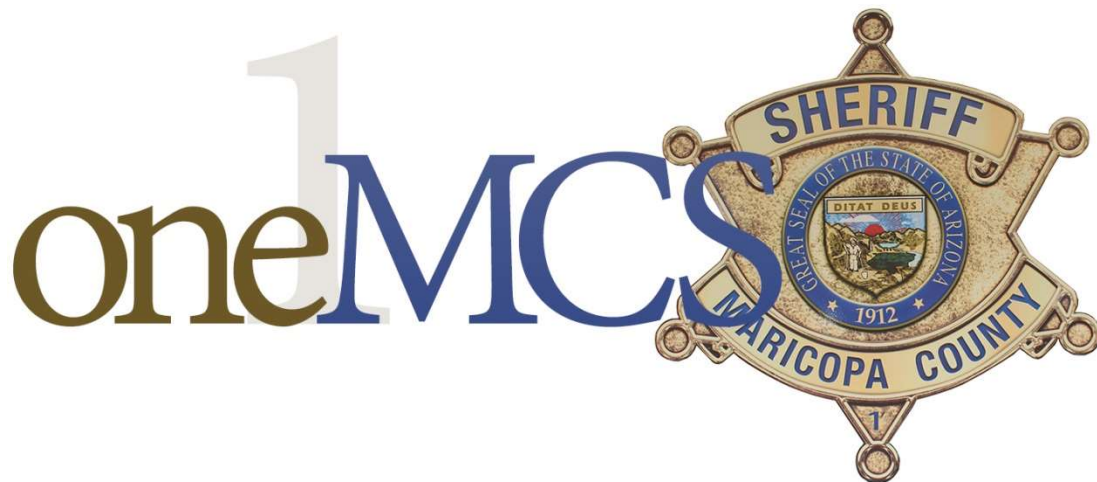


# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**EIS Alerts Inspection Report May 2020**

Inspection # BI2020-0067

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

**Matrix Procedure:**

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

**Criteria:**

MCSO Policy GB-2, *Command Responsibility*  
MCSO Policy GH-5, *Early Identification System (EIS)*

**Conditions:**

After AIU received 15 closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for 15 EIS Alerts completed/closed during May 2020:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	4	11	15	73.33%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	0	0	0	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	00.00%
<b>Compliance for EIS Alerts completed/Closed during March 2020</b>	<b>4</b>	<b>11</b>	<b>15</b>	<b>73.33%</b>

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

IA Number	Event Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2019-000146	Alert2019-0147	No Further Action	No
EA2019-000159	Alert2019-0160	Meeting with the Supervisor	Yes
EA2019-000161	Alert2020-0158	Meeting with the Supervisor	Yes
EA2020-0008	Alert2020-0013	Meeting with the Supervisor	Yes
EA2020-0025	Alert2020-0025	Meeting with the Supervisor	No
EA2020-0030	Alert2020-0029	Meeting with the Supervisor	No
EA2020-0034	Alert2020-0032	No Further Action	No
EA2020-0038	Alert2020-0040	No Further Action	Yes
EA2020-0044	Alert2020-0044	No Further Action	Yes
EA2020-0046	Alert2020-0045	Meeting with the Supervisor	No
EA2020-0051	Alert2020-0048	Meeting with the Supervisor	Yes
EA2020-0053	Alert2020-0050	Meeting with the Supervisor	No
EA2020-0065	Alert2020-0055	Meeting with the Supervisor	No
EA2020-0073	Alert2020-0062	Meeting with the Supervisor	No
EA2020-0079	Alert2020-0068	Meeting with the Supervisor	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

District 1 (1 BIO Action Form):

### Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Commander
District 1	EA2020-0025	Alert2020-0025	Lieutenant	Captain	Chief
<b>Deficiency</b>					
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q) Lieutenant had alert for a total of 35 days. <b>Note: Employee has one BAF related to EIS Alerts: BAF2019-0293</b>					

## Estrella Jail (1 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Commander
Estrella Jail	EA2019-000159	Alert2019-0160	Sergeant	Lieutenant	Captain
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q)  Sergeant had alert for a total of 30 days.  Lieutenant had alert for a total of 7 days.  Captain had alert for a total of 24 days.</p>					

## S.I.M.S (1 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Commander
S.I.M.S	EA2020-0051	Alert2020-0048	Supervisor	Manager	Commander
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q)  First line supervisor had the alert for a total of 29 days.  Manager had the alert for a total of 5 days.</p>					

