

# MARICOPA COUNTY SHERIFF'S OFFICE

Bureau of Internal Oversight

Audits and Inspections Unit



**November 2019 Traffic Stop Data Inspection**

Inspection Report# BI2019-0163

**Background:**

The Audits and Inspections Unit (AIU) of the Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with Office policies and to promote proper supervision. A total of 35 traffic stops are selected each month for review. To achieve inspection results the inspector will utilize the TraCS system, JWI, I-Net Viewer, Body-Worn Camera video, ([www.Evidence.com](http://www.Evidence.com)), and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies involved in the traffic stop to determine if a license and/or warrants check was run during the stop.

**Matrix Procedures:**

Utilize the *Traffic Stop Data Matrix* to inspect and ensure each traffic stop reviewed is within compliance with Office policies. Each Matrix Inspection Element is listed below in the results section of this report.

**Criteria:**

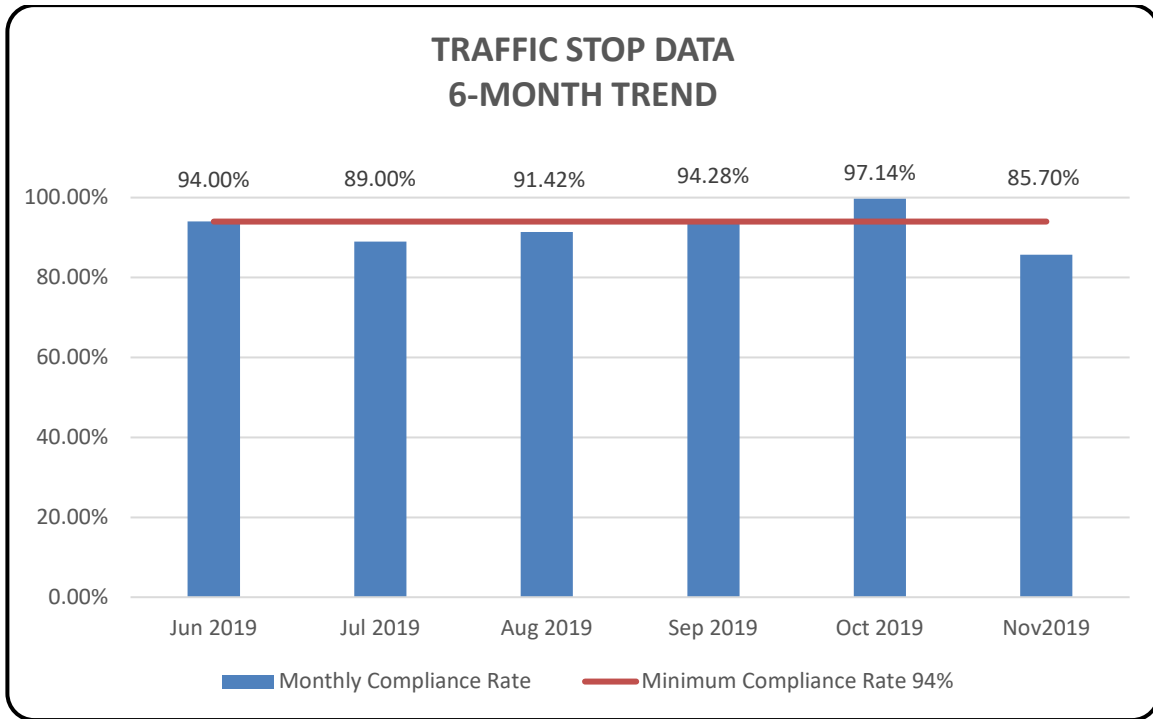
- MCSO Policy EA-3, Non-Traffic Contacts
- MCSO Policy EA-11, Arrest Procedures
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy EB-11, Vehicle Impound 3511
- MCSO Policy GE-3, Property Management and Evidence Control
- MCSO Policy GJ-3, Search and Seizure
- MCSO Policy GJ-35, Body Worn Cameras
- MCSO Policy GF-3, Criminal History Record Information and Public Records
- MCSO Policy CP-1, Use of Force
- MCSO Policy CP-2, Code of Conduct
- MCSO Policy CP-5, Truthfulness
- MCSO Policy CP-8, Preventing Racial and Other Bias Based Profiling
- Briefing Boards: 09-31, 14-12, 15-04, 17-14, 17-43, 18-12, 18-15

**Conditions:**

The MCSO reviewed 35 out of 35 traffic stops made during the month of November 2019. Additionally, 10 of the 35 were selected for Body-Worn Camera review. These traffic stops included 0 DUI (692), 0 Reckless Driving (693), and 0 Aggressive Driving (693R).

**Results:**

- 85.70% or 30 out of 35 traffic stops had no compliance deficiencies (30/35=85.70%)
- 28 out of the 35 traffic stops had no deficiencies.
- The overall result was an 11% decrease in compliance from the October 2019 inspection.



