# **MARICOPA COUNTY SHERIFF'S OFFICE**

Bureau of Internal Oversight Audits and Inspections Unit



October 2020 Traffic Stop Data Inspection

Inspection Report# BI2020-0130

### **Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

#### **Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

#### Criteria:

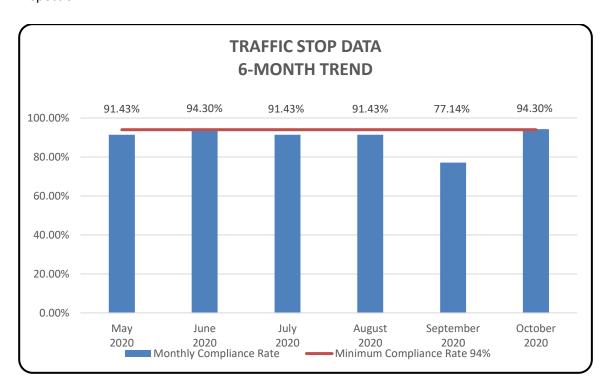
- ➤ MCSO Policy EA-3, Non-Traffic Contacts
- ➤ MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- ➤ MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- ➤ MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- ➤ MCSO Policy CP-1, Use of Force
- ➤ MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

### **Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of October 2020. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

### **Results:**

- ➤ 94.30% or 33 out of 35 traffic stops had no compliance deficiencies (33/35=94.30%)
- 32 out of 35 traffic stops had no deficiencies.
- ➤ The overall result is a 17.16% increase in compliance percentage from the September 2020 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD		35	35	100%
Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%

Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	0	35	35	100%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	1	34	35	97%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF		35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning		35	35	100%
Number of occupants in violators vehicle is accurately documented on VSCF		34	35	97%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning		35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%

Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety		10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	1	9	10	90%
Review incident video footage to ensure deputies adhere to all MCSO Policies	1	9	10	90%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

### District 1 (2 BIO Action Forms):

## **Compliance Deficiency**

District/Division	Incident Number	Sworn Employee	Current Supervisor	<b>Current Commander</b>
District 1	MC20198990	Deputy	Sergeant	Captain
Deficiency				
Donata do carecato "1" o coment in violetore vehicle on VCCF hat DWC footogo chouse two occupants (Delica FD 2.2.C)				

Deputy documents "1" occupant in violators vehicle on VSCF but BWC footage shows two occupants. (Policy EB-2.2.G)

## Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	<b>Current Commander</b>	
District 1	MC20207217	Deputy	Sergeant	Captain	
Deficiency					
Deputy did not provide a "self-introduction" to the violator upon initial contact. (Policy EB-1.10.A)  **Employee has one previous BAE for Traffic Stop Data (BAE2020-0177)**					

### Lakes (1 BIO Action Form):

## Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20203395	Deputy	Sergeant	Captain

**Deficiency** 

Deputy coded incident "6TP-CRIMINAL TRAFFIC CITE & RELEASE \*PROPERTY IMPOUNDED". Incident code "6T-CRIMINAL TRAFFIC CITE & RELEASE NO PROPERTY IMPOUNDED" should have been used for the deputies' actions because the deputy did not impound any property or evidence. (Policy GI-1.3.B)

**Note:** A search of the property and evidence system (Q-Tel) for this incident was conducted and no property or evidence was found to be impounded.

\*\*Employee has one previous BAF for Traffic Stop Data (BAF2019-0044)\*\*

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 3 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: November 10<sup>th</sup>, 2020 Date Completed: November 12<sup>th</sup>, 2020

Timeframe Inspected: October 1<sup>st</sup>, 2020 thru October 31<sup>st</sup>, 2020

Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

1 to Todd Brice

Lieutenant Todd Brice S1767

11-30-20

Date

Deputy Commander, Audits and Inspections Unit

Bureau of Internal Oversight