

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report June 2021

Inspection # BI2021-0081

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 15 EIS Alerts completed/closed during June 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	1	14	15	93.33%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	1	1	100.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	1	1	100.00%
Compliance for EIS Alerts completed/Closed during June 2021	1	14	15	93.33%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0025	Alert2021-0025	Meeting With Supervisor	Yes
EA2021-0028	Alert2021-0028	No Further Action	Yes
EA2021-0032	Alert2021-0031	Meeting With Supervisor	Yes
EA2021-0033	Alert2021-0033	Meeting With Supervisor	Yes
EA2021-0035	Alert2021-0035	No Further Action	No
EA2021-0036	Alert2021-0036	No Further Action	Yes
EA2021-0039	Alert2021-0037	Meeting With Supervisor	Yes
EA2021-0041	Alert2021-0040	No Further Action	Yes
EA2021-0042	Alert2021-0041	Meeting With Supervisor	No
EA2021-0043	Alert2021-0042	No Further Action	Yes
EA2021-0045	Alert2021-0044	Meeting With Supervisor	Yes
EA2021-0047	Alert2021-0046	Meeting With Supervisor	No
EA2021-0052	Alert2021-0051	Re-Assignment	No
EA2021-0053	Alert2021-0052	Meeting With Supervisor	Yes
EA2021-0056	Alert2021-0055	No Further Action	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

B.I.O. (1 BIO Action Form):

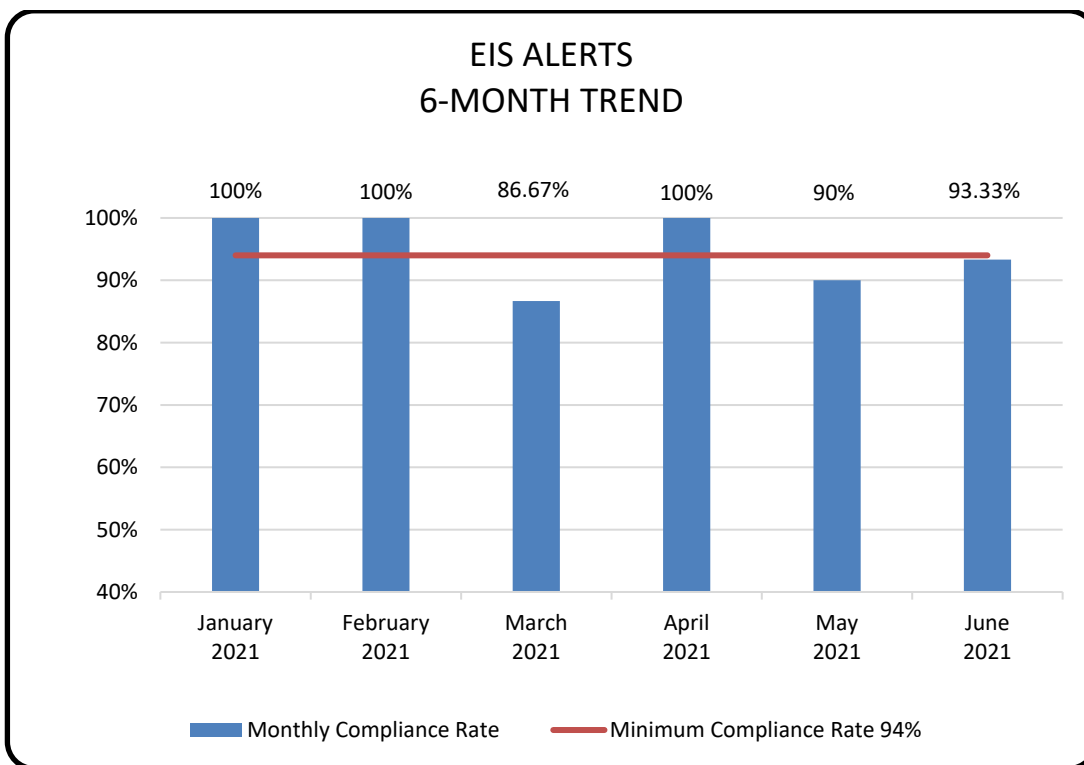
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor
B.I.O.	EA2021-0052	Alert2021-0051	Captain	Chief
Deficiency				
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Lieutenant had the alert for 27 days. Captain had the alert for 6 days.</p> <p>Note: Captain is accepting responsibility for the B.I.O. Action Form.</p>				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 93.33% or 14 out of 15 EIS Alerts had no deficiencies ($14 \div 15 = 93.33\%$).
- The overall result is a 3.33% increase in compliance from the May 2021 inspection.



Action Required:

With the resulting 93.33% compliance for Inspection BI2021-0081 a total of **1 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0081* and contained within IA Pro.

Date Inspection Started: July 7th, 2021
Date Completed: July 7th, 2021
Timeframe Inspected: June 2021
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674
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Commander, Audits & Inspections Unit
Bureau of Internal Oversight

7/7/2021
Date