

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report April 2021

Inspection # BI2021-0053

The Bureau of Internal Oversight (BIO) Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts monthly. The purpose of the inspection is to ensure compliance with Office Policies and to promote

proper supervision. To achieve this, the Court Appointed Monitor Team, through the Court Implementation Division, selected a sample of **15** EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts were provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received the **15** closed EIS Alert cases selected by the Court Appointed Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

Below are the inspection results for the 15 selected EIS Alerts completed/closed during April 2021:

Inspection Element	Total Inspected	In Compliance	Not in Compliance	Compliance Rate
Alert addressed, completed, and returned to the EIU within 30 calendar days.	15	13	2	86.67%
For Alerts not completed and returned to EIU within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by the EIU.	2	2	0	100%
If an extension was granted, the Alert was completed and returned to the EIU by the new due date.	2	2	0	100%
Compliance for EIS Alerts completed/closed during April 2021	15	15	0	100%

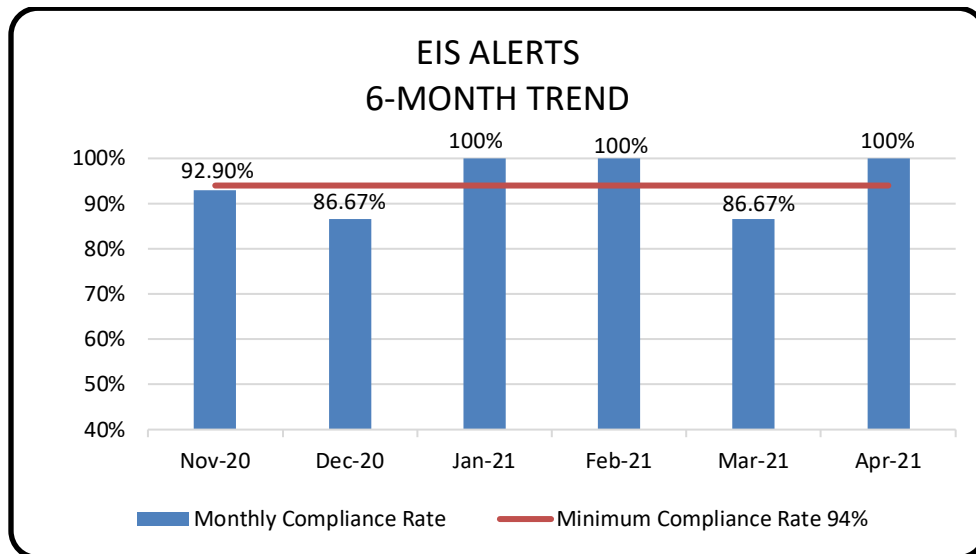
The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Intervention(s) Initiated by Command	Administrative Investigation in Progress
EA2020-0092	Alert2020-0078	Meeting with the Supervisor	Yes
EA2020-0114	Alert2020-0104	Meeting with the Supervisor	Yes
EA2020-0190	Alert2020-0178	No Further Action	Yes
EA2020-0192	Alert2020-0180	Supervisory Evaluation Period (30, 60, or 90 day period)	No

EA2020-0208	Alert2020-0195	Meeting with the Supervisor Supervisory Evaluation Period (30, 60, or 90 day period) Meeting with the Commander	No
EA2020-0212	Alert2020-0201	No Further Action	No
EA2020-0213	Alert2020-0202	Supervisory Evaluation Period (30, 60, or 90 day period)	No
EA2020-0214	Alert2020-0197	Meeting with the Supervisor Training	Yes
EA2020-0215	Alert2020-0203	Training	Yes
EA2020-0218	Alert2020-0206	No Further Action	Yes
EA2020-0221	Alert2020-0209	No Further Action	Yes
EA2020-0224	Alert2020-0212	Meeting with the Supervisor	Yes
EA2021-0001	Alert2021-0001	Meeting with the Supervisor	No
EA2021-0008	Alert2021-0008	Meeting with the Supervisor	Yes
EA2021-0010	Alert2021-0010	Meeting with the Supervisor	No

Results:

- 100% (15 out of 15) of the inspected EIS Alerts were compliant with the requirements of this inspection.



Action Required:

With the resulting 100% compliance for *Inspection BI2021-0053*, **no BIO Action Forms** are requested.

Date Inspection Started: May 12, 2021

Date Completed: May 18, 2021
Timeframe Inspected: April 1 to April 30, 2021
Assigned Inspector: Auditor M. Rodriguez A9047

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

5/19/2021

Lt. J. Halverson S1674
Commander, Audits, and Inspections Unit
Bureau of Internal Oversight

Date