## Support Services Bureau (1 BIO Action Form):

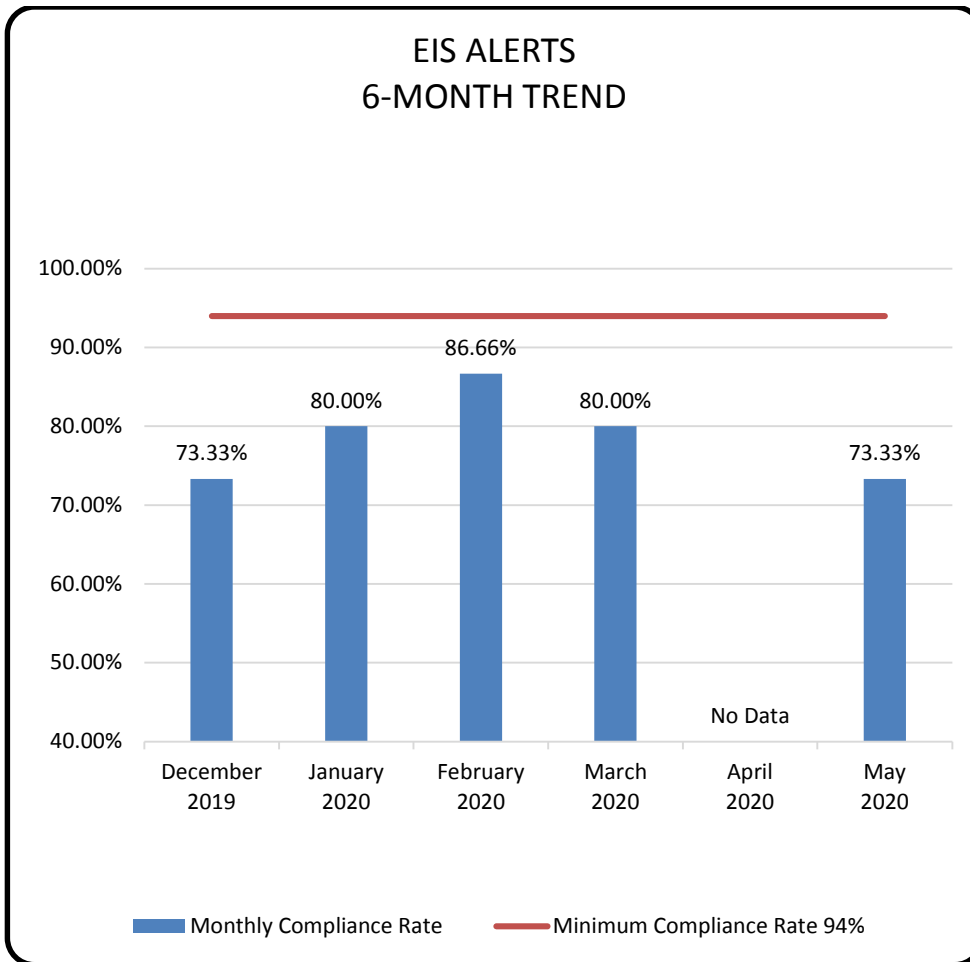
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Commander
District 1	EA2019-000146	Alert2019-0147	Chief	Chief
Deficiency				
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5.Q)  Chief had the alert for a total of 51 days.  <b>Note: Employee has two BAF's related to EIS Alerts: BAF2019-0157 and BAF2020-0082</b>  <b>Note: At the time of the deficiency, the employee was the Captain at District 1</b></p>				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 73.33% or 11 out of 15 EIS Alerts had no deficiencies ( $11 \div 15 = 73.33\%$ ).
- The overall results were a 6.67% decrease in compliance from the March 2020 inspection.



**Action Required:**

With the resulting 73.33% compliance for Inspection BI2020-0067 a total of **4 BIO Action Forms** are requested from the affected divisions. The forms shall be completed utilizing Blue Team.

**Notes:**

All supporting documentation (working papers) is included in the inspection file number *BI2020-0067* and contained within IA Pro.

Date Inspection Started: June 8<sup>th</sup>, 2020  
Date Completed: June 10th, 2020  
Timeframe Inspected: May 2020  
Assigned Inspector: Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

*Lt. Todd Brice*

Lt. Todd Brice S1767  
Deputy Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

7-6-2020

Date