

MARICOPA COUNTY SHERIFF'S OFFICE
Bureau of Internal Oversight
Audits and Inspections Unit
BI2017-0072



Bureau of Internal Oversight
TraCS Traffic Stop Review Inspection Report
Date: 6/12/2017
Inspection #BI2017-0072



Paul Penzone, Sheriff

To: Captain Roska S0878
Division Commander
Bureau of Internal Oversight

From: Sgt. Reaulo #S1678
Inspections Sergeant
Audits and Inspections Unit

Subject: TraCS Traffic Stop Review Inspection Summary,
May 2017
BI2017-0072

Date: June 12, 2017
Report Period:
May 1-31, 2017

The Audits and Inspections Unit (AIU) will be conducting inspections of the supervisory review of traffic stops on an on-going basis to ensure compliance with office policies and promote proper supervision. To achieve this, inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. These entries will be uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policies EA-11, EB-1, and MCSO Administrative Broadcast Number 16-56.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy # EA-11, ARREST PROCEDURES (Section 14, Parts B & E):

“Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the IR within seven calendar days, absent exigent circumstances.”

“Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS), each deputy’s deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action.”

MCSO Policy #EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 16, Part A):

“Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the IR within seven calendar days, absent exigent circumstances.”

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisory Review Process:

“Additionally, effective June 1, 2016, a “**Supervisory Review Process**” shall be used by all sworn supervisory personnel. Sworn supervisors are responsible for reviewing any form that is in pending review status, which shall now include the “Vehicle Contact Form.” Once a review is completed and the form is signed off, that form can no longer be modified or voided due to data being pulled for analysis.”

Conditions:

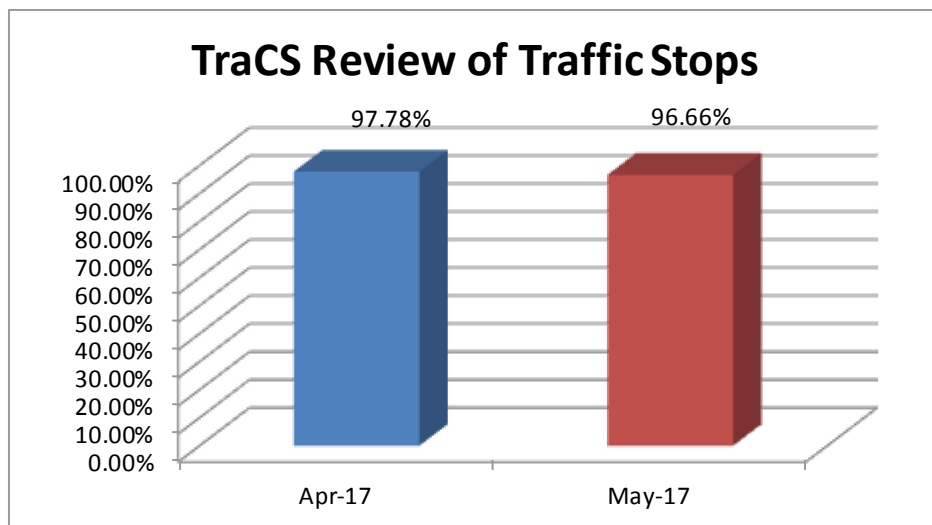
MCSO’s assigned Court Monitors provided a sample of 36 Deputies from all Patrol Districts/Divisions for the May TraCS Traffic Stop Review Inspection. The sample of 36 Deputies provided a total of 210 traffic stops available for inspection; 210 or 100% of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

District	Compliance Rate	Total Traffic Stops Inspected
1	100.00%	7
2	100.00%	8
3	100.00%	5
4	78.26%	46
5	100.00%	63
6	100.00%	21
7	98.33%	60

It should be noted that the completion of a TraCS Traffic Stop Review Inspection is dependent on when AIU receives the sample from the Court Monitors (which may be 30 or more days in arrears).

MCSO achieved an overall compliance rate of **96.66%** in the TraCS Review of Traffic Stops within 72 hours for the month of May 2017, as illustrated in the graph below:

Note – The overall compliance rate is an average of the compliance scores from each division inspected.



The following potential deficiencies were observed during the inspection period:

Potential Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Four	MC17132784	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form
District Four	MC17133501	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours
District Four	MC17133583	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form
District Four	MC17133637	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form
District Four	MC17133614	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form
District Four	MC17134507	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours
District Four	MC17134480	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours
District Four	MC17134545	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours
District Four	MC17134597	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours
District Four	MC17134663	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours

Potential Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Seven	MC17114245	Sergeant	Captain	Supervisor did not review Vehicle Stop Contact Form within 72 hours

A total of **Three** BIO Action Forms are requested from the affected divisions. **The forms shall be completed utilizing Blue Team.** It is permissible to complete one BIO Action Form for a supervisor covering multiple potential deficiencies identified in this inspection.

Recommendation:

It is recommended that the inspection of the review of traffic stops continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. ***Within 72 hours of completion,*** supervisors are responsible for reviewing any form in TraCS that is in pending review status, which shall now include the “Vehicle Contact Form.”

Date Inspection Started: 6/12/2017
Date Completed: 6/12/2017
Timeframe Inspected: May 1-31, 2017
Assigned Inspector(s): Sergeant Reaulo S1678

I have reviewed this inspection report.



Captain Barry Roska S0878
Division Commander
Bureau of Internal Oversight

6/12/2017

Date



Chief Russell Skinner S0898
Bureau Commander
Bureau of Internal Oversight

6/12/2017

Date