

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**January 2020 Traffic Stop Data Inspection**

Inspection Report# BI2020-0006

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

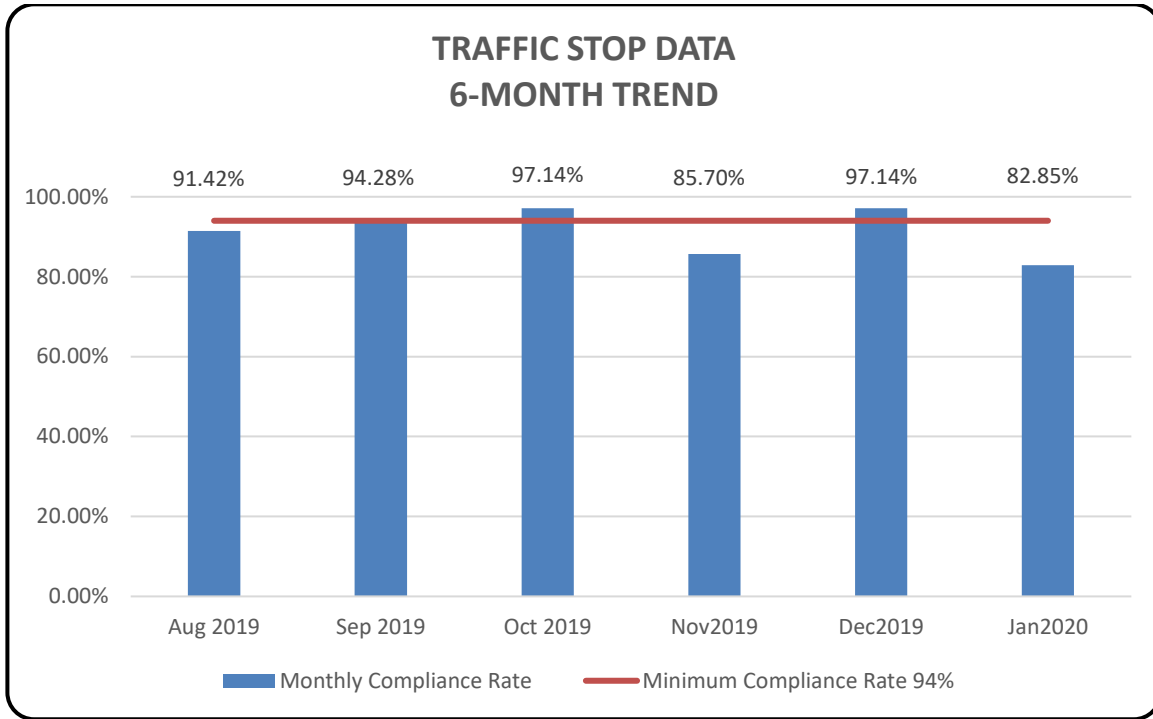
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of December 2019. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

**Results:**

- 82.85% or 29 out of 35 traffic stops had no compliance deficiencies (329/35=82.85%)
- 28 out of 35 traffic stops had no deficiencies.
- The overall results are a 14.27% decrease to the compliance percentage from the December 2019 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%
Stop time and end time matches all applicable forms (Within 5 minutes)	1	34	35	97%
Arrest documented in CAD and matches all applicable forms	0	35	35	100%
Arrest time documented in CAD and matches all applicable forms	1	34	35	97%

Transport time of arrestee matches CAD and all applicable forms	1	34	35	97%
Primary unit BWC active at time of stop	0	35	35	100%
Primary unit vehicle number and call sign match CAD	0	33	35	100%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	0	35	35	100%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	3	32	35	91%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%

Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (3 BIO Action Forms):

Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20014772	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy marked "No" for "Arrest" on VSCF, did not document arrest time on VSCF and marked "booked" on VSCF when subject was cited and released. (Policy EB-2.2.K.3)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20016386	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not document "Transport Time" on VSCF. Documentation of subject transported in IR. (Policy EB-2.2.K.4) <b>Note: Employee has one BAF related to inaccurate documentation on VSCF: BAF2020-0013</b>				

District/Division	Incident Number	Employee	Current Supervisor	Current Commander
District 1	MC20002303	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Inconsistent documentation of violators license plate number between CAD, Citation and VSCF. (Policy EB-2.2.F)				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC20014772	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy was unable to adequately articulate in IR that the violator was aware of the criminal activity (Policy GF-5.3.B.1) <b>Note: No Incident Report Memorialization Form located.</b>				

District 3 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Employee	Current Supervisor	Current Commander
District 3	MC20009832	Sergeant	Lieutenant	Captain
<b>Deficiency</b>				
Inconsistent documentation of "Stop Time" between CAD, Warning and VSCF. (Policy EB-2.2.B)				

District 7 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 7	MC20003028	Sergeant	Lieutenant	Captain
<b>Deficiency</b>				
Inconsistent documentation of violators license plate number between CAD, Citation and VSCF. (Policy EB-2.2.F) <b>**Note: This deficiency occurred while the employee was assigned to District 1.**</b>				

Lakes (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
Lakes	MC20001144	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Inconsistent documentation of violators license plate number between CAD, Citation and VSCF. (Policy EB-2.2.F) <b>**Note: This deficiency occurred while the employee was assigned to District 2.**</b> <b>Note: Employee has one BAF related to inaccurate documentation on VSCF: BAF2019-0081</b>				

Non-Compliance Deficiency

District/Division	Incident Number	Employee	Current Supervisor	Current Commander
Lakes	MC20012813	Deputy	Sergeant	Captain
<b>Deficiency</b>				
Deputy did not complete an Assisting Deputy and Body Worn Camera Log. (Policy EB-2.2.D)				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

**A total of 7 BIO Action Forms are due from the potential deficiencies detailed above. One BIO form per Deputy or deficient Bureau is requested (not per deficiency).**

**Recommendations:**

The data from the MCSO Contact Forms is utilized in the EIS process of completing the statistical analysis of traffic stops. These analyses occur monthly, quarterly and annually and having accurate information on the Contact Forms will reduce erroneous data in the analysis. Therefore, any errors found by the supervisor during their reviews and discussions should be corrected. Any errors found from an inspection should be corrected. An example would be as follows: If a Deputy did not input the names of the driver and passenger contacted during a traffic stop, he would go back to the Contact Form and add the data. During the review process of all VSCF, citations and warnings, if a supervisor finds an error on a citation or warning, he/she should notify the deputy of said error and make a notation using the "Add Comment" button explaining the discovery of the error and what corrective action was taken. This is the best method to document the error due to the fact citations and warnings cannot be modified after they have been submitted.

Supervisors are urged to remind deputies to conduct license and warrant checks on the driver they stopped. Deputies should also be reminded to verify their VSCF, citation/warning and CAD data contain the correct information as well as obtaining drivers signatures or a notation to show the drivers refusal.

Date Inspection Started: February 19<sup>th</sup>, 2020  
Date Completed: February 25<sup>th</sup>, 2020  
Timeframe Inspected: January 1<sup>st</sup>, 2020 to January 31<sup>st</sup>, 2020  
Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

Captain J. McFarland S1344

3-20-2020

Captain James McFarland S1344  
Deputy Commander, Audits and Inspections Unit  
Bureau of Internal Oversight

Date