

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



EIS Alerts Inspection Report August 2021

Inspection # BI2021-0111

The Bureau of Internal Oversight (BIO), Audits and Inspections Unit (AIU) will conduct inspections of the Early Identification System (EIS) Alerts on a monthly basis. The purpose of the inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, the Court Monitor Team, through the Court Implementation Division, selected a sample of 15 EIS Alerts (or all if less than 15) closed/completed during the month being inspected. These selected alerts will be provided to the AIU. To ensure consistent inspections, the *EIS Alerts Inspection Matrix* developed by the AIU will be utilized to inspect the provided sample.

Matrix Procedure:

Utilize the *EIS Alerts Inspection Matrix* to ensure that the selected EIS Alerts are returned to the Early Intervention Unit (EIU) in the required timeframe.

Criteria:

MCSO Policy GB-2, *Command Responsibility*
MCSO Policy GH-5, *Early Identification System (EIS)*

Conditions:

After AIU received **10** closed EIS Alert cases selected by the Court Monitor Team, a review of the IAPro Early Identification case management system was conducted for each of the EIS Alerts in the provided sample.

The inspection results for **10** EIS Alerts completed/closed during August 2021:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Alert addressed, closed, and returned to EIU within 30 calendar days as required by policy	2	8	10	80.00%
For Alerts not completed within 30 days, determine if an extension was requested by the assigned division and if the extension request was granted by EIU	2	0	2	00.00%
If an extension was granted, the Alert was completed and submitted within 30 days of new due date	0	0	0	00.00%
Compliance for EIS Alerts completed/Closed during August 2021	2	8	10	80.00%

The following table describes approved actions taken by the supervisor and if an administrative investigation is indicated:

EA Number	Alert Number	Interventions Initiated by Command	Administrative Investigation in Progress
EA2020-0195	Alert2020-0183	No Further Action	Yes
EA2020-0209	Alert2020-0018	Meeting with Supervisor	No
EA2021-0030	Alert2021-0029	Meeting with Supervisor	No
EA2021-0034	Alert2021-0034	Supervisory Evaluation Period (30-, 60-, or 90-day period)	No
EA2021-0048	Alert2021-0047	Meeting with Supervisor	No
EA2021-0050	Alert2021-0049	Meeting with Supervisor	No
EA2021-0058	Alert2021-0058	Meeting with Supervisor	Yes
EA2021-0063	Alert2021-0057	Meeting with Supervisor	Yes
EA2021-0064	Alert2021-0064	Meeting with Supervisor	Yes
EA2021-0067	Alert2021-0067	Meeting with Supervisor	Yes

The following perceived deficiencies were identified during the inspection process and require that a BIO Action Form be completed.

Lake Division (0 BIO Action Form):

Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
Lake Division	EA2020-0195	Alert2020-0183	Sergeant	Lieutenant	Captain
Deficiency					
<p>The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5. Q)</p> <p>Sergeant had the alert for 30 days Lieutenant had the alert for 4 days. Captain had the alert for 6 days.</p> <p>Note: No BAF is required due to the deficient employee is no longer employed.</p>					

District III (1 BIO Action Form):

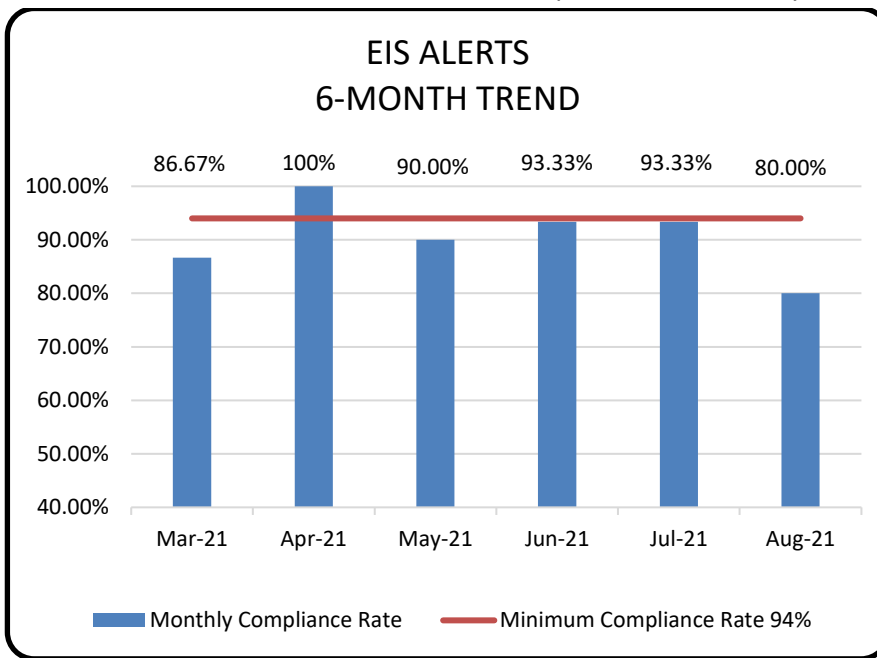
Compliance Deficiency

Division	EA Number	Alert Number	Deficient Employee	Current Supervisor	Current Division Commander
District III	EA2021-0067	Alert2021-0067	Sergeant	Lieutenant	Captain
Deficiency					
The EIS Alert was not returned to EIU within 30 days of initial assignment. (GH-5.5. Q) Sergeant had the alert for 32 days Lieutenant had the alert for 0 day. Captain had the alert for 0 day. **Employee has one pervious BAF's for EIS Alert Inspection (BAF2020-0216) **					

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

Results:

- 80.00% or 8 out of 10 EIS Alerts had no deficiencies (8 ÷ 10 = 80.00%).
- The overall result is a 13.33% decrease in compliance from the July 2021 inspection.



Action Required:

With the resulting 80.00% compliance for Inspection BI2021-0111 a total of **1 BIO Action Forms** are requested from the affected divisions. The forms shall be completed utilizing Blue Team.

Notes:

All supporting documentations are included in the inspection file number *BI2021-0111* and contained within IA Pro.

Date Inspection Started: September 14, 2021
Date Completed: September 16, 2021
Timeframe Inspected: August 2021
Assigned Inspector: Sgt. R. T. Bierwalter S1263/Kimberly Herrera B3666

I have reviewed this inspection report.

Sgt. Roger T. Bierwalter S1263 p.p.

Lt. Jonathan Halverson S1674
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

10/4/2021

Date