

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



3rd Quarter of 2022 EIS Alert Inspection

Inspection # BI2022-0107

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a quarterly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 45 EIS Alerts (or all if less than 45) closed/completed during the quarter being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

A random selection of no more than 15 closed EIS Alert cases selected by the Court Monitor Team, for the months of July, August and September was utilized. For the 3rd quarter of 2022; there was a total of 28 EIS Alert cases randomly selected for inspection. 100% of the randomly selected EIS Alert cases were inspected. A review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 28 EIS Alerts completed/closed during the 3rd quarter of 2022:

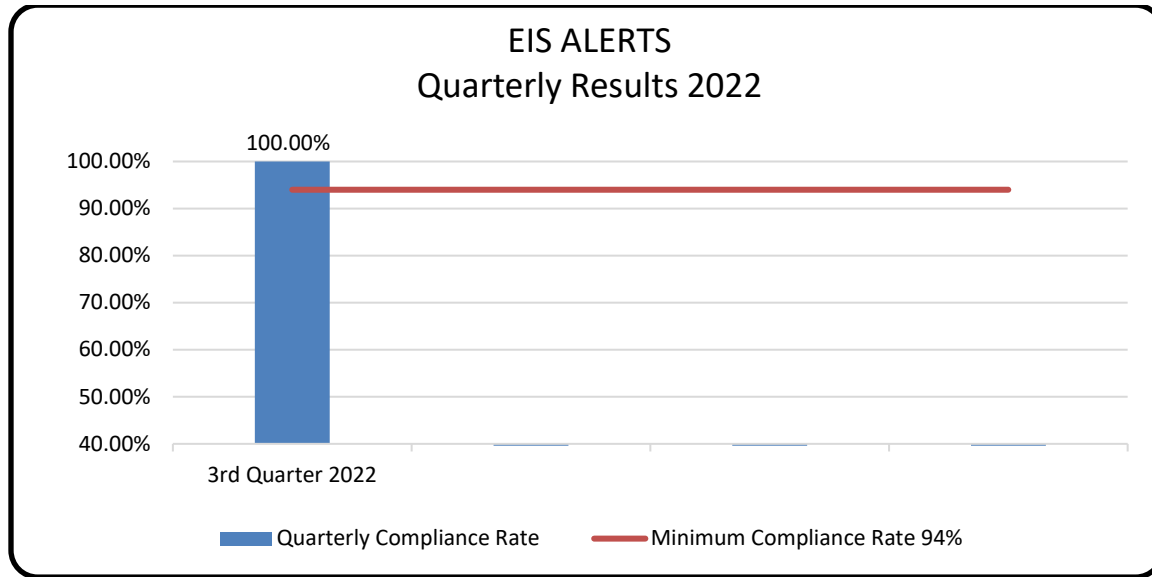
Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	0	28	28	100.00%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	6	6	100.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	6	6	100.00%
Compliance for EIS Alerts completed/Closed during 3rd Quarter of 2022	0	28	28	100.00%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0160	Alert2021-0160	No Further Action	Yes
EA2021-0175	Alert2021-0176	Employee Services	No
EA2022-0016	Alert2022-0015	Meeting with Supervisor	Yes
EA2022-0018	Alert2022-0017	Meeting with Supervisor	Yes
EA2022-0037	Alert2022-0040	No Further Action	Yes
EA2022-0040	Alert2022-0044	Re-Assignment	Yes
EA2022-0042	Alert2022-0045	Meeting with Supervisor	No
EA2022-0043	Alert2022-0046	Meeting with Supervisor	No
EA2022-0045	Alert2022-0049	Meeting with Supervisor	No
EA2022-0046	Alert2022-0050	No Further Action	Yes
EA2022-0048	Alert2022-0052	Meeting with Supervisor	No
EA2022-0052	Alert2022-0056	Meeting with the Commander	Yes
EA2021-0170	Alert2021-0171	Employee Services	No
EA2022-0035	Alert2022-0038	No Further Action	No
EA2022-0051	Alert2022-0055	Meeting with Supervisor	No
EA2022-0054	Alert2022-0058	Meeting with Supervisor	No
EA2022-0056	Alert2022-0060	Meeting with Supervisor	Yes
EA2022-0059	Alert2022-0063	Meeting with Supervisor	Yes
EA2022-0060	Alert2022-0064	Meeting with Supervisor	Yes
EA2022-0061	Alert2022-0065	No Further Action	Yes
EA2022-0064	Alert2022-0069	Meeting with Supervisor	Yes
EA2020-0211	Alert2020-0200	Meeting with Supervisor	Yes
EA2021-0181	Alert2021-0183	No Further Action	No
EA2022-0039	Alert2022-0042	No Further Action	Yes
EA2022-0044	Alert2022-0047	Meeting with Supervisor	No
EA2022-0049	Alert2022-0053	Meeting with Supervisor	No
EA2022-0053	Alert2022-0057	Meeting with Supervisor	Yes
EA2022-0055	Alert2022-0059	Meeting with Supervisor	Yes

Results:

- 100.00% or 28 out of 28 EIS Alerts had no deficiencies (28 ÷ 28 = 100.00%).



*The 3rd Quarter of 2022 was the first quarter for the new quarterly EIS Alert Inspection, a twelve-month trend of compliance will be included as the data becomes available.

Action Required:

With the resulting 100.00% compliance for Inspection BI2022-0107 a total of **0 BIO Action Forms** are requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2022-0107* and contained within IA Pro.

Date Inspection Started: August 23rd, 2022
Date Completed: October 11th, 2022
Timeframe Inspected: July 1st, 2022, to September 30, 2022
Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Brian Arthur
Lt. Timothy Arthur S1806
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

10/25/2022
Date