

MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



July 2018 Discussion of Traffic Stops Inspection Report

Inspection # BI2018-0106

The Audits and Inspections Unit (AIU) of the Sheriff's Office Bureau of Internal Oversight (BIO) will conduct monthly inspections of the TraCS Discussions of traffic stops to ensure compliance with Office Policies, promote proper supervision and support the requirements set forth in the Court Order. Inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. The TraCS Traffic Stop Discussions will be uniformly inspected utilizing a matrix developed by the AIU.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed within a monthly time frame
- Each Traffic Stop inspected will be counted as one inspection

Criteria:MCSO Policy EA-11, ARREST PROCEDURES (Section 14, Part E):

E. Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS) Blue Team, each deputy's deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action.

MCSO Policy EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 17):

17. First line supervisors shall individually discuss the traffic stops made by each deputy under their supervision, at least one time per month. The discussion shall include whether the deputy detained any individuals, the reason for such detention, and whether any stops involved immigration issues.

MCSO Policy GB-2, Command Responsibility (Section 13, Parts E.1.b and c):

1. As specified in Office Policy EB-2, *Traffic Stop Data Collection*, supervisors shall conduct monthly reviews and have monthly discussions with the deputies under their command regarding each traffic stop and collected data generated during the review period. The monthly review shall be documented in Blue Team as specified in Office Policy GH-5, *Early Identification System (EIS)*.

b. Supervisors shall acknowledge the interactions through the use of the "Discussed with Deputy" indicator function within the TraCS Form Manager for each individual stop and associated forms discussed with their deputy. [Also EB-1.17.E.2]

c. This process shall be completed for each individual stop and collected data reviewed. Supervisors are prohibited from selecting multiple traffic stops and applying the "Discussed with Deputy" indicator function at one time. The reviews will then be input into Blue Team from TraCS. [Also EB-1.17.E.3]

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisor Review Process

Supervisors shall open and review their deputy's traffic stop data for each stop; and only after having a verbal interaction with the deputy, apply the "Discussed with Deputy" indicator function for the traffic stop just discussed. Supervisors shall on a monthly basis, memorialize these discussions by utilizing the "Discussed with Deputy" indicator function in the TraCS Forms Manager. Supervisors are prohibited from selecting multiple traffic stops and applying the "Discussed with Deputy" indicator function at one time.

Conditions:

MCSO’s assigned Court Monitors provided a sample of **37** Deputies from all Patrol Districts/Divisions for the TraCS Discussion Inspection covering data from the month of **July 2018**. The sample of **37** Deputies provided a total of **144** traffic stops available for inspection; **144** or **100%** of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

District	Compliance Rate	# of Traffic Stops	# of Deficiencies
1	100.00%	1	0
2	100.00%	22	0
3	100.00%	1	0
4	97.06%	68	2
5	100.00%	30	0
6	100.00%	16	0
7	100.00%	6	0

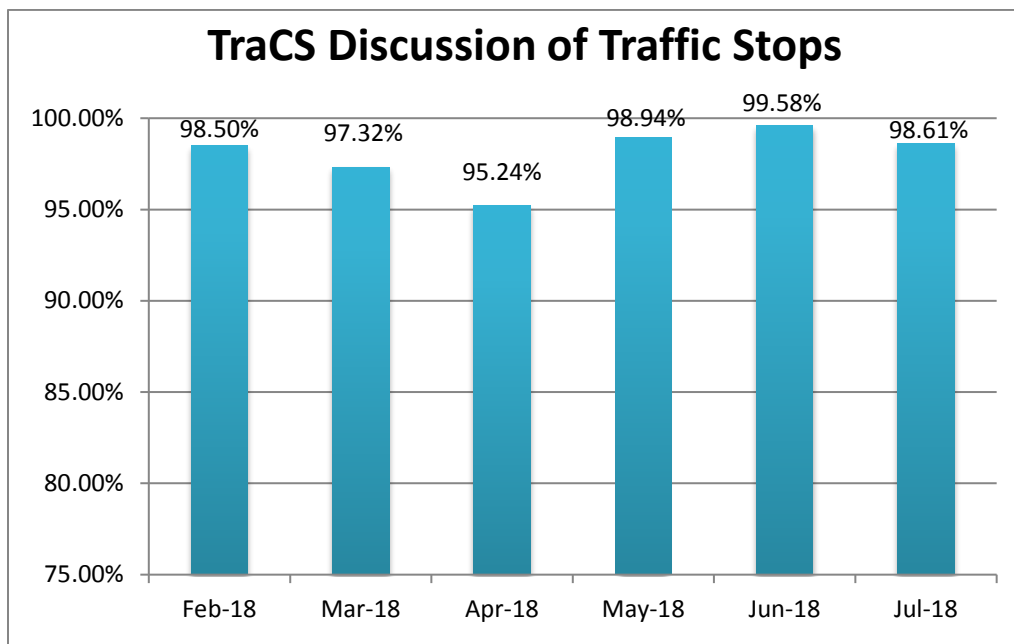
Total: 144

Total: 2

It should be noted that the completion of a TraCS Discussion Inspection is dependent on when AIU receives the sample from the Court Monitors.

MCSO achieved a compliance rate of **98.61%** in the TraCS Discussion of Traffic Stops for the month of July 2018, as illustrated in the graph below:

Note – The compliance rate is the overall percentage of all traffic stops inspected for the month of July 2018



Results:

The following deficiencies were identified during this inspection period:

Deficiencies				
<u>District</u>	<u>MC#</u>	<u>Employee</u>	<u>Commander</u>	<u>Comment</u>
4	MC18161896	Sergeant	Commander	Supervisor did not discuss Contact Form with Deputy within specified time frame
4	MC18166859	Sergeant	Commander	Supervisor did not discuss Contact Form with Deputy within specified time frame

A total of 1 BIO Action Form is requested from the affected division. **The form shall be completed utilizing Blue Team.** It is permissible to complete one BIO Action Form for a supervisor covering multiple potential deficiencies for the same employee identified in this inspection.

Recommendations:

It is recommended that the inspections of the supervisor discussions of traffic stops and vehicle stop contact forms continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. ***On a monthly basis***, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: December 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field, supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: August 17th, 2018
Date Completed: August 17th, 2018
Timeframe Inspected: July 1st thru July 31st, 2018
Assigned Inspector(s): Sgt. Brandon Uptain S1326

I have reviewed this inspection report.

Connie J. Phillips
Connie J. Phillips B3345
Acting Commander, Audits & Inspections Unit
Bureau of Internal Oversight

9/25/2018
Date