

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



Targeted Integrity Inspection: September 2023

Inspection Report # BI2023-0080

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) conducts targeted integrity inspections on an as needed basis to examine a specific employee, or group of employees, who has or have been identified through an analysis conducted by the Bureau of Internal Oversight (BIO), the Professional Standards Bureau (PSB), the Early Identification System (EIS), or other data collection methods, and is displaying indications, or concerns of involvement, related to potentially improper or illegal behavior.

During various EIS audits and checks, it was noted that there appeared to be a significant amount of overdue detention uses of force entries contained in the IAPro database. A more focused check was conducted, and it was determined that approximately 56% (73/130) of the overdue use of force entries from a sample were attributable to Captain. After approval from the BIO commander was received, a targeted integrity test was started for the period of 10/01/2022 to 03/31/2023 with Captain being selected as the subject of this inspection. A check of entries was expanded to include checks for all IAPro items which have timeline requirements. These entries will be uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policy and the AIU Operations Manual.

Compliance Objectives:

- Determine if the entry was forwarded to EIU by the division commander within policy timeframe.
- Determine if there is an indication of repetitive late routings.

Criteria:

MCSO Policy CP-1.11.H.2, Documenting Use of Force – Detention

MCSO Policy GH-4.10.E.3, BIO Action Form routing timeframe

MCSO Policy GH-5.5.Q, EIS Alert routing timeframe

Section 303 of the AIU Operations Manual.

Conditions:

Entries with policy timeframes which were routed to Captain from the period of 10/01/2022 to 03/31/2023 will be identified. A random sample those entries will be inspected utilizing Blue Team chain routing information:

- IAPro data for Captain, generated from 10/01/2022 through 03/31/2023 was sorted.
- There were 38 outstanding entries (those with policy timelines) in the IAPro system for Captain.
- A random sample of 15 total entries (BIO Action Forms, EIS Alerts, and Uses of force) was obtained.

Results:

The Blue Team chain routing files of the 15 overdue entries were uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policy and the AIU Operations Manual. The results of the inspection were as follows:

- 2 of the 15 entries were routed to the EIU within policy timeframes by the SRT commander.
- 1 of the 15 entries was a BIO Action Form which was routed within policy timeframes.
- 1 of the 15 entries was an EIS alert entry which was routed within policy timeframes.
- 11 of the 15 entries were uses of force which were routed to the EIU after 45 calendar days, outside of policy.

Conclusion:

The determination by AIU regarding the disposition of this targeted inspection is a **FAIL**. AIU did not observe evidence of criminal, serious or continual, or willful acts of minor misconduct; however, AIU believes based on the Blue Team chain routings, Captain repetitively failed to route numerous uses of force entries within policy timeframes. There were other timeframe issues regarding the inspected entries by employees assigned to Captain’s command, however, as this is a targeted inspection, only the selected employee was inspected. Captain’s inspection disposition of FAIL is a PROCEDURAL FAILURE according to Section 303 of the BIO Operations Manual and the disposition does not require a referral to the Professional Standards Bureau, but the actions will be reported as a deficiency to the respective Division Chief through the BIO Action Form process. It’s noteworthy that all the late entries have been routed to the EIU.

Action Required:

AIU will provide the inspection report to the Division via the Bio Action Form Process: **1 BIO Action Form Required.**

Division	Entry #	Employee	Supervisor	Commander
4 th Avenue Jail	UOF2023-0259	Captain	Chief	Chief
	UOF2023-0256			
	UOF2023-0243			
	UOF2023-0286			
	UOF2023-0359			
	UOF2023-0364			
	UOF2023-0300			
	UOF2023-0361			
	UOF2023-0356			
	UOF2023-0277			
UOF2023-0253				
Deficiencies				
1. 11 Use of Force entries were not routed within 45 days as specified in policy. (Policy CP-1.11.H.2)				

Date Inspection Started: 9/7/2023
 Date Completed: 9/28/2023
 Timeframe Inspected: 10/01/2022 – 03/31/2023
 Assigned Inspector(s): Sgt. R. Levy S1881

I have reviewed this inspection report.

Lt. Brian Arthur S1806
 Lieutenant T. Brian Arthur S1806
 Commander, Audits and Inspections Unit
 Bureau of Internal Oversight

11/17/23
 Date