

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report May 2022

Inspection # BI2022-0074

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received 15 closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 15 EIS Alerts completed/closed during May 2022:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	4	11	15	73.33%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	4	4	100.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	1	2	3	375.00%
Compliance for EIS Alerts completed/Closed during May 2022	1	14	15	93.33%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0098	Alert2021-0098	Meeting with the Commander	Yes
EA2021-0174	Alert2021-0175	Meeting with Supervisor	Yes
EA2021-0182	Alert2021-0180	Meeting with the Commander	Yes
EA2021-0183	Alert2021-0184	No Further Action	No
Ea2021-0184	Alert2021-0186	No Further Action	No
EA2021-0187	Alert2021-0188	No Further Action	Yes
EA2022-0002	Alert2022-0001	No Further Action	Yes
EA2022-0004	Alert2022-0003	Meeting with Supervisor	No
EA2022-0007	Alert2022-0006	Meeting with the Commander	Yes
EA2022-0008	Alert2022-0007	No Further Action	Yes
EA2022-0017	Alert2022-0016	Meeting with Supervisor	Yes
EA2022-0019	Alert2022-0018	Meeting with Supervisor	No
EA2022-0020	Alert2022-0019	Meeting with Supervisor	Yes
EA2022-0025	Alert2022-0024	Meeting with Supervisor	Yes
EA2022-0026	Alert2022-0025	Training	No

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed

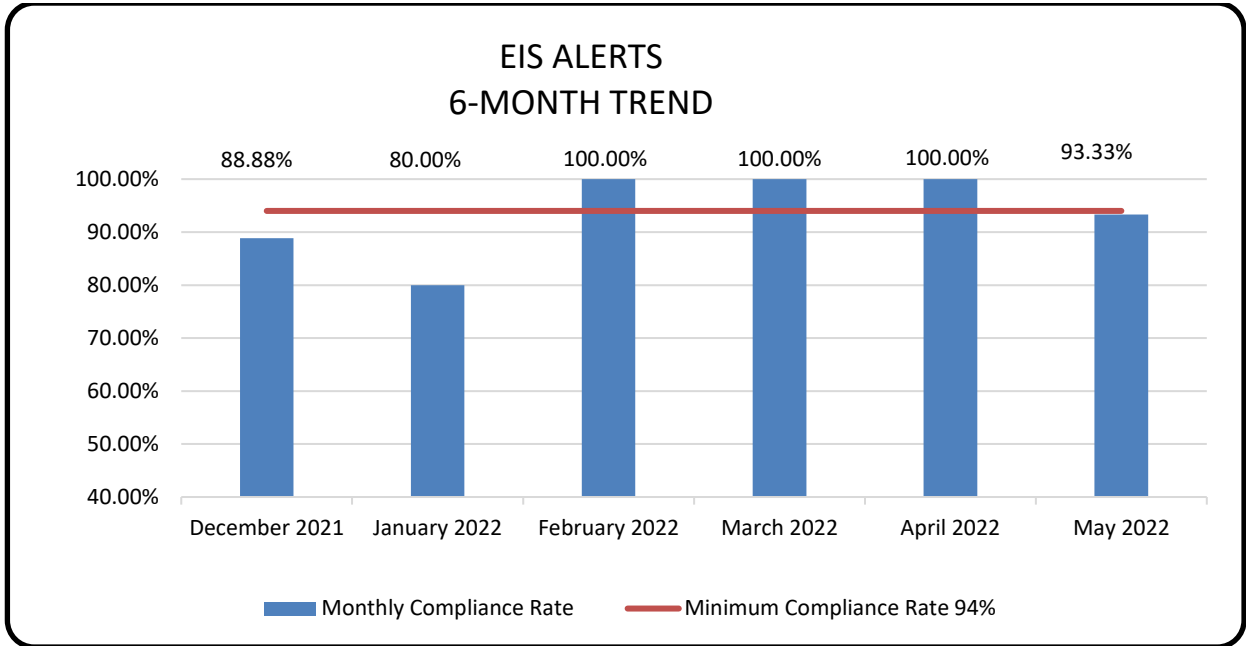
General Crimes Division (1 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor
General Crimes	EA2021-0187	Alert2021-0188	Lieutenant	Captain
Deficiency				
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5. Q) Lieutenant had the alert for 11 days after the approved extension memorandum return date. Captain had the alert for 2 days</p> <p>Note: Deficiency occurred while the employee was assigned to District I</p>				

Results:

- 93.33% or 14 out of 15 EIS Alerts had no deficiencies (14 ÷ 15 = 93.33%).
- The overall result is 6.67% decrease in compliance from the April 2022 inspection.



Action Required:

With the resulting 93.33% compliance for Inspection BI2022-0074 a total of **1 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2022-0074* and contained within IA Pro.

Date Inspection Started: June 9, 2022
Date Completed: June 21, 2022
Timeframe Inspected: May 2022
Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Brian Arthur

Lt. Timothy Arthur S1806
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

7/13/2022

Date