

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**Patrol Shift Roster Inspection 4<sup>th</sup> Quarter 2021**

Inspection Report# BI2021-0161

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) will conduct inspections of Daily Patrol Shift Rosters on a quarterly basis to determine if the rosters are in compliance with Office policy. The Daily Patrol Shift Rosters are uniformly inspected utilizing a matrix developed by AIU, in accordance with procedures outlined in Policy GB-2, *Command Responsibility*.

**Matrix Procedures:**

- A Daily Patrol Shift Roster is completed for each work shift.
- Supervisor span of control is in accordance with MCSO Policy GB-2.
- Shift Supervisor positions are being filled by employees with the rank of Sergeant, Lieutenant, or above in accordance with MCSO Policy GB-2.
- Supervisors are assigned to, and work, the same days and hours as the deputies under their direct command, absent exceptional circumstances.
- Policy violations outside of the scope of this inspection are listed as non-compliance deficiencies.

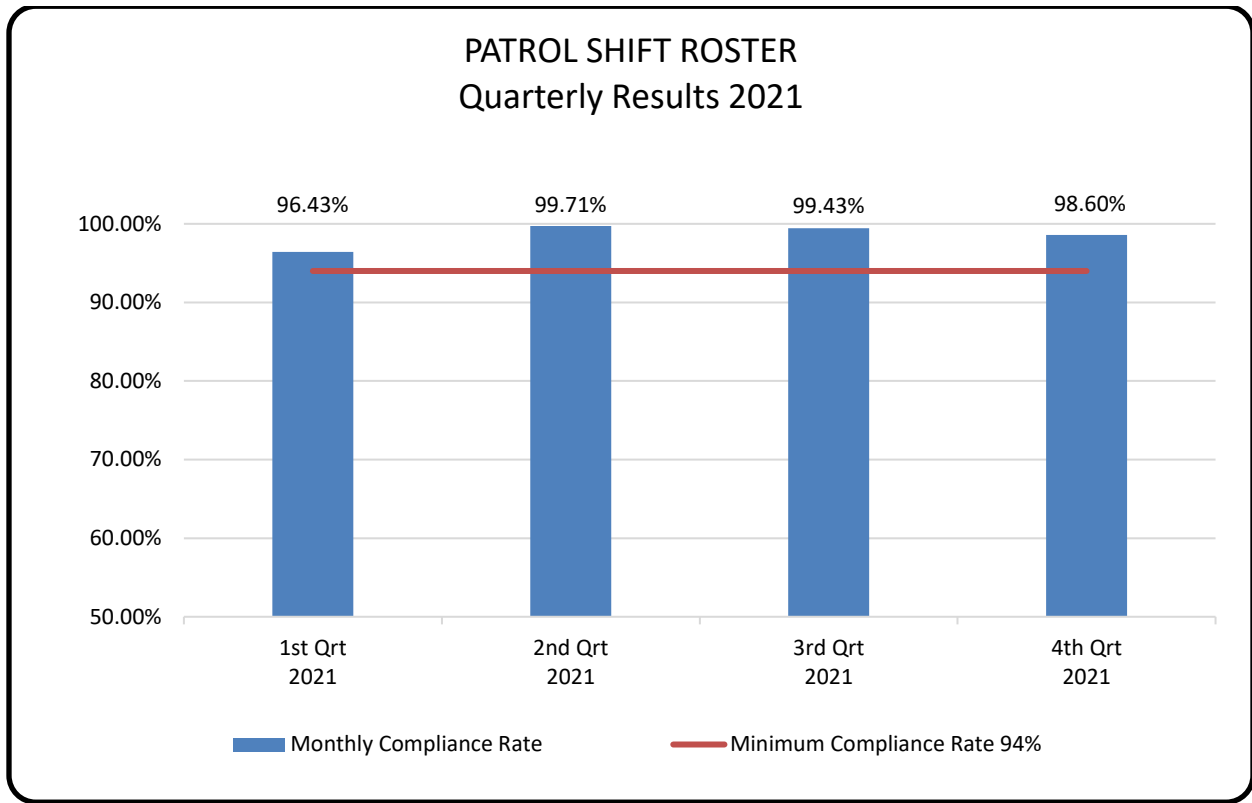
**Criteria:**

MCSO Policy GB-2, *Command Responsibility*

**Conditions:**

A random selection of one day (24-hour period) per week for the months of October, November and December was utilized. Due to possible specialty assignment squads and the variance of days in a month, the total number of shift rosters will vary each month. For the 4<sup>th</sup> quarter of 2021; there was a total of 120 rosters randomly selected for inspection. 100% of the randomly selected rosters were inspected.

MCSO achieved a compliance rate of **98.60% (total rosters in compliance / cumulative total rosters)** in the Patrol Shift Roster inspection for the 4<sup>th</sup> quarter of 2021, as illustrated in the graph below:



\*The 4<sup>th</sup> Quarter of 2019 was the first quarter for the new quarterly Shift Roster Inspection, a twelve-month trend of compliance will be included as the data becomes available.

Division	District 1	District 2	District 3	District 4	Lake Patrol	District 6	District 7
<b>In Compliance:</b>	12	12	12	12	48	12	12
<b>Non-Comp. Def.</b>	0	0	0	1	0	0	0
<b>Comp. Def.</b>	0	1	0	0	1	0	0
<b>Total Rosters:</b>	12	12	12	12	48	12	12
<b>Compliance %:</b>	100%	92%	100%	100%	98%	100%	100%

The following deficiencies were noted during the inspection period:

## District 2 (1 BIO Action Form):

### Compliance Deficiency

District/Division	Employee	Shift Date	Current Supervisor	Current Commander
District 2	Sergeant	10/04/2021	Lieutenant	Captain
<b>Deficiency</b>				
No Span of Control Memorandum generated for exceeding the preferred 8:1 ratio. <b>(Policy GB-2.13.A.4)</b>				

## District 4 (1 BIO Action Form):

### Non-Compliance Deficiency

District/Division	Employee	Shift Dates	Current Supervisor	Current Commander
District 4	Sergeant	11/10/2021	Lieutenant	Captain
<b>Deficiency</b>				
Shift roster documents serial number S1763 for A432; Praxis shows serial number S1785 for A432. <b>(Policy GB-2.13.A.2.c)</b> <b>**Employee has 1 previous BAF for Shift Roster Inspection (BAF2021-0142)**</b>				

