

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**TraCS Discussion Inspection: December 2019**

Inspection Report# BI2020-0008

The Audits and Inspections Unit (AIU) of the Sheriff’s Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussion of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussion will be uniformly inspected utilizing a matrix developed by the AIU, in accordance with the procedures outlined in policies EA-11, EB-1, GB-2 and MCSO Administrative Broadcast 16-56.

**Compliance Objectives:**

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

**Criteria:**

MCSO Policy EA-11, *Arrest Procedures (Section 14, Part E)*

MCSO Policy EB-1, *Traffic Enforcement, Violator Contacts, and Citation Issuance (Section 17)*

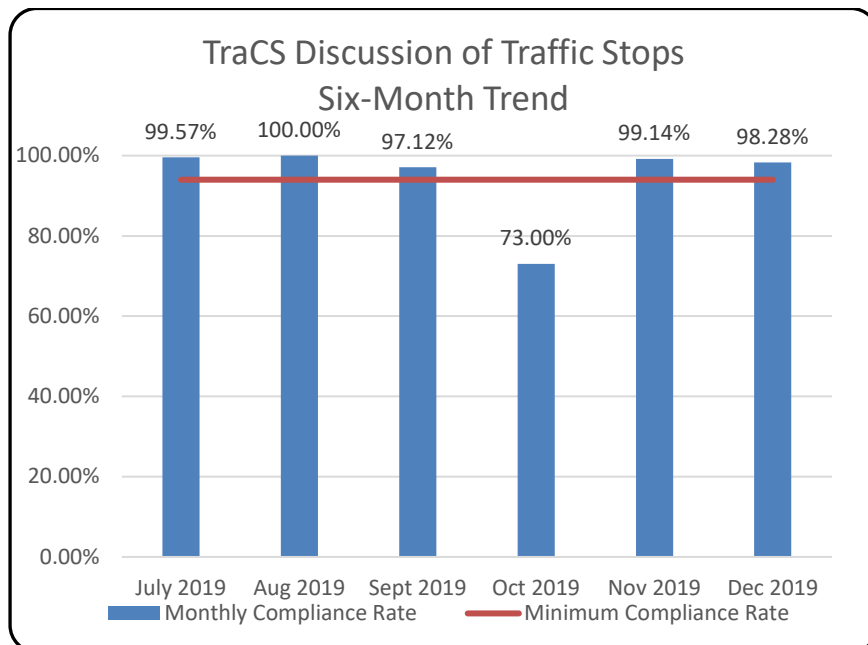
MCSO Policy GB-2, *Command Responsibility (Section 13, Parts E.1.a, E.1.b and E.1.c)*

MCSO Administrative Broadcast # 16-56, *Discussed with Deputy Indicator and Supervisor Review Process*

**Conditions:**

MCSO’s assigned Court Monitors provided a sample of 33 Deputies from all Patrol Districts/Divisions for the Discussion Inspection covering data from December 2019. The sample of 33 Deputies provided a total of 291 traffic stops available for inspection; all 291 (or 100%) of the traffic stops were inspected.

MCSO achieved a compliance rate of 98.28% in the Discussion of Traffic Stops for the month of December 2019, as illustrated in the table below:



Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of December 2019 (i.e., out of a total of 291 stops, 286 were without deficiencies, or were 98.28% in compliance).

The compliance rate for each division is illustrated in the table below:

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
In Compliance	48	11	18	10	125	59	15
Total Stops	48	11	23	10	125	59	15
Compliance %	100%	100%	78.26%	100%	100%	100%	100%

It should be noted that the completion of a Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

The following deficiencies were noted during the inspection period:

#### District Three

District/Division	MC Incident #	Employee	Current Supervisor	Current Commander
District Three	MC19273482	Sergeant	Lieutenant	Captain
District Three	MC19273497	Sergeant	Lieutenant	Captain
District Three	MC19273525	Sergeant	Lieutenant	Captain
District Three	MC19273584	Sergeant	Lieutenant	Captain
District Three	MC19273604	Sergeant	Lieutenant	Captain
Deficiency				
Supervisor did not discuss Contact with Deputy within 30 days, as per requirements of Polices EB-1, GB-2 & Administrative Broadcast # 16-56. <u>Inspector Note</u> : Deputy was transferred from D3 to D7 on Jan. 14th, and was still under the supervision of the D3 Sgt. at the time of this stop.				

#### Action Required:

With the resulting **98.28%** compliance rate for Inspection #BI2020-0008, **1** BIO Action Form is required.

#### Recommendations:

It is recommended the inspection of discussion of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. **On a monthly basis**, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 03/09/2020  
Date Completed: 03/09/2020  
Timeframe Inspected: December 1st – 31st, 2019  
Assigned Inspector(s): Patty Huling # B3184

I have reviewed this inspection report.

*Lt. Todd Brice*

Lieutenant Todd Brice # S1767  
Commander, Audits & Inspections Unit  
Bureau of Internal Oversight

4-13-2020

Date