

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report January 2020

Inspection # BI2021-0012

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of **ALL** EIS Alerts (or all if less than 15) closed/completed during January 2021. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **11** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for the 11 EIS Alerts completed/closed during January 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	0	11	11	100%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	N/A
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	N/A
Compliance for EIS Alerts completed/Closed during March 2020	0	11	11	100%

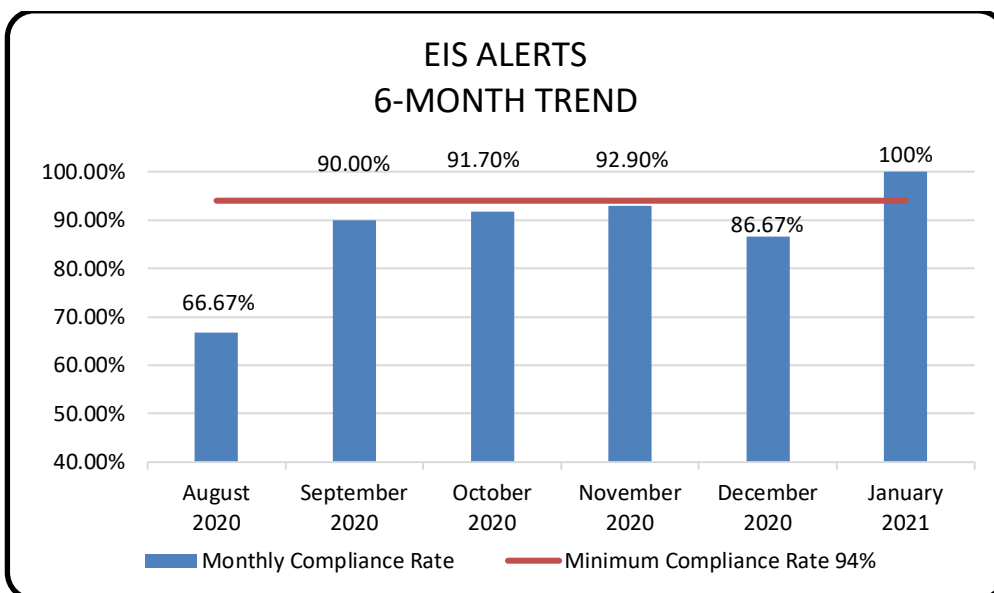
The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2020-0070	Alert2020-0065	Squad Briefing	No
EA2020-0072	Alert2020-0063	Meeting with the Supervisor	No
EA2020-0083	Alert2020-0083	Meeting with the Commander	Yes
EA2020-0125	Alert2020-0115	Meeting with the Supervisor	No
EA2020-0132	Alert2020-0121	Meeting with the Supervisor	No
EA2020-0133	Alert2020-0124	Meeting with the Supervisor	No
EA2020-0134	Alert2020-0126	Meeting with the Supervisor	No
EA2020-0135	Alert2020-0127	No Further Action	No
EA2020-0144	Alert2020-0132	Meeting with the Supervisor	No
EA2020-0147	Alert2020-0135	No Further Action	No
EA2020-0150	Alert2020-0138	Meeting with the Supervisor	No

There were no deficiencies identified during the inspection process.

Results:

- 100% **compliance** or 11 out of 11 EIS Alerts had no deficiencies.
- The overall result is a 13.33% increase in compliance from the December 2020 inspection.



Action Required:

With the resulting 100% compliance for Inspection BI2021-0012, **no BIO Action Forms** are requested.

Notes:

All supporting documentations are included in the inspection file number *BI2020-0164* and contained within IA Pro.

Date Inspection Started: February 9, 2021
Date Completed: February 17, 2021
Timeframe Inspected: January 2021
Assigned Inspector: Auditor M. Rodriguez A9047

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

2/17/2021

Lt. J. Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

Date