

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**TraCS Discussion Inspection: April 2021**

Inspection Report# BI2021-0063

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2, MCSO Administrative Broadcast 16-56, and Melendres Court Order Paragraph 85.

**Compliance Objectives:**

Utilizing the TraCS Traffic Stop Discussion Matrix, review each selected deputy TraCS data to ensure the following for April 2021:

- Determine what district the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame by applying the "Discussed with Deputy" indicator
- Each Traffic Stop inspected will be counted as one inspection

**Criteria:**

MCSO Policy EA-11, *Arrest Procedures*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance*

MCSO Policy GB-2, *Command Responsibility*

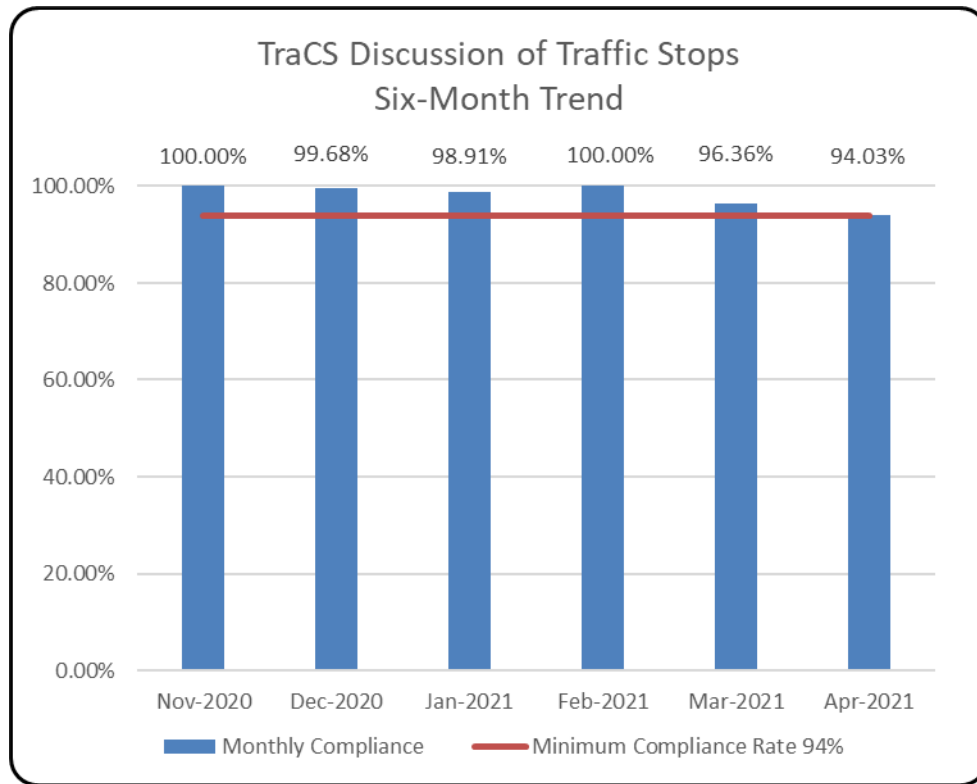
MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Melendres Court Order Paragraph 85

**Conditions:**

MCSO's assigned Court Monitors provided a sample of 22 Deputies from all Patrol Districts for the Discussion Inspection covering data from April 2021. The sample of 22 Deputies provided a total of 201 traffic stops available for inspection; all 201 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of **94.03%** in the Discussion of Traffic Stops for the month of April 2021, as illustrated in the table below:



The compliance rate for each division is illustrated in the table below:

District	Dist. 1	Dist. 2	Dist. 3	Dist. 4	Lakes	Dist. 6	District 7	Total
In Compliance	0	45	10	26	3	56	49	189
Total Stops	0	54	10	26	3	58	50	201
Compliance %	100%	83.33%	100%	100%	100%	96.55%	98%	94.03%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

### District 2 (1 BIO Action Form)

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21065435	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the <b>“Discussed with Deputy”</b> indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/11/2021</li> <li>VSCF discussed with deputy indicator not applied</li> </ul>				

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21065461	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/11/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21065516	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/11/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21065546	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/11/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21065589	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/11/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21072805	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/22/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21072961	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>• VSCF completed on 4/22/2021</li> <li>• VSCF discussed with deputy indicator not applied</li> </ul>				

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21072970	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/22/2021</li> <li>VSCF discussed with deputy indicator not applied</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 2	MC21073094	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/22/2021</li> <li>VSCF discussed with deputy indicator not applied</li> </ul>				

### District 6 (0 BIO Action Form)

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 6	MC21077671	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/29/2021</li> <li>VSCF discussed with deputy indicator applied on 6/09/21 with a note that discussion was outside of the 30-day window due to days off; supervisor note SN2021-0002496 written on 6/12 addressed the deficiency therefore no BIO action form required.</li> </ul>				
District	MC Incident #	Employee	Deficient Supervisor	Commander
District 6	MC21077696	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/29/2021</li> <li>VSCF discussed with deputy indicator applied on 6/09/21 with a note that discussion was outside of the 30-day window due to days off; supervisor note SN2021-0002496 written on 6/12 addressed the deficiency therefore no BIO action form required.</li> </ul>				

### District 7 (1 BIO Action Form)

District	MC Incident #	Employee	Deficient Supervisor	Commander
District 7	MC21067677	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Supervisor did not discuss the Vehicle Stop Contact Form (VSCF) with the Deputy monthly by applying the “ <b>Discussed with Deputy</b> ” indicator within TraCS. Policy EB-1 and MCSO Admin Broadcast 16-56.				
<ul style="list-style-type: none"> <li>VSCF completed on 4/14/2021</li> <li>VSCF discussed with deputy indicator not applied</li> </ul>				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the deficiencies.

**Action Required:**

With the resulting **94.03%** compliance for *Inspection BI2021-0063*, a total of **2** BIO Action Forms are requested from the affected Districts addressing the deficiency. **The forms shall be completed utilizing Blue Team.**

Date Inspection Started: June 07, 2021  
Date Completed: June 08, 2021  
Timeframe Inspected: April 01-30, 2021  
Assigned Inspector(s): Ronda Jamieson B3178

I have reviewed this inspection report.

*Sgt. R. Levy S1881*

On behalf of Lt. J. Halverson S1674

6/29/2021

Lt Jonathan Halverson S1674  
Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

Date