

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**March 2023 Traffic Stop Data Inspection**

Inspection Report# BI2023-0030

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop, if needed, to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras

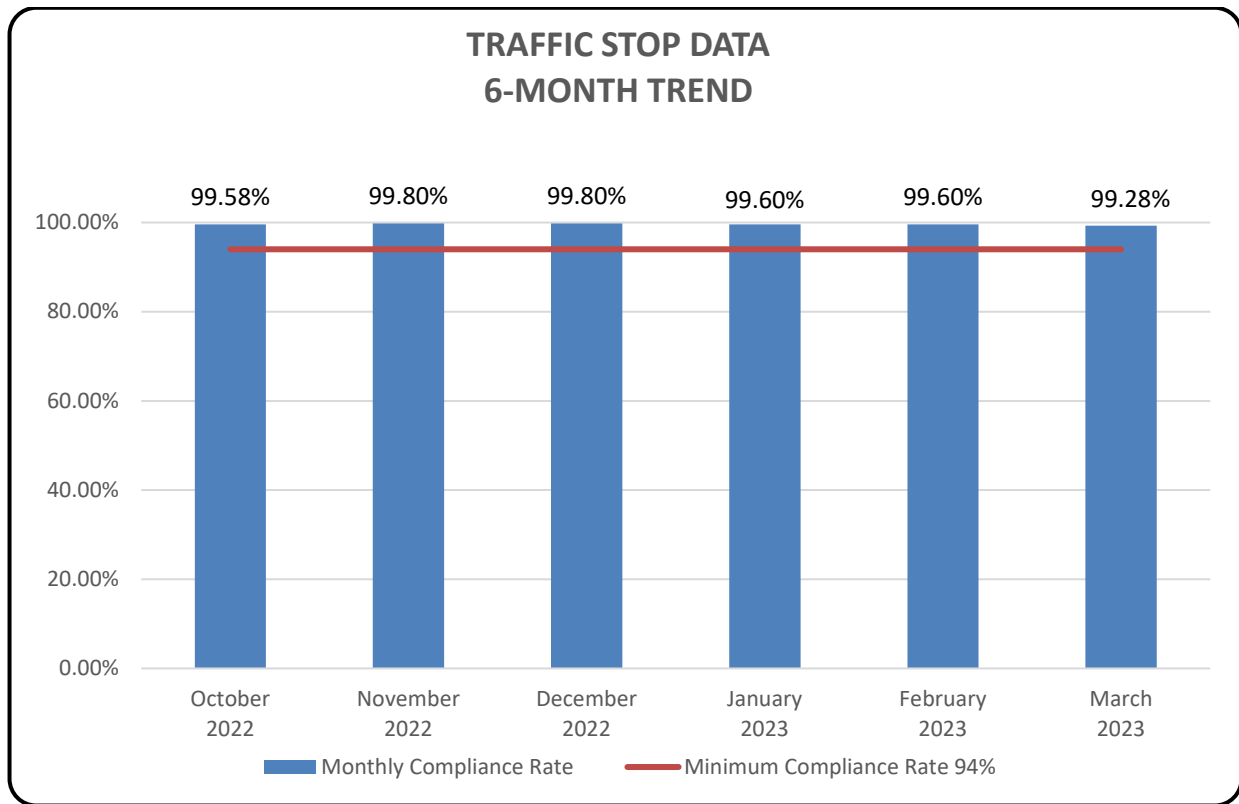
**Conditions:**

The MCSO reviewed 35 randomly selected traffic stops made during the month of March 2023. Additionally, 10 of the 35 were selected for Body-Worn Camera review.

**Results:**

Each Traffic Stop will be counted as one inspection and all applicable matrix criteria inspection points will factor into an over-all compliance score. Example: If one Traffic Stop contains 37 inspection points (6 not applicable) and 2 deficiencies it will count as one Traffic Stop Inspection with a compliance score of 94.00%. The over-all compliance score for the monthly inspection will be the average score of the 35 stops reviewed.

The compliance rates of the provided traffic stop sample, utilizing the 37-inspection criterion, resulted in an overall average compliance rate of **99.28%** for March of 2023, as illustrated in the graph below.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	1	34	35	97%
Arrest time documented in CAD and matches all applicable forms	0	35	35	100%
Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop per VSCF	0	35	35	100%

Primary unit vehicle number and call sign match CAD	2	33	35	94%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	1	34	35	97%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD disposition and all applicable forms	1	34	35	97%
Reason no action was taken matches CAD and documented as appropriate	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	2	33	35	94%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	1	34	35	97%
Was reason for stop documented on citation/warning	0	35	35	100%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%

Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%
Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	0	35	35	100%
Was incidental contact form completed when appropriate	0	35	35	100%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	0	10	10	100%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Determine if camera was activated when decision to make the stop was made	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	0	35	35	100%

The inspector found the following potential deficiencies during the inspection:

District 1 (3 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
District 1	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Incorrect MCSO vehicle number documented on VSCF. (Policy EB-1.14) 2. A driver’s license and warrant check was not conducted on the violator. (Policy EB-1.10.D) <b>Note:</b> Responsible employee was training a new employee on 2 <sup>nd</sup> phase of FTO.				

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
District 1	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. "No" was documented for "Arrest" on VSCF but violator was provided a criminal citation. (Policy EB-2.2.E.3) 2. Call was cleared "7I-Incidental Contact" but should have been cleared "6TP-Criminal Traffic cite and release *Property Impounded" due to the violator was provide a criminal citation and the license plate of the violator's vehicle was seized. (Policy GI-1.3.B)				

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
District 1	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Assisting Deputy & Body Worn Camera Log was not completed. (Policy EB-2.2.F) <b>**Employee has one previous BAF for Traffic Stop Data Inspection (BAF2023-0001)**</b>				

District 2 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Incorrect MCSO vehicle number documented on VSCF. (Policy EB-1.14) <b>Note:</b> Responsible employee was training a new employee on 2 <sup>nd</sup> phase of FTO.				

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
District 2	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Incorrect license plate documented for violators vehicle on VSCF and warning. (Policy EB-1.14.A.5)				

Lakes (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
Lakes	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Incorrect license plate documented for violators vehicle on VSCF and citation. (Policy EB-1.14.A.5) 2. Self-introduction was not completed upon initial contact with violator. (Policy EB-1.10.A) <b>Note:</b> BWC was viewed to verify license plate of violator’s vehicle.				

District/Division	Incident Number	Responsible Employee	Current Supervisor	Current Commander
Lakes	Redacted	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. “Reason for Stop” on VSCF indicates “Speed” but documented reason for stop in CAD is “No Plate.” (Policy EB-1.9.B.1.d)				

Unless noted above in the deficiency table, there were no prior BIO Action Forms similar in nature for the perceived employee deficiencies. A total of 7 BIO Action Forms are due from the deficiencies detailed above. One BIO form per Employee or deficient Bureau is requested (not per deficiency).

Date Inspection Started: April 10<sup>th</sup>, 2023  
 Date Completed: April 13<sup>th</sup>, 2023  
 Timeframe Inspected: March 1<sup>st</sup>, 2023 to March 31<sup>st</sup>, 2023  
 Assigned Inspector(s): Sgt. R. T. Bierwalter S1263

I have reviewed this inspection report.

Capt. Dominick Reaulo  
 Captain Dominick Reaulo S1678  
 Division Commander  
 Bureau of Internal Oversight

5/8/2023  
 Date