

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight
Audits and Inspections Unit



Civilian Supervisor Note Inspection June 2024

Inspection Report # BI2024-0077

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct Blue Team Supervisor Note entry inspection monthly. The purpose of this inspection is to ensure compliance with Office Policies and to promote proper supervision. To achieve this, inspectors will select for review the Supervisor Note entries for 35 randomly selected civilian employees for the month being inspected. To ensure consistent inspections, the Supervisor Note Inspection Matrix developed by the AIU will be utilized.

Compliance Objectives:

Utilize the Supervisor Note Inspection Matrix, review each selected employee’s EIS information to ensure they received the following during June 2024:

- Ensure the supervisor completed one Supervisor Note entry
- Ensure the supervisor completed one performance-based entry
- Ensure the supervisor conducted a review of the employee’s EIS information

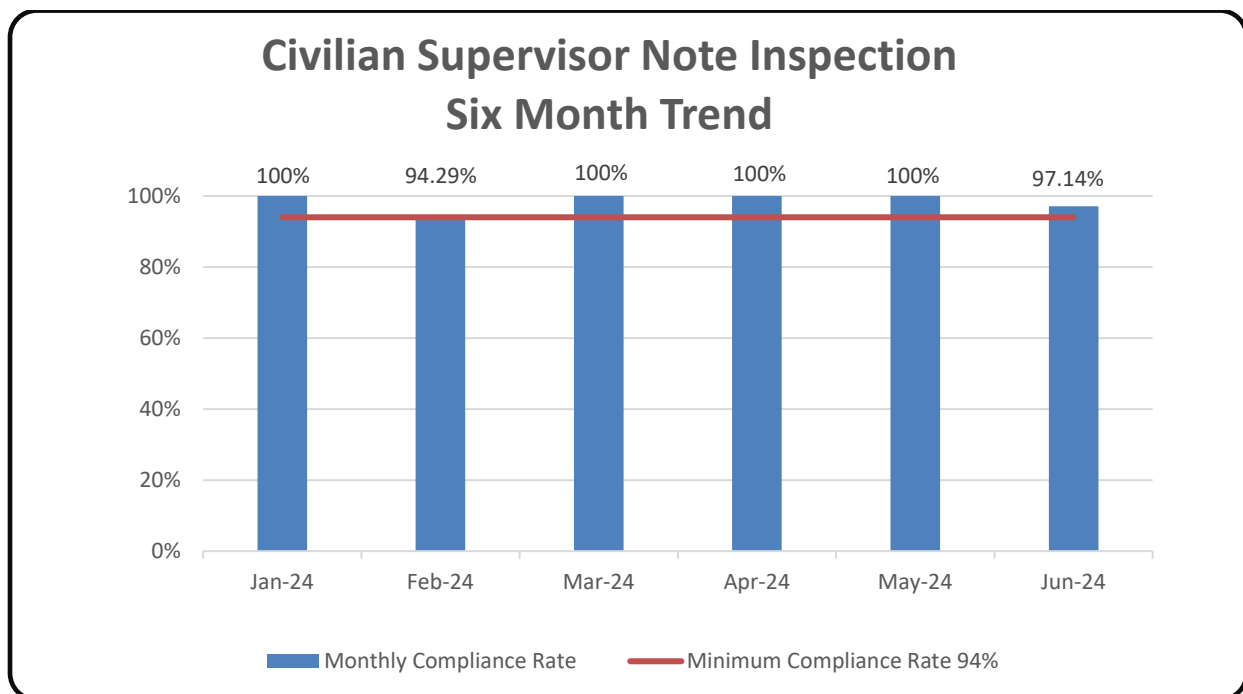
Criteria:

MCSO Policy GB-2, *Command Responsibility*

Conditions:

A review of the IAPro records revealed that, of the 35 employees’ records reviewed for Supervisor Note entries submitted during the month of June, 34 employees received entries that contained the required elements of this inspection and were in compliance with MCSO Policy GB-2, *Command Responsibility*.

MCSO achieved a compliance rate of **97.14%** in the Civilian Supervisor Note inspection for June 2024, as illustrated in the graph below:



Results of the Review of Employee Supervisor Note entries:

Inspection Element	Not In Compliance	In Compliance	Total Inspected	Compliance Rate
Once per month, supervisors review non-sworn subordinates' EIS information for the purpose of identifying and responding to any conduct patterns or concerns	1	34	35	97.14%
Employee Received at least one Supervisor Note during the month	1	34	35	97.14%
Supervisor Note entry is of sufficient quality to facilitate the preparation of an accurate and detailed performance review and reflects the employee's positive traits and accomplishments and any observed shortcomings	1	34	35	97.14%
Overall Compliance with inspection requirements	3	102	105	97.14%

Custodial Services (1 BIO Action Form):

Compliance Deficiency

Division	Employee Notes Inspected	Division Supervisor	Division Commander
Custodial Services	R. Castorena Torres B4344	C. Miceli B0305	Lt. L. Bandilla A8532
Deficiency			
1. Minimum requirement for one Supervisor Note entry not met. Policy GB-2.7.B.1 2. Employee Performance is not documented. Policy GB-2.7.B.1 3. Review of Employee's EIS information not documented. Policy GB-2.7.B.4			

Action Required:

With the resulting **97.14%** compliance for *Inspection BI2024-0077*, a total of 1 BIO Action form is requested from the affected division. The form shall be completed utilizing Blue Team.

Notes:

All supporting documentation (working papers) is included in the inspection file number *BI2024-0077* and contained.

Date Inspection Started: July 01, 2024
Date Completed: July 16, 2024
Timeframe Inspected: June 01-30, 2024
Assigned Inspector: Sr. Internal Auditor Specialized M. De La Cruz B4608

I have reviewed this inspection report.

Lt. Brian Arthur S1806

7/17/2024

Lieutenant T. Brian Arthur S1806
Commander, Audits & Inspections Unit
Bureau of Internal Oversight

Date