

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



April 2021 Traffic Stop Data Inspection

Inspection Report# BI2021-0047

Background:

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, (www.Evidence.com), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

Matrix Procedures:

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

Criteria:

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

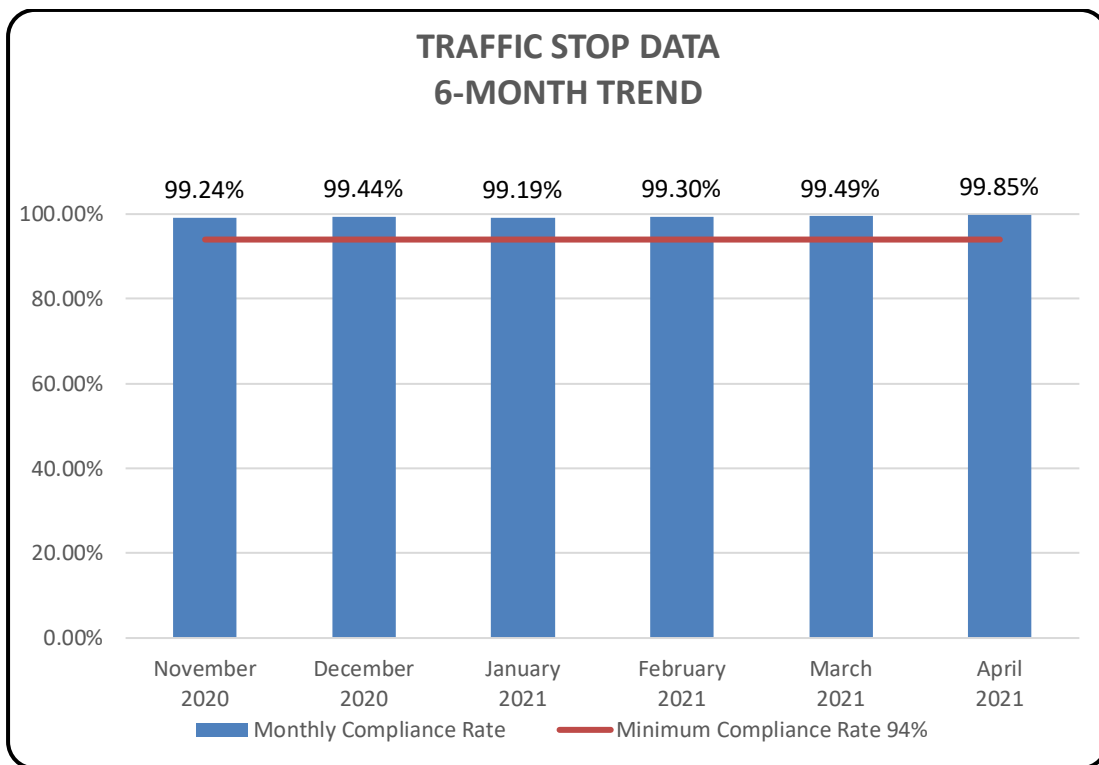
Conditions:

The MCSO reviewed 35 randomly selected traffic stops made during the month of April 2021. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

Results:

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.85%** for April of 2021, as illustrated in the graph below.



Note: Starting in November 2020, the methodology for this inspection was modified and approved as to how deficiencies are calculated.

| Matrix Inspection Elements | Not in Compliance | In Compliance | Total | Compliance Rate |
|--|-------------------|---------------|-------|-----------------|
| Event number matches all forms | 0 | 35 | 35 | 100% |
| Incident report number matches on all applicable forms | 0 | 35 | 35 | 100% |
| Event type matches all forms and CAD | 0 | 35 | 35 | 100% |

