0	1	0%
For Alerts for which a time extension was granted by the EIU, was the Alert addressed and returned to the EIU by the new due date	N/A	N/A	N/A	N/A
Compliance for EIS Alerts Completed/Closed During May 2021	10	9	1	90%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Intervention(s) Initiated by Command	Administrative Investigation in Progress
EA2020-0128	Alert2020-0118	No Further Action	Yes
EA2021-0003	Alert2021-0003	Meeting with the Supervisor	Yes
EA2021-0005	Alert2021-0005	No Further Action	No
EA2021-0006	Alert2021-0006	Meeting with the Supervisor	No
EA2021-0013	Alert2021-0013	No Further Action	Yes
EA2021-0015	Alert2021-0015	Squad Briefing	Yes
EA2021-0017	Alert2021-0017	Meeting with the Supervisor	Yes
EA2021-0019	Alert2021-0019	Meeting with the Supervisor	No
EA2021-0020	Alert2021-0020	No Further Action Meeting with the Supervisor	Yes

EA2021-0023	Alert2021-0023	Meeting with the Supervisor	Yes
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The following deficiencies were identified during the inspection process and require that a BIO Action Form be completed by the affected division.

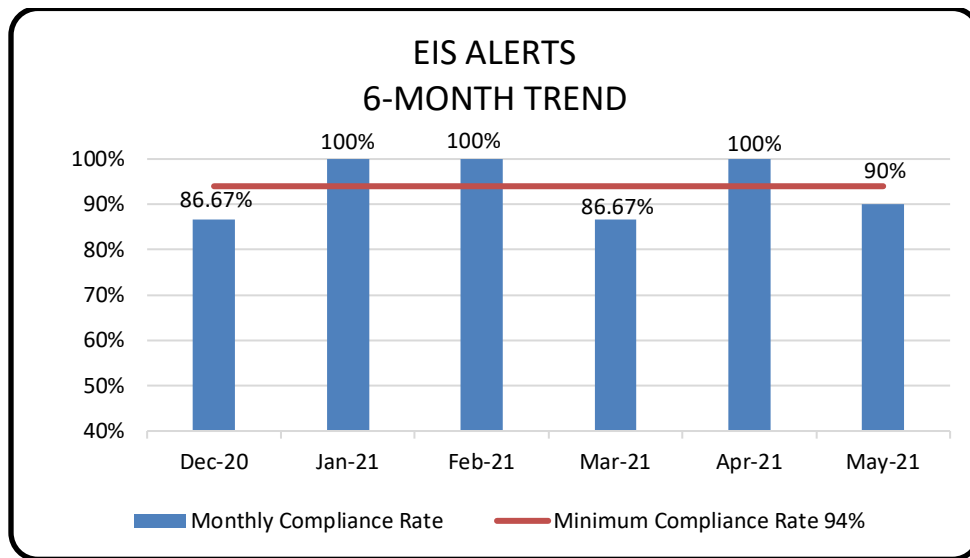
Watkins Jail (1 BIO Action Form):

EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
EA2021-0013	Alert2021-0013	Sergeant	Lieutenant	Captain
Deficiency				
The EIS Alert was <u>not</u> returned to EIU within 30 days of initial assignment. (GH-5, Paragraph 5.Q) Sergeant had Alert for 29 days. Command Staff had Alert for 3 days.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

Results:

- 90% (9 out of 10) of the inspected EIS Alerts were compliant with the requirements of this inspection.



Action Required:

With the resulting 90% compliance for *Inspection BI2021-0067*, **one BIO Action Form** is requested from the affected division.

Date Inspection Started: June 7, 2021

Date Completed: June 14, 2021
Timeframe Inspected: May 1 to May 31, 2021
Assigned Inspector: Auditor M. Rodriguez A9047

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

6/23/2021

Lt. J. Halverson S1674
Commander, Audits, and Inspections Unit
Bureau of Internal Oversight

Date