

**MARICOPA COUNTY SHERIFF'S OFFICE**  
**April 2017 Traffic Stop Data Inspection**



**Audit and Inspections Unit**  
**Inspections Report**  
**Traffic Stop Data**  
**Date: May 30, 2017**  
**Inspection #2017-0066**



**To:** Captain B. Roska  
Commander  
Bureau of Internal Oversight

**From:** Sergeant T. Brice S1767  
Inspections Sergeant  
Audit and Inspections Unit

**Subject:** April 2017 Inspection of Traffic Stop Data  
#BI2017-0066

**Date:** May 30, 2017  
April 1<sup>st</sup> through  
April 30<sup>th</sup>, 2017

The Audit and Inspections Unit inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with office policies, promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the auditor will utilize the TraCS system, JWI, I-Net Viewer, body camera video ([www.Evidence.com](http://www.Evidence.com)) and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies' involved in the traffic stop to determine if a license and/or warrants check was run during the stop. The following procedures and Briefing Boards will be used in the (BIO) Matrix, which include but are not limited to EA-3, EA-11, EB-1, EB-2 EB-11, GJ-3, GJ-4 GJ-35, GF-3, CP-1 CP-2, CP-5 CP-8, CP-8.1.A & .5, Briefing Board Numbers 09-31, 13-31 14-12, 14-28, 14-33 14-66, 14-67, 14-68, 15-01, 15-04

**Matrix Procedures:**

- Verify all information on traffic stop data forms match , respectively
- Verify all information on traffic stop data forms match CAD
- Verify all information on traffic stop data forms match body camera video
- Determine if all license and warrant checks were documented
- Determine if the name of any individuals from a license and/or warrant check (including subject's surname) are documented
- Confirm the name, serial number, and unit of all involved are documented
- Verify the license plate state and number are documented
- Confirm the total number of occupants are documented
- Verify the pre-stop and post-stop subjective perceived race, ethnicity, and gender of the driver and any passengers are documented
- Determine if contact was made with any passengers, the nature of the contact, and the reasons for such contact are documented
- Confirm if the reason for the stop was recorded with a description of the traffic or equipment violation observed, if any, prior to contact with the occupants, and any indicators of criminal activity developed before or during the stop
- Verify the time the stop began, time any citations were issued, time releases were made without citation, time any arrest were made, and time the stops/detentions were concluded and documented
- Confirm whether any inquiry as to immigration status was conducted and whether ICE/CBP was contacted, and if so, the facts supporting the inquiry or contact with ICE/CBP, the time Supervisor approval was sought, the time ICE/CBP was contacted, the time it took to complete the immigration

- status investigation or receive a response from ICE/CBP, and whether ICE/CBP ultimately took custody of the individual and documented
- Determine whether any individual was asked to consent to a search (and the response), whether a probable cause search was performed on any individual, or whether a pat-and-frisk search was performed on any individual and documented
- Verify if any contraband or evidence was seized from any individual, and if the nature of the contraband or evidence seized was documented
- Confirm the final disposition of the stop, including whether a citation was issued or an arrest was made or a cite and release was made
- Confirm the city location of stop was documented on traffic stop data forms
- Verify a receipt contained a signature or acknowledgment that the subject was served and if not there was a documented reason
- Verify video was available
- Determine if video recorded the Traffic Stop in its entirety
- Review incident video footage to ensure deputies adhere to all MCSO Policies

**Criteria:**

- MCSO Policy
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy GJ-35 Body Worn Cameras

**Conditions:**

The MCSO reviewed 35 out of 1672 traffic stops made during the month of April 2017. Additionally, 10 of the 35 were selected for body camera review. 1 Traffic stop from March 2017 was also inspected including body camera footage. These traffic stops included 0 DUI's (692's), 0 Reckless Driving (693's), and 0 Aggressive Driving (693R's). The inspector reviewed the traffic stops and determined that 75% or 27 out of the 36 traffic stops had no deficiencies ( $27 \div 36 = 0.75$  or 75%) The overall results were a 5% decrease from the March 2017 inspection. The inspector found the following potential deficiencies during the inspection:

**The following potential deficiencies shall require a Bio Action Form. A total of 9 Bio Action Forms (one for each Deputy regardless of number of deficiencies) will be required within 30days.**

| District/<br>Division | Potential Deficiency                                                                            |
|-----------------------|-------------------------------------------------------------------------------------------------|
| District 1            | MC17092067:<br>-Did not introduce himself.<br>-Did not indicate traffic stop involved training. |
| District 1            | MC17095950: Did not list backup Deputy on Contact form.                                         |

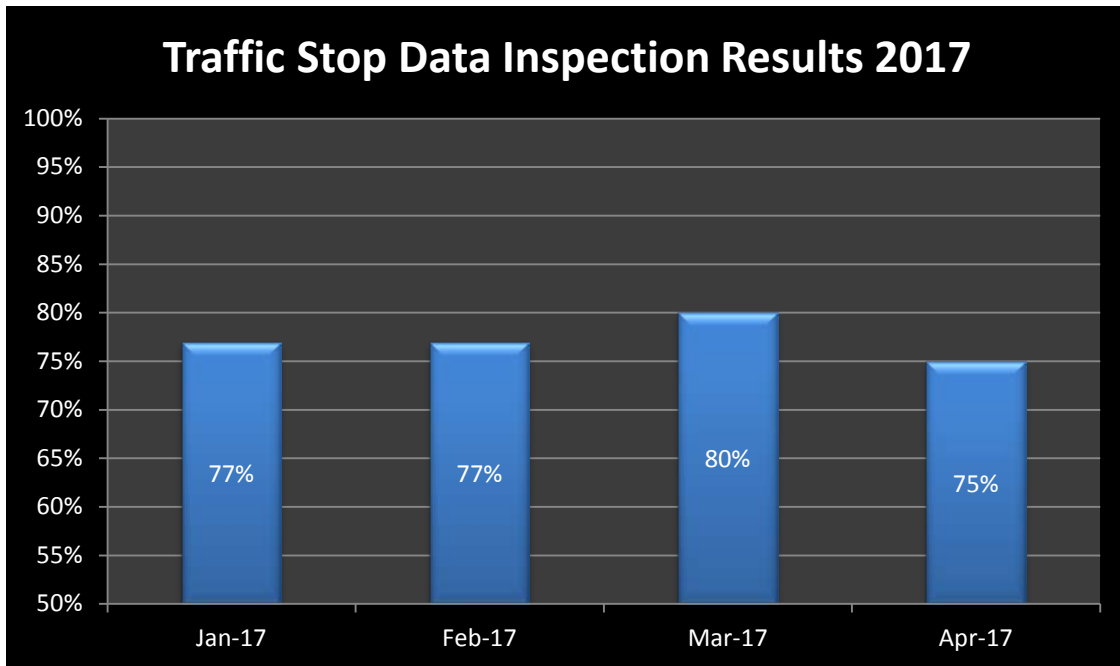
| District/<br>Division | Potential Deficiency                                                                                                                                                                        |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| District 1            | MC17101377:<br>-Did not input drivers and passengers name/dob in contact form or incidental contact form<br>-Did not complete a passenger receipt after asking them to identify themselves. |
| District 1            | MC17106027: Did not indicate on MCSO Contact Form a driver's license was seized.                                                                                                            |

| District/<br>Division | Potential Deficiency                                                                                                                                                                                                                              |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| District 2            | MC17093389:<br>-Reason for stop classification mislabeled (indicated criminal when it was civil)<br>-Wrong plate listed on MCSO Contact Form and Citation (plate displayed was BWP0613 not BTK6984)<br>-Failed to seize fictitious license plate. |

| District/<br>Division | Potential Deficiency                                                       |
|-----------------------|----------------------------------------------------------------------------|
| District 6            | MC17082902- MCSO Contact Form lists driver as female when driver was male. |

| District/<br>Division | Potential Deficiency                                      |
|-----------------------|-----------------------------------------------------------|
| District 7            | MC17091106: Did not introduce himself to driver.          |
| District 7            | MC17099266: Did not complete Additional Deputy Camera Log |

| District/<br>Division | Potential Deficiency                                                                                                           |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------|
| Lakes                 | MC17057396:<br>-Passenger not provided a receipt after asked to identify herself.<br>-No Body Camera footage for traffic stop. |



As of May 30, 2017, at 1800 hours, a review of TraCS showed 12 open forms (deficiencies) from the month of April 2017. Each open TraCS form needs to be addressed by the employee and a BIO Action Form submitted per employee.