| | | | | |
|--|---|----|----|------|
| Stop time and end time matches all applicable forms (Within 5 minutes) | 0 | 35 | 35 | 100% |
| Arrest documented in CAD and matches all applicable forms | 1 | 34 | 35 | 97% |
| Arrest time documented in CAD and matches all applicable forms | 0 | 35 | 35 | 100% |
| Transport time of arrestee matches CAD and all applicable forms | 0 | 35 | 35 | 100% |
| Primary unit BWC active at time of stop | 0 | 35 | 35 | 100% |
| Primary unit vehicle number and call sign match CAD | 1 | 34 | 35 | 97% |
| 2 nd person in primary unit matches CAD and all applicable forms | 0 | 35 | 35 | 100% |
| 2 nd person in primary unit has active BWC and is documented in all applicable forms | 0 | 35 | 35 | 100% |
| Additional units on scene match CAD and all applicable forms | 0 | 35 | 35 | 100% |
| Location of traffic stop match CAD and all applicable forms | 0 | 35 | 35 | 100% |
| City/Area of violation and stop location match CAD and all applicable forms | 0 | 35 | 35 | 100% |
| Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms | 0 | 35 | 35 | 100% |
| Reason no action was taken matches CAD and documented as lawful | 0 | 35 | 35 | 100% |
| Citation/Warning number matches VSCF | 0 | 35 | 35 | 100% |
| Violators license plate matches CAD/VSCF/Citation/Warning | 0 | 35 | 35 | 100% |
| Number of occupants in violators vehicle is accurately documented on VSCF | 0 | 35 | 35 | 100% |
| Contact with passengers made and reasoning for contact documented on VSCF | 0 | 35 | 35 | 100% |
| Reason for stop documented in CAD and all applicable forms | 0 | 35 | 35 | 100% |
| Was reason for stop documented on citation/warning | 0 | 35 | 35 | 100% |
| Does violators information on citation/warning match VSCF | 0 | 35 | 35 | 100% |
| Was immigration status questioned of violator or passengers | 0 | 35 | 35 | 100% |

| | | | | |
|--|---|----|----|------|
| Does pre stop and post stop race/ethnicity information match citation/warning and VSCF | 0 | 35 | 35 | 100% |
| Was search conducted of violator or vehicle and documented on VSCF and all applicable forms | 0 | 35 | 35 | 100% |
| Were items seized as a result of the contact and documented on VSCF and all applicable forms | 0 | 35 | 35 | 100% |
| Was the stop extended and if so documented in CAD and VSCF and all applicable forms | 0 | 35 | 35 | 100% |
| Was violator arrested and documented on VSCF and all applicable forms | 0 | 35 | 35 | 100% |
| Was incidental contact form completed for all passenger contacts | 0 | 35 | 35 | 100% |
| Verify video was available | 0 | 10 | 10 | 100% |
| Determine if deputy recorded the traffic stop in its entirety | 0 | 10 | 10 | 100% |
| Verify all information on traffic stop data forms match Body-Worn Camera video | 0 | 10 | 10 | 100% |
| Determine if camera was activated when decision to make the stop was made | 0 | 10 | 10 | 100% |
| Review incident video footage to ensure deputies adhere to all MCSO Policies | 0 | 10 | 10 | 100% |
| Verify all forms are complete | 0 | 35 | 35 | 100% |

The inspector found the following potential deficiencies during the inspection:

District 2 (1 BIO Action Form):

Non-Compliance Deficiency

| District/Division | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|---|-----------------|----------------|--------------------|-------------------|
| District 2 | Redacted | Deputy | Sergeant | Captain |
| Deficiency | | | | |
| Deputy did not complete an Assisting Deputy & Body-Worn Camera Log. (Policy EB-2.2.D) Note: VSCF and CAD list above Deputy as an assisting unit. | | | | |

District 3 (2 BIO Action Form):

Non-Compliance Deficiencies

| District/Division | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|---|-----------------|----------------|--------------------|-------------------|
| District 3 | Redacted | Deputy | Sergeant | Captain |
| Deficiency | | | | |
| Deputy did not complete an Assisting Deputy & Body-Worn Camera Log. (Policy EB-2.2.D) Note: VSCF and CAD list above Deputy as an assisting unit. | | | | |

| District/Division | Incident Number | Sworn Employee | Current Supervisor | Current Commander |
|---|-----------------|----------------|--------------------|-------------------|
| District 3 | Redacted | Deputy | Sergeant | Captain |
| Deficiency | | | | |
| Deputy did not complete an Assisting Deputy & Body-Worn Camera Log. (Policy EB-2.2.D) Note: VSCF and CAD list above Deputy as an assisting unit. | | | | |

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies.

A total of 3 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: May 19th, 2021
Date Completed: May 27th, 2021
Timeframe Inspected: April 1st thru April 30th, 2021
Assigned Inspector(s): Sgt. Rob Levy S1881

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674
Lieutenant Jonathan Halverson S1674
Commander, Audits and Inspections Unit
Bureau of Internal Oversight

6/14/2021
Date