

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report July 2021

Inspection # BI2021-0097

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **15** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for **15** EIS Alerts completed/closed during July 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	1	14	15	93.33%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	1	1	100.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	1	1	100.00%
Compliance for EIS Alerts completed/Closed during July 2021	1	14	15	93.33%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2021-0018	Alert2021-0018	No Further Action	Yes
EA2020-0107	Alert2020-0098	Meeting with Supervisor	No
EA2020-0172	Alert2020-0172	Meeting with Supervisor	Yes
EA2020-0178	Alert2020-0166	Meeting with Supervisor	No
EA2020-0181	Alert2020-0169	No Further Action	Yes
EA2020-0184	Alert2020-0172	Meeting with Supervisor	Yes
EA2020-0203	Alert2020-0191	Meeting with Supervisor	No
EA2020-0223	Alert2020-0211	No Further Action	Yes
EA2020-0225	Alert2020-0213	Meeting with Supervisor/Training	Yes
EA2021-0022	Alert2021-0022	Meeting with Supervisor	Yes
EA2021-0044	Alert2021-0043	No Further Action	Yes
EA2021-0055	Alert2021-0054	Meeting with Supervisor	Yes
EA2021-0060	Alert2021-0060	Referral to PSB	No
EA2021-0062	Alert2021-0062	No Further Action	Yes
EA2021-0110	Alert2021-0110	N/A	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District VII (1 BIO Action Form):

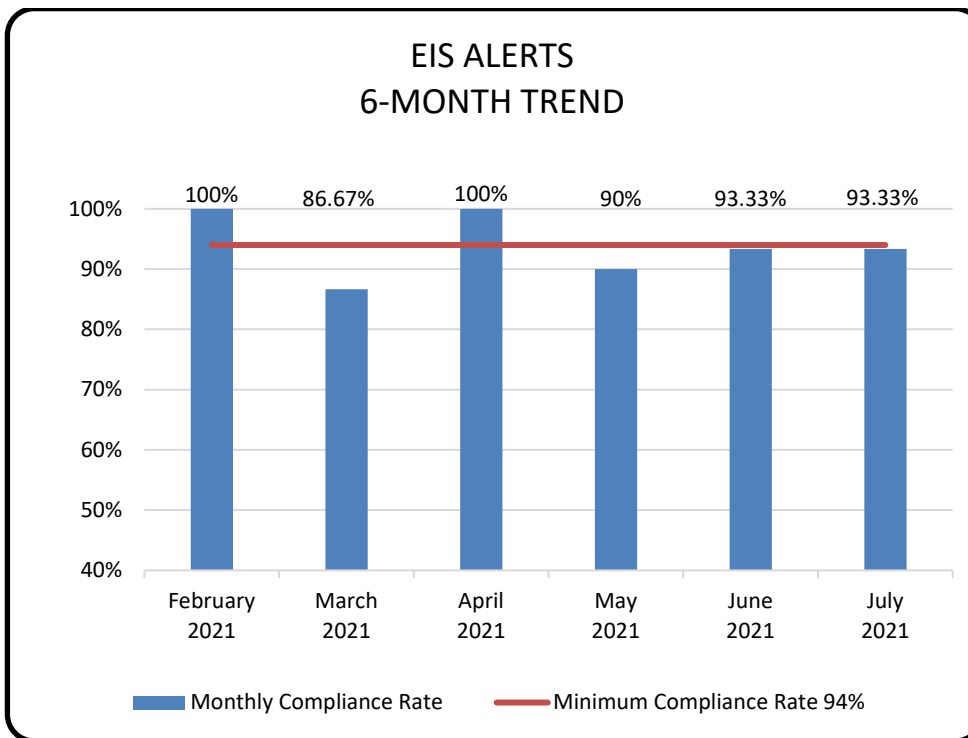
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
District VII	EA2021-0055	Alert2021-0054	Sergeant	Lieutenant	Captain
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5. Q)</p> <p>Sergeant had the alert for 29 days Lieutenant had the alert for 1 day. Captain had the alert for 7 days.</p> <p>Note: Deficiency occurred while the employee was assigned to District VII. **Employee has one previous BAF's for EIS Alert Inspection (BAF2019-0294) **</p>					

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 93.33% or 14 out of 15 EIS Alerts had no deficiencies ($14 \div 15 = 93.33\%$).
- The overall result is no change in compliance from the June 2021 inspection.



Action Required:

With the resulting 93.33% compliance for Inspection BI2021-0097 a total of **1 BIO Action Form** is requested from the affected division. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0097* and contained within IA Pro.

Date Inspection Started: August 10, 2021

Date Completed: August 16, 2021

Timeframe Inspected: July 2021

Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Lt. Jonathan Halverson S1674

Lt. Jonathan Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

8/26/2021

Date