

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report June 2020

Inspection # BI2020-0082

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received 14 closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 14 EIS Alerts completed/closed during June 2020:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	3	11	14	78.60%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	00.00%
Compliance for EIS Alerts completed/Closed during March 2020	3	11	14	78.60%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

IA Number	Event Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2018-000014	Alert2018-0014	Meeting with the Supervisor	Yes
EA2018-000024	Alert2018-0065	Meeting with the Supervisor	No
EA2019-000135	Alert2019-0138	No Further Action	No
EA2019-000158	Alert2019-0161	No Further Action	No
EA2020-0028	Alert2020-0031	Meeting with the Supervisor	No
EA2020-0033	Alert2020-0033	Meeting with the Supervisor	No
EA2020-0056	Alert2020-0051	Commendation	Yes
EA2020-0062	Alert2020-0052	Meeting with the Supervisor	No
EA2020-0069	Alert2020-0059	No Further Action	Yes
EA2020-0074	Alert2020-0061	Meeting with the Supervisor	No
EA2020-0076	Alert2020-0067	No Further Action	Yes
EA2020-0077	Alert2020-0066	Meeting with the Supervisor	Yes
EA2020-0084	Alert2020-0070	Meeting with the Supervisor	No
EA2020-0085	Alert2020-0058	No Further Action	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District 3 (1 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Commander
District 3	EA2018-000014 EA2018-000024	Alert2018-0014 Alert2018-0065	Sergeant	Lieutenant	Captain
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) EA2018-000014: Sergeant had the EIS Alert for 59 days. EA2018-000024: Sergeant had the EIS Alert for 51 days.</p> <p>Note: These deficiencies occurred while the employee was assigned to District 2.</p>					

Special Investigations (1 BIO Action Form):

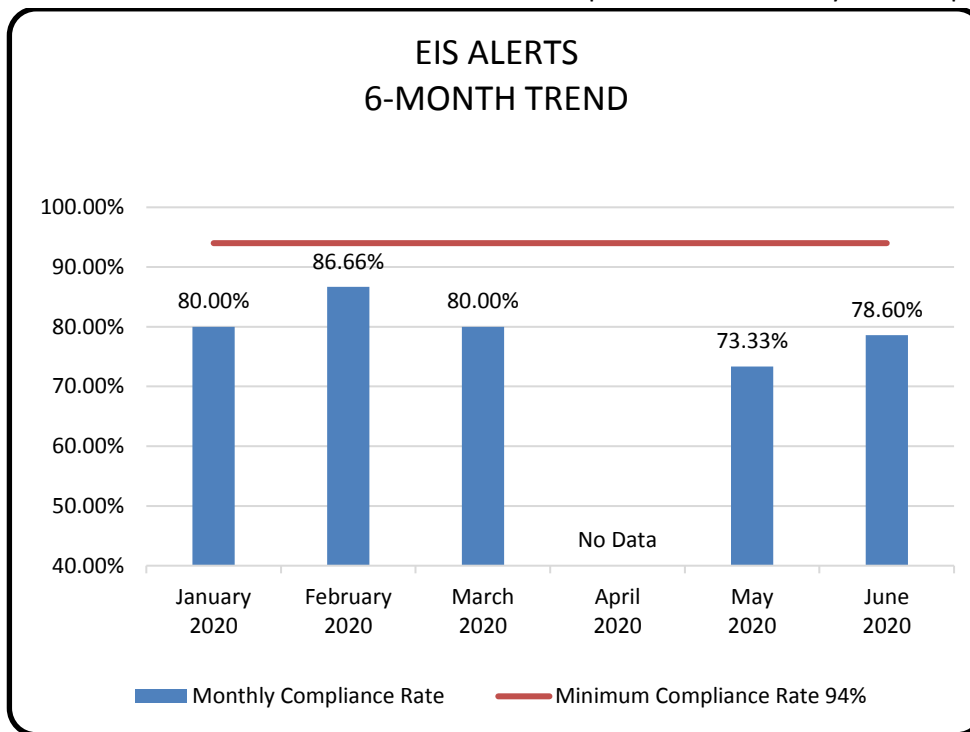
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Chief
District 3	EA2019-000158	Alert2019-0161	Captain	Chief
Deficiency				
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Sergeant had Alert for 20 days. Lieutenant had Alert for 6 days. Captain documents technological difficulties getting Alert returned to EIS on 12/18/2019 and requests Lieutenant forward EIS Alert to EIU. Lieutenant forwards Alert on 12/20/2019 at the direction of the Captain (One day after due date). Note: This deficiency occurred while the employee was assigned to District 4.</p>				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 78.60% or 11 out of 14 EIS Alerts had no deficiencies (11 ÷ 14 = 78.60%).
- The overall results were a 5.27% increase in compliance from the May 2020 inspection.



Action Required:

With the resulting 78.60% compliance for Inspection BI2020-0082 a total of **2 BIO Action Forms** are requested from the affected divisions. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2020-0082* and contained within IA Pro.

Date Inspection Started: July 2nd, 2020
Date Completed: July 15th, 2020
Timeframe Inspected: June 2020
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Todd Brice

Lt. Todd Brice S1767
Deputy Commander, Audits & Inspections Unit
Bureau of Internal Oversight

7-27-20

Date