Matrix Inspection Elements	Not in Compliance	In Compliance	Total	Compliance Rate
Event number matches all forms	0	35	35	100%
Incident report number matches on all applicable forms	0	35	35	100%
Event type matches all forms and CAD	0	35	35	100%
Stop time and end time matches all applicable forms (Within 5 minutes)	0	35	35	100%
Arrest documented in CAD and matches all applicable forms	1	34	35	97%
Arrest time documented in CAD and matches all applicable forms	1	34	35	97%

Transport time of arrestee matches CAD and all applicable forms	0	35	35	100%
Primary unit BWC active at time of stop	1	34	35	97%
Primary unit vehicle number and call sign match CAD	0	35	35	100%
2 <sup>nd</sup> person in primary unit matches CAD and all applicable forms	0	35	35	100%
2 <sup>nd</sup> person in primary unit has active BWC and is documented in all applicable forms	0	35	35	100%
Additional units on scene match CAD and all applicable forms	0	35	35	100%
Location of traffic stop match CAD and all applicable forms	0	35	35	100%
City/Area of violation and stop location match CAD and all applicable forms	0	35	35	100%
Conclusion of contact (i.e. warning, citation, incidental contact form) match CAD and all applicable forms	0	35	35	100%
Reason no action was taken matches CAD and documented as lawful	0	35	35	100%
Citation/Warning number matches VSCF	0	35	35	100%
Violators license plate matches CAD/VSCF/Citation/Warning	3	32	35	91%
Number of occupants in violators vehicle is accurately documented on VSCF	0	35	35	100%
Contact with passengers made and reasoning for contact documented on VSCF	0	35	35	100%
Reason for stop documented in CAD and all applicable forms	0	35	35	100%
Was reason for stop documented on citation/warning	1	34	35	97%
Does violators information on citation/warning match VSCF	0	35	35	100%
Was immigration status questioned of violator or passengers	0	35	35	100%
Does pre stop and post stop race/ethnicity information match citation/warning and VSCF	0	35	35	100%
Was search conducted of violator or vehicle and documented on VSCF and all applicable forms	0	35	35	100%
Were items seized as a result of the contact and documented on VSCF and all applicable forms	0	35	35	100%

Was the stop extended and if so documented in CAD and VSCF and all applicable forms	0	35	35	100%
Was violator arrested and documented on VSCF and all applicable forms	1	34	35	97%
Verify video was available	0	10	10	100%
Determine if deputy recorded the traffic stop in its entirety	1	9	10	90%
Verify all information on traffic stop data forms match Body-Worn Camera video	0	10	10	100%
Review incident video footage to ensure deputies adhere to all MCSO Policies	0	10	10	100%
Verify all forms are complete	1	34	35	97%

The inspector found the following deficiencies during the inspection:

### District 1 (2 BIO Action Forms):

#### Compliance Deficiencies

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC19238510	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Inconsistent documentation of violators license plate number across all forms (Policy EB-2.2.f)				
2. Deputy did not activate his BWC at time of initiating traffic stop (Policy EB-2.2.C and EB-1.9.a)				

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 1	MC19241493	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Deputy documented "No" for arrest on VSCF and did not document arrest time on VSCF. (Policy EB-2.K.3)				

## District 2 (1 BIO Action Form):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 2	MC19252551	Deputy	Sergeant	Lieutenant
<b>Deficiency</b>				
1. Inconsistent documentation of violators license plate number across all forms. (Policy EB-2.2.f)				
<b>Note: The above listed employee was Field Training Officer.</b>				

## District 3 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	MC19236677	Deputy	Sergeant	Captain
<b>Deficiency</b>				
2. Inconsistent documentation of violators license plate number across all forms. (Policy EB-2.2.f)				
<b>Note: The above listed employee was Field Training Officer.</b>				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 3	MC19241728	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Deputy documented the wrong "City" on issued warning. (Policy GB.2.7.A.7)				

## District 6 (2 BIO Action Forms):

Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 6	MC19253398	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Inconsistent information across all forms regarding documented reason for stop. (Policy EB-1.14.A.3)				

Non-Compliance Deficiency

District/Division	Incident Number	Sworn Employee	Current Supervisor	Current Commander
District 6	MC19254597	Deputy	Sergeant	Captain
<b>Deficiency</b>				
1. Deputy had a 2 <sup>nd</sup> individual in patrol unit as observed on Body Worn Camera footage and there is no notation on shift roster of a "citizen observer" or other MCSO employee. (Policy GB-2.13.2.g)				

Unless noted above in the deficiency table, there was no prior BIO Action Forms similar in nature for the perceived deputy deficiencies.

A total of 7 BIO Action Forms are due from the potential deficiencies detailed above. One BIO form per Deputy or deficient Bureau is requested (not per deficiency).

**Recommendations:**

The data from the MCSO Contact Forms is utilized in the EIS process of completing the statistical analysis of traffic stops. These analyses occur monthly, quarterly and annually and having accurate information on the Contact Forms will reduce erroneous data in the analysis. Therefore, any errors found by the supervisor during their reviews and discussions should be corrected. Any errors found from an inspection should be corrected. An example would be as follows: if a Deputy did not input the names of the driver and passenger contacted during a traffic stop, he would go back to the Contact Form and add the data. During the review process of all VSCF, citations and warnings, if a supervisor finds an error on a citation or warning, he/she should notify the deputy of said error and make a notation using the "Add Comment" button explaining the discovery of the error and what corrective action was taken. This is the best method to document the error due to the fact citations and warnings cannot be modified after they have been submitted.

Supervisors are urged to remind deputies to conduct license and warrant checks on the driver they stopped. Deputies should also be reminded to verify their VSCF, citation/warning and CAD data contain the correct information as well as obtaining drivers signatures or a notation to show the drivers refusal.

Date Inspection Started: December 23<sup>rd</sup>, 2019  
Date Completed: December 31<sup>st</sup>, 2019  
Timeframe Inspected: November 1<sup>st</sup>, 2019 to November 30<sup>th</sup>, 2019  
Assigned Inspector(s): Sgt. Roger T. Bierwalter #S1263

I have reviewed this inspection report.

*Lt. D. Reaulo S1678*

1/21/2020

Lieutenant Dominick Reaulo S1678  
Deputy Commander, Audits and Inspections Unit  
Bureau of Internal Oversight

Date