**A total of 8 Bio Action forms are required related to open TraCS forms (1 per employee)**

| District/<br>Division | Potential Deficiency            |
|-----------------------|---------------------------------|
| District 1            | MC17095254 – Open Admin Per SeB |

| District/<br>Division | Potential Deficiency |
|-----------------------|----------------------|
| District 4            | 2 Open Tow Sheets    |

| District/<br>Division         | Potential Deficiency         |
|-------------------------------|------------------------------|
| Inmate<br>Medical<br>Services | 2 Open MCSO Incident Reports |

| District/<br>Division | Potential Deficiency                              |
|-----------------------|---------------------------------------------------|
| Major Crimes          | IR17005082/17009061- 2 Open MCSO Incident Reports |
| Major Crimes          | IR17010171 – 2 Open MCSO Incident Report          |

| District/<br>Division | Potential Deficiency        |
|-----------------------|-----------------------------|
| Towers Jail           | 1 Open MCSO Incident Report |

| District/<br>Division | Potential Deficiency        |
|-----------------------|-----------------------------|
| Court Operations      | 1 Open MCSO Incident Report |

| District/<br>Division | Potential Deficiency        |
|-----------------------|-----------------------------|
| Court Security        | 1 Open MCSO Incident Report |

**Recommendations:**

Any deficiency found in the MCSO Contact Form needs to be corrected. The data from the MCSO Contact Forms is utilized in the EIS process of completing the ASU statistical analysis of traffic stops. These analyses occur monthly, quarterly and annually. Having the most accurate information on the Contact Forms, will aid in having an accurate ASU analysis. Therefore, any errors found by the Supervisor during their reviews and discussions should be corrected. Any errors found from an inspection should be corrected. An example from above would be as follows: if a Deputy did not input the names of the driver and passenger contacted during a traffic stop, he would go back to the Contact Form and add the data.

Post stop perceived race – As stated in the Melendres Order, post stop perceived race/ethnicity is based on the deputy’s best perceptions. Bio recommends deputies consider a reasonable person standard when using their best perception to decide on the persons’ race/ethnicity. If the Deputy has any question about making a choice that reflects the best reasonable person standard, he/she should consult his/her supervisor for assistance.

Supervisors are urged to discuss with their employees the policy requirement to complete a license and warrant check (27/29) on the driver of every traffic stop. They are also encouraged to discuss the correct method on how to complete the action in CAD as there have been multiple occasions of Deputies only completing a 10/27 (entering DL# in 27/29 screen of CAD instead of name/DOB).

**A total of 17 BIO Action Forms are due from the potential deficiencies detailed above. One BIO form per Deputy is requested (not per deficiency). 9 BIO forms from Traffic stop data and 8 from Open TraCS forms**

**Inspection focus:** April 2017 Traffic Stop Data Inspection

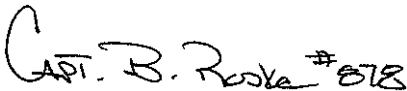
**Date Inspection Started:** May 24<sup>th</sup>, 2017

**Date Completed:** May 30<sup>th</sup>, 2017

**Timeframe Inspected:** April 1<sup>st</sup> through April 30<sup>th</sup>, 2017

**Assigned Inspectors:** Sergeant T. Brice S1767

I have reviewed this inspection report.



Captain B. Roska  
Division Commander  
Bureau of Internal Oversight

May 31, 2017  
Date



Executive Chief R. Skinner S0898  
Bureau Commander  
Bureau of Internal Oversight

May 31, 2017  
Date