

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report September 2021

Inspection # BI2021-0124

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for **15** EIS Alerts completed/closed during September 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	0	15	15	100.00%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	000.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	000.00%
Compliance for EIS Alerts completed/Closed during September 2021	0	15	15	100.00%

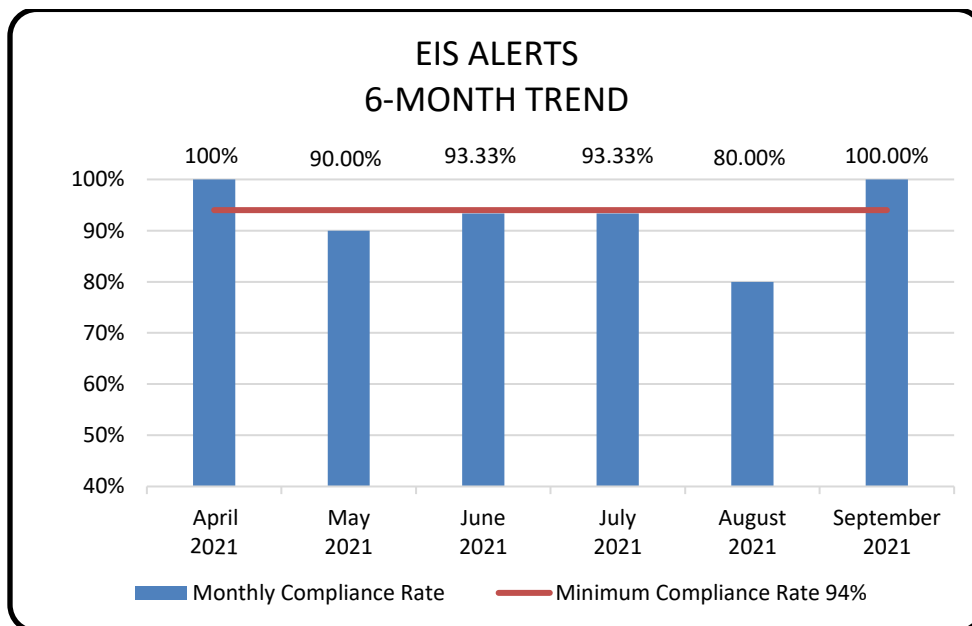
The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2020-0117	Alert2020-0107	No Further Action	Yes
EA2021-0051	Alert2021-0050	Meeting with Supervisor	Yes
EA2021-0066	Alert2021-0066	Supervisor Evaluation Period	No
EA2021-0068	Alert2021-0069	Meeting with Supervisor	Yes
EA2021-0071	Alert2021-0071	Meeting with Supervisor	Yes
EA2021-0072	Alert2021-0072	No Further Action	No
EA2021-0073	Alert2021-0073	Meeting with Supervisor	Yes
EA2021-0089	Alert2021-0089	No Further Action	Yes
EA2021-0092	Alert2021-0092	Meeting with Supervisor	No
EA2021-0094	Alert2021-0094	Meeting with Supervisor	No
EA2021-0104	Alert2021-0104	Meeting with Commander	Yes
EA2021-0113	Alert2021-0113	No Further Action	Yes
EA2021-0120	Alert2021-0120	No Further Action	No
EA2021-0122	Alert2021-0122	Meeting with Supervisor	Yes
EA2021-0129	Alert2021-0129	Meeting with Supervisor	Yes

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 100.00% or 15 out of 15 EIS Alerts had no deficiencies (15 ÷ 15 = 100.00%).
- The overall result is 20.00% increase in compliance from the August 2021 inspection.



Action Required:

With the resulting 100.00% compliance for Inspection BI2021-0124 a total of **0 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0124* and contained within IA Pro.

Date Inspection Started: October 5th, 2021
Date Completed: October 6th, 2021
Timeframe Inspected: September 2021
Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

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Commander, Audits & Inspections Unit
Bureau of Internal Oversight

10/13/2021

Date