

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Patrol Shift Roster Inspection 2nd Quarter 2023

Inspection Report# BI2023-0098

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

Matrix Procedures:

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

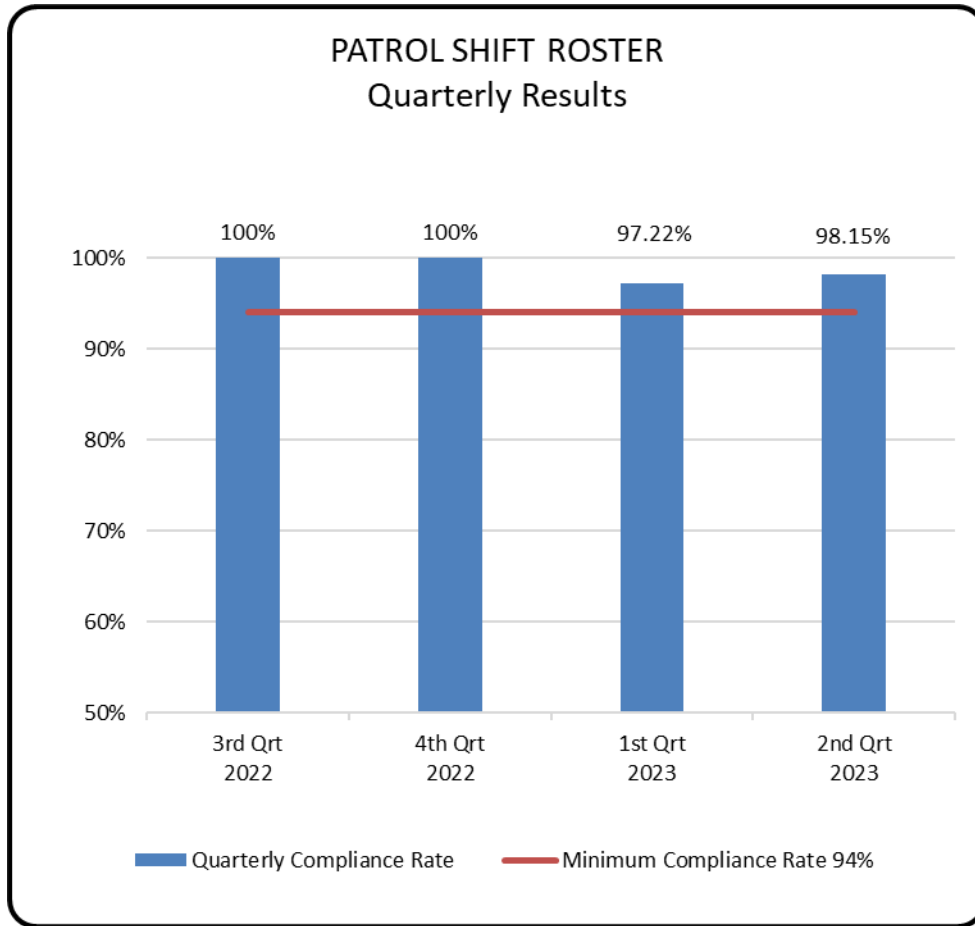
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A random selection of one day (24-hour period) per week for the months of January, February and March was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the 2nd quarter of 2023 there were a total of 108 rosters randomly selected for inspection. 100% of the randomly selected rosters were inspected.

MCSO achieved a compliance rate of **98.15% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the 2nd quarter of 2023, as illustrated in the graph below:



Division	District 1	District 2	District 3	District 4	Lake Patrol	District 7
In Compliance:	12	12	12	11	47	12
Non-Comp. Def.	0	0	2	0	3	2
Comp. Def.	0	0	0	1	1	0
Total Rosters:	12	12	12	12	48	12
Compliance %:	100%	100%	100%	91.67%	97.92%	100%

The following deficiencies were noted during the inspection period:

District 3 (2 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	04/05/2023	Lieutenant	Captain
Deficiency				
1. Four deputies are not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 3	Sergeant	04/16/2023	Lieutenant	Captain
Deficiency				
1. Three deputies are not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				

District 4 (1 BIO Action Form):

Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 4	Sergeant	04/05/2023	Lieutenant	Captain
Deficiency				
1. No shift roster for grave/night shift in the Praxis shift roster program. (Policy GB-2.13.A.2)				

Lake Division (4 BIO Action Forms):

Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Lake Division	Sergeant	04/14/2023	Lieutenant	Captain
Deficiency				
1. No shift roster for Pleasant shift in the Praxis shift roster program. (Policy GB-2.13.A.2)				

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Lake Division	Sergeant	06/07/2023	Lieutenant	Captain
Deficiency				
1. 1 deputy is not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Lake Division	Sergeant	06/07/2023	Lieutenant	Captain
Deficiency				
1. Three deputies are not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
Lake Division	Sergeant	06/07/2023	Lieutenant	Captain
Deficiency				
1. 1 deputy is not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				

District 7 (2 BIO Action Forms):

Non-Compliance Deficiencies

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 7	Sergeant	04/25/2023	Lieutenant	Captain
Deficiency				
1. One sergeant and one deputy are not listed on the grave/night shift in the Praxis shift roster program. (Policy GB-2.13.A.2)				
District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 7	Sergeant	06/27/2023	Lieutenant	Captain
Deficiency				
1. Six deputies are not listed on Shift Roster; Praxis shows deputies working patrol beats. (Policy GB-2.13.A.2)				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies.

Action Required:

With the resulting **98.15%** compliance rate for Inspection #BI2023-0098, **9 BIO Action Forms**, are requested. The forms shall be completed utilizing Blue Team.

Date Inspection Started: 04/10/2023
Date Completed: 07/12/2023
Timeframe Inspected: April 1st, 2023 thru June 30th, 2023
Assigned Inspector(s): Kateryna A. Ellis B4299, Sr. Auditor

I have reviewed this inspection report.

Lt. Brian Arthur S1806

Lieutenant T. Brian Arthur S1806
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

8/15/2023

Date