

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



TraCS Discussion Inspection September 2019

Inspection Report# BI2019-0153

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

Compliance Objectives:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

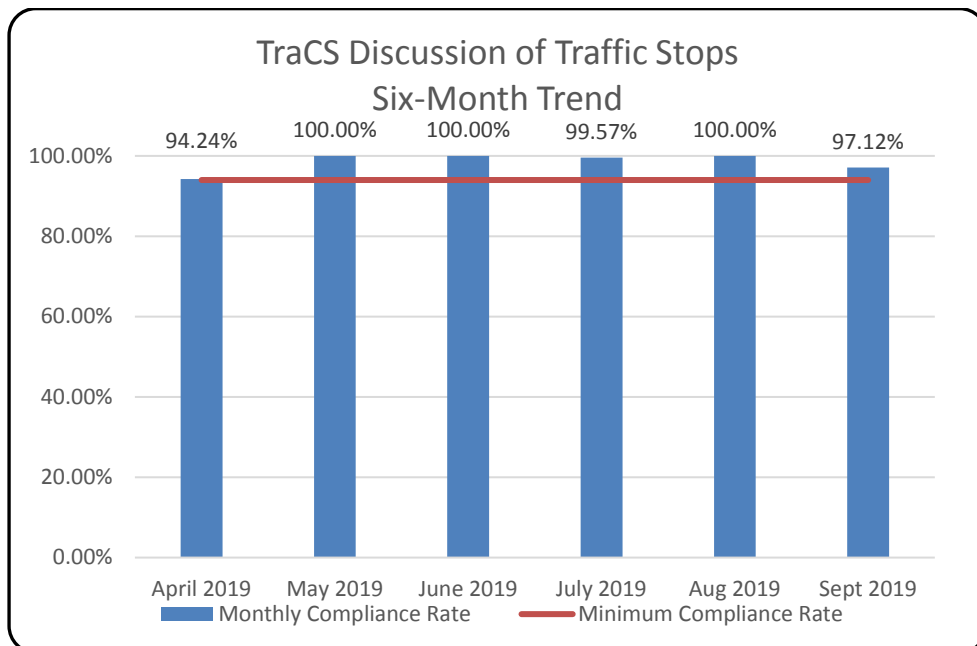
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

Conditions:

MCSO’s assigned Court Monitors provided a sample of 30 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from September 2019. The sample of 30 Deputies provided a total of 347 traffic stops available for inspection; all 347 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 97.12% in the Discussion of Traffic Stops for the month of September 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of September 2019 (i.e., out of a total of 347 stops, 337 were without deficiencies, or were 97.12% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	98	6	15	16	148	36	18
Total Stops	98	6	25	16	148	36	18
Compliance %	100.00%	100.00%	60.00%	100.00%	100.00%	100.00%	100.00%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

District 3 (1 BIO Action Form)

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				
District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				
District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				

District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				
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Deficiency				
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District/Division	MC #	Employee	Current Supervisor	Current Commander
District Three	Redacted	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact Form with Deputy within 30 days. Policies, EB-1, GB-2 & Admin. Broadcast 16-56.				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature or supervisor notes addressing the perceived deficiencies within the last year.

Action Required:

With the resulting **97.12%** compliance rate for Inspection #BI2019-0153, **1 BIO Action Form** is requested. The form shall be completed utilizing Blue Team.

Recommendations:

It is recommended the inspection of discussion of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **On a monthly basis**, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 11/18/2019
Date Completed: 11/27/2019
Timeframe Inspected: September 1st – 30th, 2019
Assigned Inspector: Maria De La Cruz # B4608
Assisting Inspector: Sgt. Rob Levy S1881

I have reviewed this inspection report.

Lt. D. Reaulo S1678
Lieutenant Dominick Reaulo S1678
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

12/10/2019
Date