

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



August 2018 Review of Traffic Stops Inspection Report

Inspection # BI2018-0105

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Review of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Review will be uniformly inspected utilizing a matrix developed by the AIU.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy EA-11, ARREST PROCEDURES (Section 14, Parts B & E):

B. Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the IR within seven calendar days, absent exigent circumstances.

E. Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS) Blue Team, each deputy's deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action.

MCSO Policy EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 17, Part A and B):

A. Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the actions occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the reports within seven calendar days, absent exigent circumstances.

B. Supervisors are responsible for reviewing the *VSCF* to ensure accuracy and proper documentation.

MCSO Policy GB-2, Command Responsibility (Section 13, Part B.2.a)

Supervisors shall review all *VSCF* and *NTCFs* made by each deputy under their supervision within the TraCS software. Absent exceptional circumstances, supervisors shall review all *VSCF* and *NTCFs* involving a detention/Terry stop within 72 hours of receiving such documentation.

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisory Review Process:

Additionally, effective June 1, 2016, a "**Supervisory Review Process**" shall be used by all sworn supervisory personnel. Sworn supervisors are responsible for reviewing any form that is in pending review status, which shall now include the "Vehicle Stop Contact Form." Once a review is completed and the form is signed off, that form can no longer be modified or voided due to data being pulled for analysis.

Conditions:

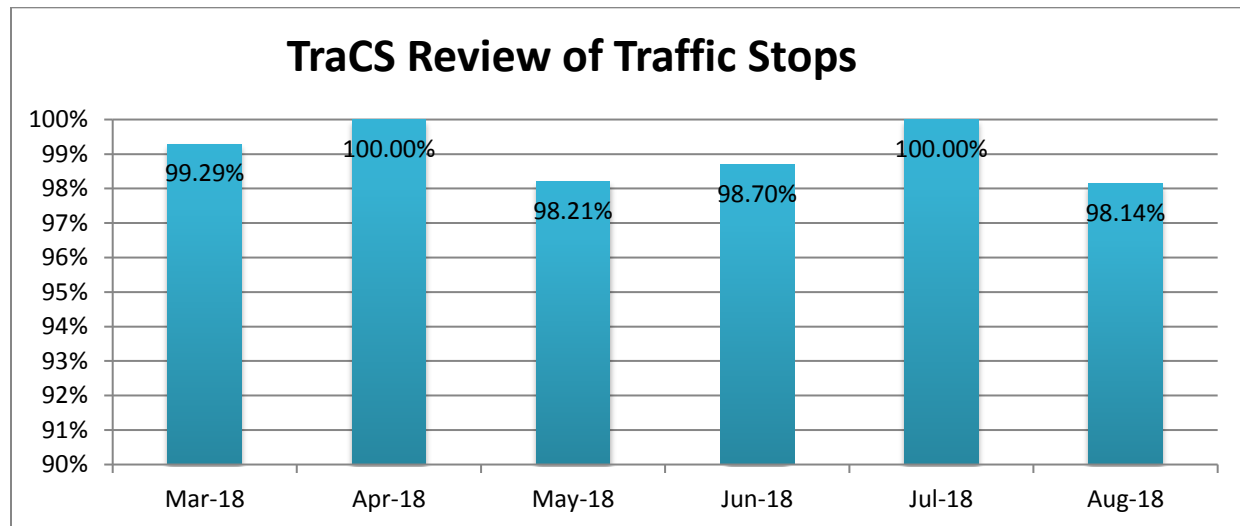
MCSO’s assigned Court Monitors provided a sample of **37** Deputies from all Patrol Districts/Divisions for the **August 2018** TraCS Review Inspection. The sample of **37** Deputies provided a total of **161** traffic stops available for inspection; **161** or **100%** of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

District	Compliance Rate	Total Number Traffic Stops Inspected	Total Number of Deficiencies
1	100.00%	4	0
2	100.00%	17	0
3	100.00%	1	0
4	100.00%	66	0
5	95.08%	61	3
6	100.00%	8	0
7	100.00%	4	0
		Total: 161	Total: 3

It should be noted that the completion of a TraCS Review Inspection is dependent on when AIU receives the sample from the Court Monitors.

MCSO achieved an overall compliance rate of **98.14%** in the TraCS Review of Traffic Stops within 72 hours for the month of August 2018, as illustrated in the graph below:

Note – The compliance rate is the overall percentage of all traffic stops inspected for the month August 2018.



Results:

The following deficiencies were identified during this inspection period:

Deficiencies				
<u>District</u>	<u>MC#</u>	<u>Employee</u>	<u>Commander</u>	<u>Comment</u>
5	MC18191584	Sergeant	Commander	Supervisor did not review Vehicle Stop Contact Form within 72 hours; Supervisor reviewed in 6 days
5	MC18191912	Sergeant	Commander	Supervisor did not review Vehicle Stop Contact Form within 72 hours; Supervisor reviewed in 4 days
5	MC18191923	Sergeant	Commander	Supervisor did not review Vehicle Stop Contact Form within 72 hours; Supervisor reviewed in 4 days

Recommendation:

It is recommended the inspection of review of TraCS traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. *Within 72 hours of completion*, supervisors are responsible for reviewing any form in TraCS that is in pending review status, which shall now include the "Vehicle Stop Contact Form."

It is also recommended each division utilize the TraCS system to monitor the review status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Reviewed" under the Status column for those with completed reviews. By also completing the User ID search field, supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

A total of 1 BIO Action Form is due from the potential deficiencies detailed above. One BIO form per Deputy or deficient Bureau is requested (not per deficiency).

Date Inspection Started: August 12th, 2018
Date Completed: August 17th, 2018
Timeframe Inspected: August 1st thru August 31st, 2018
Assigned Inspector(s): Sgt. Brandon Uptain S1326

I have reviewed this inspection report.

Connie J. Phillips _____

Connie J. Phillips B3345
Acting Commander, Audits & Inspections Unit
Bureau of Internal Oversight

9/25/2018

Date