

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



September 2022 Traffic Stop Data Inspection

Inspection Report# BI2022-0129

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

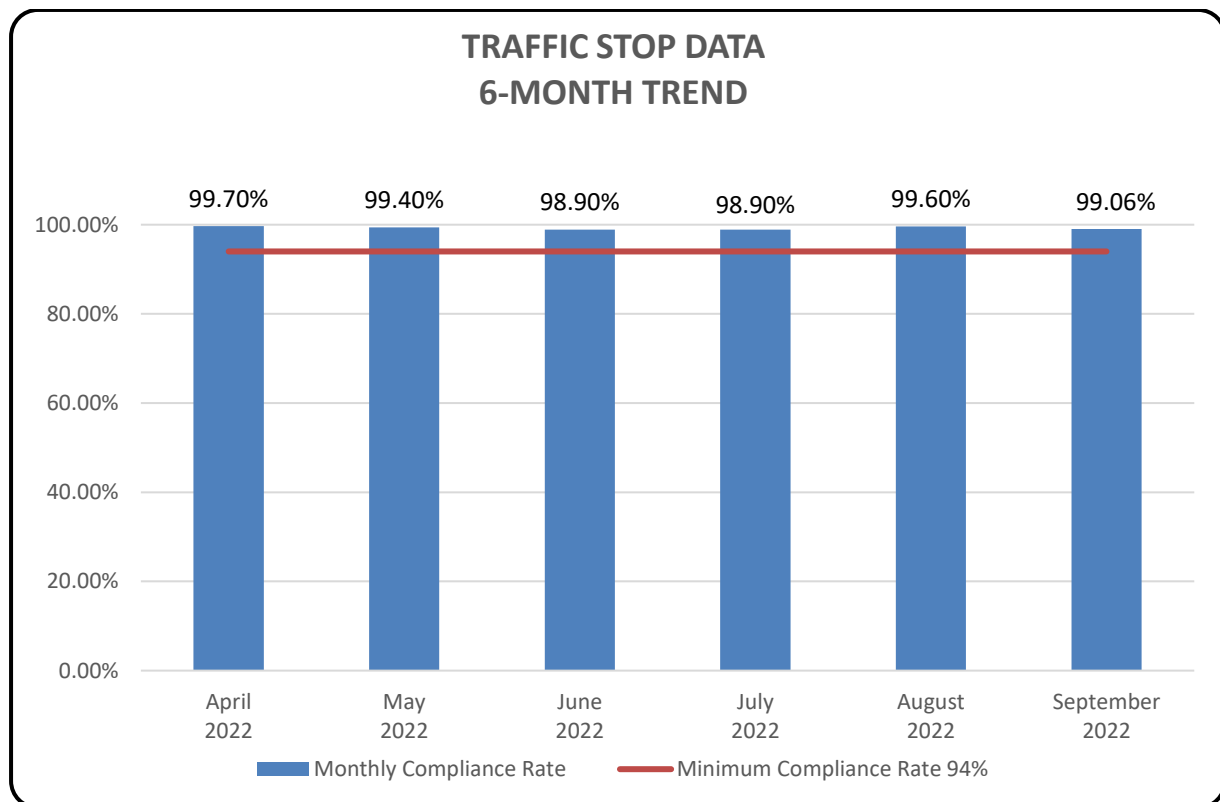
Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of September 2022. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

Results:

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.06%** for September of 2022, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%

Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%
Primary unit vehicle number and call sign match CAD	1	34	35	97%
2 nd person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 nd person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	2	33	35	94%
Location of traffic stop match CAD and all applicable forms	1	34	35	97%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	1	34	35	97%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	2	33	35	94%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	1	34	35	97%
Was reason for stop documented on citation/warning	0	35	35	100%

Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	1	34	35	97%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (2 BIO Action Forms):

Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22156073	Deputy	Sergeant	Captain
Deficiency				
Deputy incorrectly documents MCSO patrol vehicle number on VSCF. (Policy EB-1.14) Note: BWC was viewed to verify MCSO vehicle number.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC22162945	Deputy	Sergeant	Captain
Deficiency				
Deputy incorrectly documents location of traffic stop on VSCF. (Policy EB-2.2.B)				

District 4 (3 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC22157809	Reserve Deputy	Sergeant	Captain
Deficiency				
Deputy did not complete an Assisting Deputy & Body Worn Camera Log. (Policy EB-2.2.E)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC22160147	Deputy	Sergeant	Captain
Deficiency				
Deputy incorrectly documents reason for stop on VSCF. (Policy EB-1.14) Note: CAD documents stop reason as no license plate light but deputy documents reason for stop on VSCF as "One Headlight", provides violator with warning for "One Headlight" and documents "Yes" for "Was the voiced reason for the stop included on an ISSUED Citation or Warning?" on VSCF.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 4	MC22163415	Deputy	Sergeant	Captain
Deficiency				
Deputy used the incorrect disposition code for the traffic stop. (Policy GI-1.3.B) Note: Traffic stop was cleared "7-Civil Citation – No IR" when disposition code "7W-Warning Issued" should have been used due to the violator received a written warning instead of a citation.				

District 7 (3 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	MC22155100	Deputy	Sergeant	Captain
Deficiency				
Deputy did not provide the violator with an ICF. (Policy EB-1.12.C) Note: Violator was arrested for D.U.I. and all charges were long formed. No citation/warning was issues to violator. Note: This deficiency occurred while the deputy was working the D.U.I. task force. **Employee has one previous BAF for Traffic Stop Data Inspection (BAF2022-0190)**				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	MC22155849	Deputy	Sergeant	Captain
Deficiency				
<ul style="list-style-type: none"> Deputy documented "0" for "Total Number of Additional Deputies and/or Posses on Scene" on VSCF. (Policy EB-1.14.A.1) BWC shows no inventory search was conducted on violator's vehicle before being towed. (Policy GJ-3.8.G) Note: BWC shows an additional deputy on scene and an assist deputy log was completed in TraCS. Note: Deficiencies occurred while the deputy was assigned to District 1.				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	MC22160268	Deputy	Sergeant	Captain
Deficiency				
Deputy incorrectly documents license plate for violator's vehicle on VSCF & Citation (Policy EB-1.14.A.5) Note: BWC was viewed to verify violator's license plate.				

Lakes (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC22153007	Deputy	Sergeant	Captain
Deficiency				
Deputy incorrectly documents state for violator's license plate on VSCF & Citation. (Policy EB-1.14.A.5) Note: BWC shows Illinois license plate on violators vehicle. **Employee has 3 previous BAF's related to Traffic Stop Data Inspection (BAF2022-0005, BAF2022-0118, & BAF2022-0206)**				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 9 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: October 10th, 2022
Date Completed: October 18th, 2022
Timeframe Inspected: September 1st, 2022 to September 30th, 2022
Assigned Inspector(s): Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Brian Arthur
Lieutenant Timothy Arthur S1806
Commander, Audits and Inspections Unit
Bureau of Internal Oversight

11/29/2022
Date