

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report August 2020

Inspection # BI2020-0108

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **3** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 3 EIS Alerts completed/closed during August 2020:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	1	2	3	66.67%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	00.00%
Compliance for EIS Alerts completed/Closed during March 2020	1	2	3	66.67%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2019-000137	Alert2019-0136	No Further Action	Yes
EA2020-0035	Alert2020-0036	Meeting with Supervisor	Yes
EA2020-0094	Alert2020-0080	Meeting with Supervisor	No

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District 1 (0 BIO Action Form):

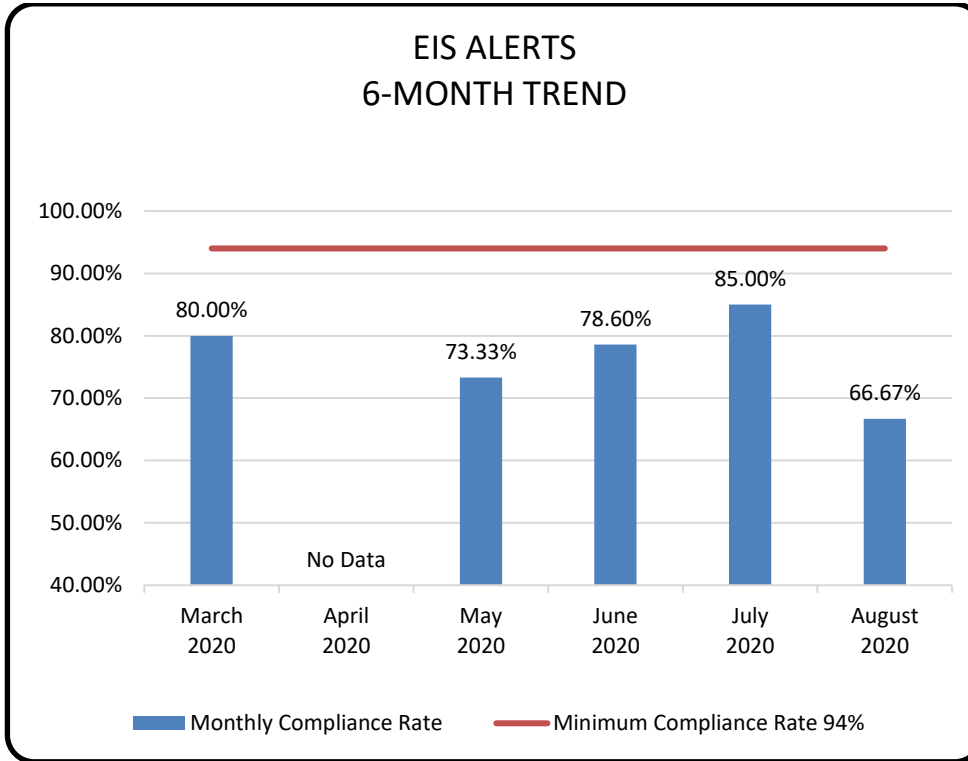
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Chief
District 1	EA2020-0035	Alert2020-0036	Lieutenant	Captain	Chief
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Sergeant had Alert for 17 days. Lieutenant had Alert for 15 days (Lt received alert 6 days prior to due date and forwarded it to the Capt 8 days after due date). Captain had Alert for 3 days.</p> <p>No BAF required. Supervisor addressed late Alerts in BAF2020-0191 (This deficiency occurred prior to BAF2020-0191). **Employee has 2 prior BAF's related to this inspection (BAF2019-0293 & BAF2020-0191)**</p>					

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 66.67% or 2 out of 3 EIS Alerts had no deficiencies ($2 \div 3 = 66.67\%$).
- The overall results were a 18.33% decrease in compliance from the July 2020 inspection.



Action Required:

With the resulting 66.67% compliance for Inspection BI2020-0108 a total of **0 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentation is included in the inspection file number *BI2020-0108* and contained within IA Pro.

Date Inspection Started: September 8th, 2020
Date Completed: September 9th, 2020
Timeframe Inspected: August 2020
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Todd Brice

9-10-20

Lt. Todd Brice S1767
Deputy Commander, Audits & Inspections Unit
Bureau of Internal Oversight

Date